

1 **Title**

2 Local adaptation limits lifetime reproductive success of dispersers in a wild metapopulation

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25 **Abstract**

26 Demographic and evolutionary dynamics in wild metapopulations are critically affected by
27 the balance between dispersal and local adaptation. Where populations are demographically
28 interconnected by migration, gene flow is often assumed to prevent local adaptation. However, reduced
29 fitness of immigrants may limit gene flow between populations adapted to distinct habitat types,
30 although direct quantification of the lifetime reproductive success of immigrants in the wild is lacking.
31 Here, we show that dispersers between stream-spawning populations of sockeye salmon
32 (*Oncorhynchus nerka*) had similar reproductive success to those that spawned in their natal stream,
33 while dispersers from a different habitat (nearby lake beaches) produced half as many offspring. The
34 stream- and beach-spawning ecotypes exhibited striking morphological differences despite their close
35 spatial proximity, yet dispersal from the beach to the streams was more common than dispersal
36 between streams, presenting empirical evidence that variation in immigrant reproductive success is
37 important for the maintenance of intraspecific biodiversity.

38

39 **Introduction**

40 Local adaptation influences both ecological (distribution, productivity, resilience) and
41 evolutionary (genetic diversity and divergence) properties of population complexes and species¹.
42 Theoretical work has found that gene flow and habitat-specific selection can interact in complex ways
43 to influence local adaptation², but empirical studies of wild interconnected populations have rarely been
44 able to untangle the various mechanisms involved. For example, negative correlations between
45 adaptive divergence and estimates of gene flow are commonly observed (“isolation by adaptation”)³,
46 but the causality of that relationship is not obvious as local adaptation may limit gene flow and vice
47 versa⁴.

48 The interaction between gene flow and local adaptation is particularly relevant in
49 metapopulations occupying heterogeneous habitats⁵. A metapopulation is a group of spatially discrete,
50 partially ephemeral populations that exchange dispersers⁶, and high dispersal rates can be favored when
51 local population extinctions are common⁷. Thus, gene flow could be expected to prevent local
52 adaptation in highly dynamic metapopulations. However, even in the presence of considerable
53 dispersal, gene flow may be limited if dispersers have low reproductive success in already occupied
54 habitats. Where local adaptation has arisen, dispersers between populations occupying distinct habitat
55 types will be maladapted to their new habitat compared to philopatric (non-dispersing) individuals and
56 dispersers between similar habitats, reducing gene flow and reinforcing local adaptation^{8,9}.

57 Empirical measurements of the relative reproductive success of dispersers between ecologically
58 distinct habitats, however, have proven elusive. Reciprocal translocation experiments have often (but
59 not always) shown that survival and fecundity are higher in philopatric individuals than immigrants^{10–}
60 ¹³. However, most of these studies did not measure reproductive success (and thus fitness), and
61 typically used randomly selected individuals as ‘immigrants’ while natural dispersers may differ
62 phenotypically and genetically from their philopatric conspecifics¹⁴. Furthermore, information on
63 phenotypic and habitat differences between populations is generally missing, complicating the
64 interpretation of results. Alternatively, indirect estimates of gene flow can be relatively easily obtained
65 from genetic differentiation, but only under stringent assumptions on population structure and
66 evolutionary equilibria that are unlikely to be fulfilled in most wild populations¹⁵.

67 Direct, simultaneous measurement of dispersal rates and the reproductive success of dispersing
68 individuals in the wild can be achieved by pedigree reconstruction. However, few studies employing
69 this labor-intensive technique have attempted to identify the natal origins of immigrants; most have
70 grouped all individuals not native to the focal population into a single category, ignoring the diversity
71 of source populations that could contribute to the immigrant group^{16,17}. Without comparisons between

immigrants from different habitat types, it is impossible to separate the fitness effects of dispersal itself (e.g. precedence, familiarity) from the effects of local adaptation. In an exceptional study, great reed warbler immigrants from distant populations had lower reproductive success than immigrants from nearby populations¹⁸, suggesting that not all dispersers experience the same fitness consequences. Nevertheless, geographical distance is not a good proxy for adaptive divergence between subpopulations, so the relationship between local adaptation and reproductive success of dispersers remains unresolved.

Pacific salmon frequently show local adaptation over small spatial scales¹¹ despite dispersal rates of 2-10%¹⁹. Well-characterized examples of this adaptive diversity are tributary stream-spawning and lake beach-spawning sockeye salmon (*Oncorhynchus nerka*) ecotypes^{20,21}. Differences between these ecotypes in body size and shape²², egg size²³, and migration timing²⁴ are likely maintained by divergent natural and sexual selection regimes²². Dispersal between stream and beach spawning habitats is relatively frequent, but dispersers are morphologically more similar to their recipient populations than expected under random dispersal, suggesting that dispersers may be somewhat pre-adapted to their chosen spawning habitat²⁵. Importantly, because of anadromy and semelparity of Pacific salmon, philopatric individuals have no advantage over immigrants in terms of familiarity and precedence, an issue that has complicated interpretation in other studies.

Here, we estimated the effect of local adaptation on gene flow by quantifying the relative fitness (i.e. reproductive success) of philopatric and immigrant sockeye salmon in two stream-spawning populations in southwest Alaska (A and C Creeks; Fig. 1). Using genetic population assignment and pedigree reconstruction over two brood years, we compared the lifetime reproductive success of all philopatric fish, dispersers between creeks and dispersers from adjacent lake beaches. Rather than a general fitness cost of dispersal^{16,17}, or of geographic distance between natal and spawning populations¹⁸, we found that local adaptation to specific habitat types limited the reproductive success

96 of dispersers between ecologically distinct populations. The consequent restriction of gene flow
97 between habitat types helps to explain the genetic, morphological and behavioral diversity observed
98 over very small spatial scales in this system^{22,24,25}.

99

100 **Results**

101 **Population sizes and differentiation.** We tagged and sampled a total of 4473 individuals in A Creek
102 and C Creek in 2004, 2005, 2008, 2009 and 2010, and 166 individuals that settled on the beach habitat
103 in 2004 and 2005. The overall sex ratio of all individuals sampled in this study was 66% female,
104 ranging from 58% to 71% over populations and years. Genetic differentiation (pairwise F_{ST}) between
105 populations ranged from 0.017 to 0.039 (Table 1). Immigrants (fish genetically assigned to a
106 population other than the one in which they were sampled) made up 3-28% of populations in the
107 streams depending on sex, spawning year and spawning stream (12% overall; Table 2). C Creek had
108 more immigrants from the other stream as well as from the beach than A Creek, but there was no clear
109 sex bias in dispersing individuals. The number of individuals immigrating from the beach-spawning
110 populations ($N=108$) was greater than the number of dispersers between stream-spawning populations
111 ($N=85$). Simulations suggested that 88-95% of our population assignments were correct, depending on
112 population of origin (see Supplementary Materials).

113 Between-stream dispersers were morphologically similar to philopatric individuals, but
114 immigrants from the beach-spawning population were longer and deeper-bodied than creek fish
115 (Supplementary Figures S4 and S5). Beach-to-stream dispersers also had shorter in-creek residency
116 periods than both philopatric fish and between-stream dispersers (Supplementary Figure S6), but
117 beach-to-stream dispersers were less likely to be killed by bears and more likely to have an unknown
118 fate (Supplementary Figure S7).

119 **Pedigree reconstruction and reproductive success.** Pedigree reconstruction identified 552 unique,
120 confidently ($P>95\%$) assigned parent pairs (sire and dam) and 127 unique, confidently assigned single
121 parents in 2004 and 2005. Only 17 parent-offspring assignments were discarded because of $< 95\%$
122 confidence. Both parents were sampled in the same year in 96% of inferred triads (offspring and both
123 parents) and in the same stream in 98% of triads. Reproductive success was extremely variable among
124 individuals, with the number of inferred offspring ranging from 0 to 36 (mean 3.4) for males and 0 to
125 24 (mean 1.6) for females (Supplementary Table S2). 49% of males and 68% of females were assigned
126 no offspring.

127 The reproductive success of dispersers between the two stream-adapted populations did not differ
128 significantly from that of philopatric individuals, but immigrants from the beach population had
129 significantly lower mean reproductive success than both philopatric fish and immigrants from the other
130 stream (Fig. 2). This difference was consistent over most combinations of sexes, spawning streams and
131 spawning years when sample size was sufficient (Fig. 3). On average, beach-to-stream dispersers
132 produced about one fewer offspring than between-stream dispersers, a reduction in fitness equivalent to
133 almost half (46%) of the average reproductive success. Detailed analysis of the zero-inflated regression
134 results suggested that immigrants from the beach were more likely to be completely unsuccessful (i.e.
135 produce zero offspring) and produced fewer offspring even when successful (Supplementary Figure
136 S3).

137 Almost all reproductively successful dispersers mated with a philopatric individual rather than
138 another disperser. Of 136 female and 56 male dispersers identified, 30 females and 18 males had at
139 least one offspring, collectively participating in 91 inferred mate pairs. Of these successful dispersers,
140 the ratio of beach-to-stream to between-stream dispersers was nearly equal (21:27). Only one mating
141 event between dispersers was inferred, indicating that the proportion of successful disperser mating

142 events that involved a philopatric mate was 0.99. A Fisher's exact test provided no evidence for
143 departure from random mating with respect to dispersal status ($P = 0.92$).
144

145 **Discussion**

146 Direct comparison of the lifetime reproductive success of dispersers within and between
147 habitat types enabled us to assess the effect of local adaptation on gene flow in a metapopulation of
148 sockeye salmon. Specifically, the reproductive success of immigrants from the beach population was
149 just half that of philopatric creek-spawning fish and between-creek dispersers. Nevertheless, dispersal
150 from beach to creek was at least as common as that between creeks. Although dispersers from the
151 beach-adapted population to the creeks were somewhat deeper-bodied than other creek fish, they were
152 less likely to be killed by bears and appeared to leave the creek voluntarily. The mechanism of
153 reduction in reproductive success of beach dispersers therefore appeared to be more complex than
154 direct bear predation.

155 Morphological adaptation to the ecologically distinct habitat types may have been responsible
156 for the observed differences in reproductive success between disperser categories. The two study
157 streams are extremely shallow (~ 10 cm depth) and salmon have been found to be under intense, size-
158 selective predation pressure from bears in similar habitats, benefitting shallower and smaller fish²⁶. In
159 lake beach habitats, however, fecundity, direct competition for mates and nest sites and relaxed
160 predation pressure select for larger, deeper fish²². Although dispersers between the two habitats are not
161 random representatives of their populations and tend to resemble the recipient populations²⁵ (for
162 example, dispersers from the beach population were shallower than philopatric beach spawners), beach
163 immigrants were nevertheless generally longer and deeper-bodied than stream-adapted fish. Physical
164 maladaptation to the stream environment could limit the reproductive success of beach-adapted
165 immigrants by reducing adult lifespan during the spawning period through selective bear predation or

166 stranding in shallow water²⁷. Moreover, reduced physical access to shallower areas of the stream could
167 limit access to mates and spawning sites, reducing reproductive opportunities.

168 The reproductive success of dispersers between habitat types may also have been limited by
169 divergent selection on behavioral traits. The relatively large proportion of beach-to-stream dispersers
170 that disappeared without a recorded death fate suggests that voluntary departure rather than bear
171 predation was the mechanism limiting their in-stream residency period. These fish may have been
172 searching for their natal habitat or “prospecting” a novel habitat for potential spawning sites, a behavior
173 that has been observed in other sockeye salmon populations²⁸. Nevertheless, these individuals should
174 be considered dispersers because they were sexually mature, competed for space and possibly for
175 mates, and may have reproduced in the streams. Indeed, beach-to-stream dispersers produced fewer
176 offspring than between-creek dispersers and philopatric fish even after accounting for the individuals
177 that did not reproduce at all (Supplementary Figure S3). Furthermore, even philopatric fish show a
178 wide variety of movement strategies, and recent PIT tagging work in A and C creek suggests that many
179 fish may move between stream and lake on a daily basis²⁹. There is therefore wide individual variation
180 in movement strategies, which may have a genetic basis or represent plastic responses to individual
181 predation vulnerability. Bears may therefore indirectly affect reproductive success by eliciting predator
182 avoidance behavior and thereby limiting reproductive opportunity. Thus, adaptive behavioral
183 differences between ecotypes may strongly influence the conversion of dispersal into gene flow.

184 In addition to limited mating success of the spawning adults, viability selection against the
185 offspring of immigrants from the beach-spawning population could have contributed to the reduction in
186 returning adult offspring of dispersers between habitat types. Nearly all reproductively successfully
187 immigrants mated with a philopatric individual, producing potentially maladapted hybrid offspring.
188 However, juveniles from both stream- and beach-spawning populations congregate in the lake

189 immediately after emergence from the gravel³⁰, so opportunities for habitat-specific selection after
190 mating are limited to the incubation and emergence life stages.

191 Our study provides clear empirical evidence of gene flow limited by ecotype-specific
192 disperser reproduction rather than by barriers to dispersal between habitat types. Beach-to-stream
193 dispersers were more common than between-stream dispersers, suggesting that dispersal between
194 habitat types is not restricted by intrinsic or extrinsic barriers. The high cost of local adaptation to
195 dispersers, roughly half of an individual's expected reproductive output, may therefore be crucial to the
196 maintenance of the morphologically and genetically recognizable stream- and beach-spawning
197 ecotypes.

198 Although low fitness of dispersers might be expected to drive the evolution of intrinsic
199 barriers to dispersal in some systems⁸, additional factors may select against such barriers. For example,
200 in dynamic metapopulations, rare subpopulation recolonization events may substantially bolster the
201 long-term fitness of dispersal alleles even if dispersers have limited reproductive success in occupied
202 subpopulations⁷. Moreover, flexible behavior patterns in systems that allow for reversal of dispersal
203 decisions could minimize the fitness cost of dispersal in unfavorable conditions³¹. Thus, in many
204 metapopulations, reduced immigrant reproductive success may be more important than barriers to
205 dispersal for the maintenance of intraspecific biodiversity. A metapopulation in which gene flow is
206 reduced by local adaptation rather than dispersal limitation may respond more quickly to changes in
207 selective regimes due to climate cycles or anthropogenic impacts because genotypes from pre-adapted,
208 productive populations will rapidly invade other populations³². Furthermore, mechanisms that reduce
209 but do not eliminate gene flow between divergent populations can maintain local adaptation and
210 functional diversity while also allowing rapid spread of novel advantageous alleles².

211

212 **Methods**

213 **Sample Collection.** Exhaustive sampling of A and C Creek adult spawning populations was conducted
214 every year from 2004 through 2010. Fish were tagged with colored Petersen disc tags with individual
215 two letter codes. We walked the full length of both streams every day during the spawning season (late
216 July through late August), tagging any newly observed (i.e., untagged) fish and noting the location of
217 each previously tagged fish. To expedite tagging during times of peak abundance, fish in the lake at the
218 mouth of each stream were captured by beach seine and tagged before they entered the streams. A fin
219 clip was taken from each fish upon tagging for genetic analysis. Sex, body length (mid-eye to hypural
220 plate), and body depth (anterior insertion of the dorsal fin to the belly) were also measured and
221 recorded for each newly tagged individual²². When a dead fish was found in or near either stream, the
222 tag ID was recorded and the cause of mortality was determined by visual inspection (mostly either bear
223 kill or senescence). If a dead fish was found untagged, a fin clip was taken and length and depth were
224 measured if the carcass was intact. Fish present on the beach spawning habitats adjacent to A and C
225 Creeks were captured with a beach seine on a weekly basis throughout the spawning season.

226 **Laboratory Methods.** All adults observed in either A or C Creek in 2004, 2005, 2008, 2009 and 2010
227 were genotyped at 11 tetranucleotide repeat microsatellite loci (mean expected heterozygosity in all
228 samples = 0.87 ± 0.04 SD, 266 independent alleles; Table S1). All individuals sampled after they had
229 settled on redds (nests) in the beach habitats in 2004 and 2005 were also genotyped in order to provide
230 a genetic baseline for identification of dispersers in the F0 generation. DNA was extracted from the
231 samples using Qiagen DNeasy extraction columns following the manufacturer's instructions.
232 Microsatellite loci were amplified in three multiplex panels using Qiagen multiplex PCR kits, with
233 varying primer concentrations and annealing temperatures (Supplementary Table S1). Forward primers
234 were fluorescently labeled and amplified fragment sizes were measured with a MegaBACE 1000 DNA
235 Analysis System (GE Healthcare Life Sciences). To quantify genotyping error rates, 146-203
236 individuals were re-amplified and re-genotyped at each locus (see Supplementary Materials).

237 **Pedigree Reconstruction.** Parent-offspring relationships between the F0 generation (stream spawners
238 in years 2004 and 2005) and their F1 offspring (stream spawners in years 2008-2010) were
239 reconstructed using the parentage analysis software package Colony v2.0³³. Genotypes for all F0
240 individuals were considered to be either potential dams or sires (according to their observed sex) for all
241 F1 offspring genotypes, and F0 individuals of unknown sex were included as both potential dams and
242 potential sires. Data from both stream populations and all years were incorporated into a single
243 pedigree reconstruction in order to account for dispersal between streams in the offspring generation, as
244 well as the variation in age-at-return of those offspring. Individuals that spawned on the beach were not
245 included in the parentage reconstruction because dispersal between beach spawning habitats in the lake
246 is too high to allow comprehensive sampling of potential parents. Only parent-offspring relationships
247 with a confidence score greater than 95% were included in subsequent analyses. From this confidently
248 assigned subset of the pedigree, the total number of stream-spawning offspring assigned to each F0
249 individual was tabulated.

250 **Identification of Dispersers.** The spawning location of each individual in the parental generation was
251 inferred from field observations. Fish that entered a single stream were classified as A or C Creek
252 spawners, depending on the stream where they were observed. If a fish entered both streams, the stream
253 in which it was seen more often was considered to be its chosen spawning habitat. The accuracy of
254 these spawning location classifications was assessed by comparing the spawning locations of mates
255 inferred by parentage analysis (see Supplementary Materials). Fish that never entered either stream but
256 were observed on redds on the beach were classified as beach spawners.

257 The most likely natal population for each individual in the parental generation was estimated
258 using a Bayesian genetic assignment method³⁴ implemented in the software application GeneClass2³⁵.
259 F0 genotypes were grouped into A Creek, C Creek, and beach populations based on the observationally
260 inferred spawning location of each individual. We considered the two sampled beach spawning sites as

one population because beach-spawning aggregations throughout the entire lake exhibit very low genetic and morphological differentiation²⁵. A leave-one-out procedure was utilized to assign each individual to its most likely natal population³⁵, with the two stream populations and the beach population considered as the only potential natal populations. The number of immigrants from unsampled populations in our sample was likely small because A and C Creeks are the only streams consistently used by salmon in Little Togiak Lake, and because the beach population is effectively panmictic.

Dispersal status for each stream-spawning individual was determined by comparing its genetic population assignment with its inferred spawning location. Fish were classified as philopatric if they spawned in the stream of their natal population assignment, stream dispersers if they spawned in the stream that was not assigned as their natal population, and beach dispersers if they spawned in either stream but were genetically assigned to the beach population.

The accuracy of the assignment method was assessed by simulation and by comparing the population assignments of offspring with the spawning location of their parents inferred by the parentage analysis (see Supplementary Materials). Assignments presented here were determined without a statistical cutoff, though including only statistically significant assignments (probability of belonging to one population >95%) gave qualitatively similar results (see Supplementary Materials).

Comparison of Dispersal Groups in Morphological and Behavioral Traits. The three dispersal groups were compared in the following traits: sex ratio, body length, body shape (body depth / body length ratio³⁶, residency period on the spawning grounds (total number of days observed in the stream) and death fate (bear-killed, senescent or unknown). The dataset was divided by sex and stream to create four groups, within each of which trait distributions were compared between dispersal categories. Significant differences between dispersal categories in mean trait values were evaluated with Fisher's exact tests for categorical variables and generalized linear models for continuous variables. In the case

285 of the glms, the morphological or behavioral trait of interest was the response variable and dispersal
286 category was the predictor variable. The best distribution for each continuous trait was chosen by
287 evaluating the AIC for models assuming normal, Poisson, and gamma distributions and a simple
288 intercept as the only predictor. The gamma distribution produced the lowest AIC for all traits.

289 **Comparison of Reproductive Success between Dispersers and Philopatric Individuals.** The
290 relationship between individual dispersal status and reproductive success was characterized with zero-
291 inflated negative binomial (ZINB) regression models in order to accommodate the distribution of the
292 response variable, reproductive success³⁷. Validation of this model choice using AIC values indicated
293 that the ZINB model provided a better fit to the data than a standard glm with a normal or Poisson
294 distribution. ZINB regression models were implemented with the “pscl” package v1.04.1³⁷
295 implemented in the R statistical environment v2.13.2³⁸.

296 We used the ZINB model to quantify the difference between dispersal groups in reproductive
297 success: the response variable was the total number of offspring per F0 individual and the predictor
298 variable of interest was dispersal category (philopatric, between-stream disperser or beach-to-stream
299 disperser). We also included sex, spawning stream and spawning year as additional fixed factors. All
300 pairwise interactions between these four categorical variables were evaluated for inclusion in the model
301 using a stepwise model selection procedure³⁹. The resulting model was used to calculate the difference
302 in mean number of offspring between dispersal categories after accounting for the effects of spawning
303 population and year. Statistical confidence in the differences in reproductive success between dispersal
304 categories was assessed by bootstrapping individuals in each dispersal category per sex and stream, re-
305 parameterizing the regression model and recalculating differences between groups 1000 times.

306 Differences in reproductive success were considered statistically significant if the range between the
307 2.5% and 97.5% quantiles of the estimates did not include zero⁴⁰. This model was run both with and
308 without a 95% assignment confidence cutoff, but using the cutoff did not substantially affect the

parameter estimates, although confidence intervals were larger due to the smaller sample size (Figure S2).

Mate Choice with Regard to Dispersal Status. We also determined whether reproductively successful dispersers mated with other dispersers or with philopatric individuals. We therefore calculated the proportion of successful dispersers that mated with another disperser. In order to test whether the observed pattern was significantly different from expectations under random mating, we performed a Fisher's exact test on a table of the number of inferred matings between males and females from each dispersal category.

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409

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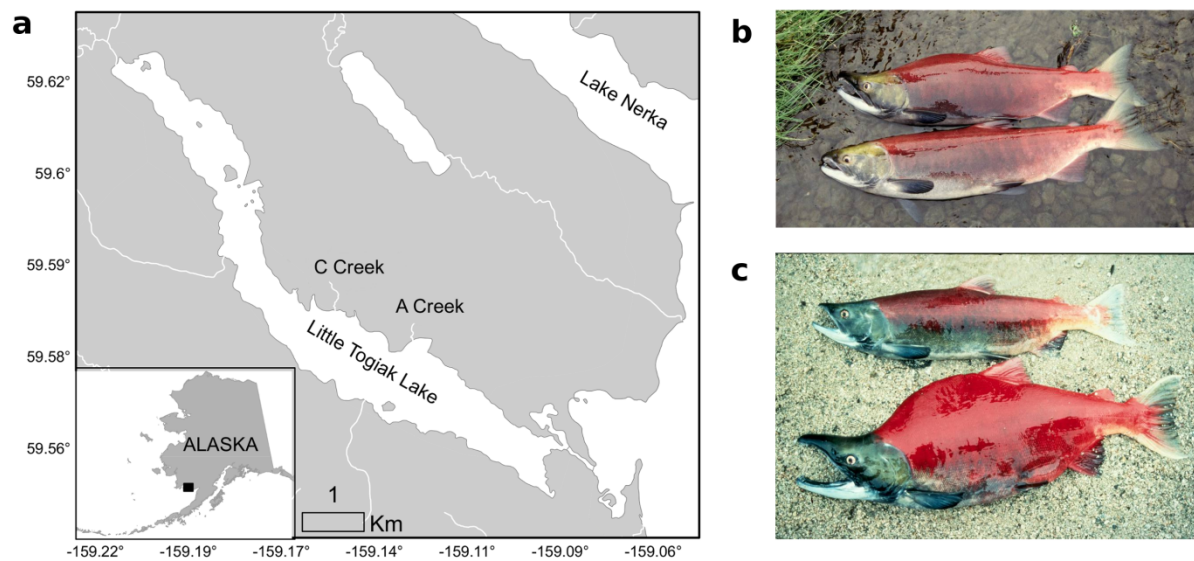
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417

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419 D.A.P. and L.H. analyzed the data and wrote the paper.

420



421 **Figure 1. Study sites and populations.** **a**, Map of study sites. Beach spawning sites are located within
422 100 meters of the mouth of each creek. Square in inset map indicates location within Alaska. **b**,
423 Representative male (top) and female (bottom) of the stream-spawning ecotype. **c**, Representative
424 female (top) and male (bottom) of the beach-spawning ecotype.

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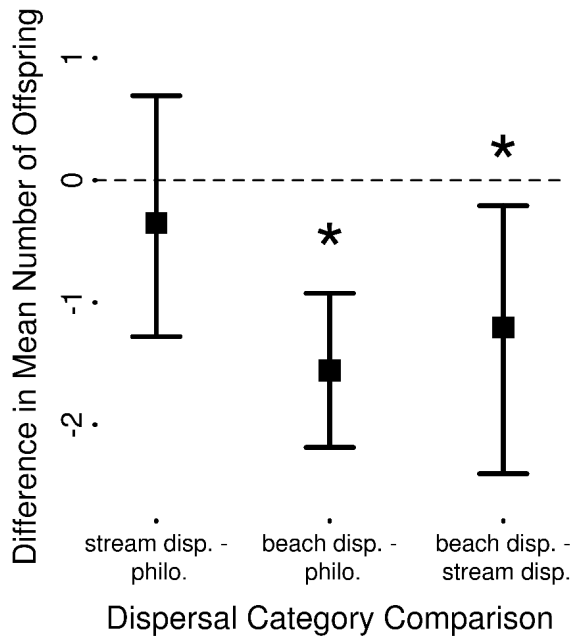


Figure 2. Differences between dispersal categories in mean reproductive success. “Philo.” = philopatric individuals, “stream disp.” = between-stream dispersers, “beach disp.” = beach-to-stream dispersers. Values represent the model-predicted effect of dispersal category differences on number of offspring after accounting for differences in reproductive success between spawning streams, sexes and spawning years. Error bars indicate 95% confidence intervals. * indicates a difference that is statistically significant after bootstrapping (95% CI does not include zero).

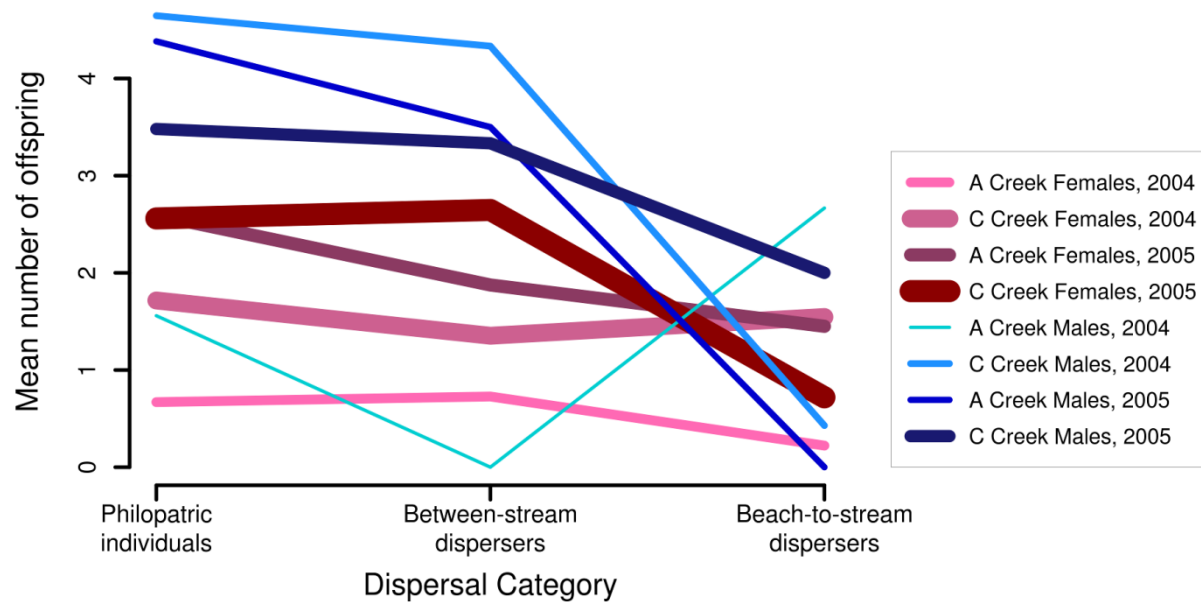


Figure 3. Mean reproductive success by population. Thickness of lines is weighted by total sample size of creek and beach dispersers (see Table 2).

440 **Table 1.** Genetic differentiation of sampled populations.

	A Creek	Beach	C Creek
A Creek		0.0316	0.0238
Beach	0.0391		0.0169
C Creek	0.0312	0.0205	

441

442 Estimated pairwise F_{ST} values for all focal populations in the parental generation. Values are calculated
 443 for each year separately, with 2004 below the diagonal and 2005 above.

444

445

446

447

448 **Table 2.** Population assignments by sex and year.

a

Assigned to:	Sampled in A Creek		Sampled in C Creek	
	Females	Males	Females	Males
A Creek	342 (95%)	136 (96%)	17 (6%)	6 (6%)
Beach	9 (2%)	3 (2%)	22 (8%)	7 (7%)
C Creek	11 (3%)	2 (1%)	232 (86%)	82 (86%)

b

Assigned to:	Sampled in A Creek		Sampled in C Creek	
	Females	Males	Females	Males
A Creek	259 (90%)	157 (93%)	17 (10%)	15 (12%)
Beach	20 (7%)	4 (2%)	32 (18%)	11 (9%)
C Creek	8 (3%)	8 (5%)	125 (72%)	102 (80%)

449

450 **a**, 2004. **b**, 2005. Percentages indicate the proportion of individuals of that sex and within that sampling

451 location assigned to each natal population.