

Appendix One: Research Questions

Higher-order questions	Lower-order questions	Sub-questions
<p>How are meta-level principles of local ownership and partnership operationalized in the field?</p>	<p>To what extent are meta-level regulatory goals of local ownership and partnership embodied in rule of law project <i>designs</i>?</p>	<ul style="list-style-type: none"> - Who controls the final design? - Do/did the local counterpart contribute to the project's design? Who? How? - What are the hiring practices of donors? (e.g., foreign v. local staff and experts; local knowledge and language skills by foreigners; pay discrepancies) - Which actors' preferences emerge as the dominant ones in the project and why? - Was the project in Indonesia's national development plan? - Network analysis of the participants to the project
	<p>To what extent are the goals of local ownership and partnership enabled during the <i>implementation</i> of rule of law projects?</p>	<ul style="list-style-type: none"> - Have donors delegated sufficient authority to their country office and empowered them to oversee all aspects of the project? (Accra 23) - Who is responsible for day-to-day management and implementation of the project? (Paris 21)
	<p>To what degree has the field of rule of law assistance shifted from international delivery to a fully built-out local capacity? (Accra 14, 15, 16)</p>	<ul style="list-style-type: none"> - Are there skills brought by donor that are recognized by local actors? - If yes, are these skills being transferred in an effective way? - Are local actors satisfied with the level of knowledge shared and created by the donor during the project? - Relevant historical synopsis of rule of law assistance delivery in Indonesia
<p>How are meta-level norms of local ownership and partnership negotiated with local practices in rule of law assistance?</p>	<p>Does the meta-level dialogue filter down to the donor employees and local actors to rule of law assistance in the field?</p>	<ul style="list-style-type: none"> - Are donor employees and local actors aware of the Jakarta Commitment (an Indonesia-specific follow-up to Paris and Accra, 2009)? - Does the meta-level dialogue have any day-to-day impact?

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	<p>How do the intermediate actors/local actors understand and ‘translate’¹ or ‘foil’² the rule of law assistance project?</p>	<ul style="list-style-type: none"> - How do local actors conceptualize their roles in the project? (Compare to role as scripted in the project docs.) - To what extent do local priorities and goals conflict with the stated or implicit goals of the donor-funded project/program? - How are conflicting priorities and norms negotiated within the project, by whom, and with what kinds of outcomes?
<p>What do local actors report as the effects, if any, of meta-level goals of local ownership and partnership on the design and implementation phases of rule of law assistance projects?</p>	<p>To what extent do <i>local actors</i> perceive that they have ownership of the rule of law assistance project?</p>	<ul style="list-style-type: none"> - How has the project succeeded in manifesting local ownership? E.g., which design elements, activities and practices embody ‘ownership’? - What challenges has the project faced in manifesting local ownership? E.g., which design elements, activities and practices proved challenging? Why? - How satisfied is the local actor with the level of local ownership in the project?
	<p>To what extent do <i>local actors</i> perceive genuine partnering with the donor in the rule of law assistance project?</p>	<ul style="list-style-type: none"> - Are the donors responsive to local demands, and/or are they making an effort to be? (Accra 13)³ - What partnering challenges did the local actor experience in the course of the project’s design and implementation? (e.g., communication, cultural misunderstanding) - How satisfied is the local actor with the degree of genuine partnering in the project?
	<p>What, if anything, is occurring in the alternative?</p>	<ul style="list-style-type: none"> - What norms other than ‘local ownership’ and ‘partnership’ are/were relevant to the project? - What else was going on?

¹ See Sally Engle Merry, “Transnational Human Rights and Local Activism: Mapping the Middle,” *American Anthropologist*, vol 108 (2006): 41-43.

² See Halliday and Carruthers, “Foiling” in *Bankrupt* (Stanford: Stanford University Press): 337-62.

³ Pilot interviews suggest questions: How flexible is the donor? How dependable? Flipside: How reasonable are the local demands?

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	<p style="text-align: center;">To what extent do <i>donors</i> perceive local ownership and genuine partnering with their local counterparts in the project?</p>	<ul style="list-style-type: none"> - How has the project succeeded in manifesting local ownership? E.g., which design elements, activities and practices embody ‘ownership’? - What challenges has the project faced in manifesting local ownership? E.g., which design elements, activities and practices proved challenging? Why? - What efforts has the donor made to be responsive to local demands in the design and implementation of this project? - What partnering challenges did the donor face in the course of the project’s design and implementation? (e.g., communication, cultural misunderstanding) - How satisfied is the donor with the level of local ownership of the project? - How satisfied is the donor with the degree of genuine partnering with local counterparts in the project?
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A) Higher-level decision makers: government ministers or officials in managerial positions, donor officials based outside of Indonesia and/or or in-country donor official with decision-making authority, Chief of Party (COP) / Director of the project,

1. How did you become involved in this project?
2. What is or has been your involvement with this project? (day to day duties?)

RE: Project Design: (if applicable)

3. Please describe the design process of this rule of law assistance project.
4. How did this project come into being?
5. Who were the key actors who contributed to the project design? How?
6. Who had final say over the project's design?
 - a. Who was ultimately responsible for making decisions about which *activities* would be included in the project?
 - b. Do you agree with the decisions that were made regarding the project's design? Why or why not?
 - i. If no, did you communicate your concerns with anyone? Who? To what end?
 - c. To your knowledge, were there different views about what the project's activities should be?
 - i. If so, how were the differences of opinion resolved?

RE: Parties to the project / Local Capacity

7. To your knowledge, who was responsible for hiring the implementers charged with day-to-day management of the project?
 - a. Who contributed input to this decision? How?
8. This project has both international and local managers. Did you know the international managers before the project started?
 - a. Did you know the local managers before the project started?
9. What do you see as the role or contribution of the international professionals on this project?
10. Would it be possible to implement this project solely relying on local professionals?

Prompts:

- a. If yes, were these professionals ultimately hired?
 - i. If qualified locals were not hired, why not?
- b. If no (to question 7), why not? What skills/knowledge were missing?
11. What intentional steps, if any, are being taken to transfer skills/knowledge to local counterparts in the course of project implementation?

12. With regard to hiring substantive national experts, have you witnessed a donor preference for hiring individuals versus entire NGOs? What do you think of this practice?

RE: Paris/Accra/Jakarta – Aid Industry Aspirational Documents

13. Have you ever heard of the Paris Declaration, Accra Agenda and/or Jakarta Commitment? Do they have any day-to-day relevance for you?
14. When people talk about “local ownership” with respect to rule of law assistance, what does that mean to you?
- a. Which design elements, activities and practices in this project embody ‘ownership’ as you understand it?
 - b. What challenges, if any, to achieving ‘local ownership’ are you aware of that have been faced in the course of this project’s design and implementation?
 - i. How were these challenges dealt with?
 - ii. To what end?
 - c. How satisfied are you with the overall level over ‘local ownership’ in this project? Why?
15. When people talk about “partnership” with respect to rule of law assistance, what does that mean to you?
- a. Who are your most important local/donor partners on this project? (How are these partners identified?)
 - i. How would you characterize your relationship with those partners? (Prompt: Can you tell me a bit more about that.)
 - ii. Has the quality of the relationship changed over time? Why or why not?
 - iii. Are there any challenges to effective partnerships in any of those relationships? How have you approached those challenges?
 - b. Which design elements, activities and practices in this project embody “partnership” as you understand it?

Wrapping up:

16. What do you see as the big goals of this project, beyond the specific activities?
17. Is there anything you believe is important for me to know that has not come out in the course of this interview? Aside from ownership and partnership – what else has been important or particularly relevant to your work on this project, and/or (Indonesian) RoL assistance?
18. Is there anyone you can think of who would disagree with anything you have told me today? Who?

BIO DATA for analysis:

- Business card with name/title/organization
- nationality/citizenship
 - permanent resident of a different country? Dual citizenship? Country of residence?
- years of experience in ROL work – see sheet for broad definition of ROL work
- professional background (law/non-law; development etc)
- language proficiency

B) Implementers: development professionals hired specifically to work on the case study project (exception: COP); mid to low-level government officials whose job duties include involvement with the project; employees of NGO or private development firms contracted to implement the project whose job duties include involvement with the project

1. How did you become involved in this project?
2. What are your day-to-day duties with respect to this project?

RE: Project Design:

3. Please describe the design process of this rule of law assistance project.
4. How did this project come into being?
5. Who were the key actors who contributed to the project design? How?
6. Who had final say over the project's design?
 - a. Who was ultimately responsible for making decisions about which *activities* would be included in the project?
 - b. Do you agree with the decisions that were made regarding the project's design? Why or why not?
 - i. If no, did you communicate your concerns with anyone? Who? To what end?
 - c. To your knowledge, were there different views about what the project's activities should be?
 - i. If so, how were the differences of opinion shared and resolved?

RE: Parties to the project / Local Capacity

7. This project has both international and local managers.
 - a. Did you know the international managers before the project started?
 - b. Did you know the local managers before the project started?
8. What do you see as the role or contribution of the international professionals on this project?

9. Your project has a mix of international and local professionals. Do you think the balance between the two groups is appropriate? Why or why not? Could this project be done locally, staffed entirely by Indonesians?
10. To your knowledge, what intentional steps, if any, have been or are being taken to transfer skills/knowledge from international staff to local counterparts in the course of this project?

RE: Implementation and Professional Ownership

11. Do you feel you have been given the discretion/authority required to do your job to the best of your ability? Why or why not?
12. To your knowledge, what is the process for making adjustments to the project's design or activities?
13. To your knowledge, who is empowered to make adjustments to the project based on what is happening during implementation?

RE: Paris/Accra/Jakarta – Aid Industry Aspirational Documents

14. Have you ever heard of the Paris Declaration, Accra Agenda, and/or Jakarta Commitment?
15. What is your understanding of the term of “local ownership” with respect to rule of law assistance?
 - a. Which design elements, activities and practices in this project embody ‘ownership’ as you understand it?
 - b. What challenges, if any, to achieving ‘local ownership’ are you aware of that have come up in the course of this project’s design and implementation?
 - i. How were these challenges dealt with?
 - ii. To what end?
16. What is your understanding of the term “partnership” with respect to rule of law assistance?
 - a. Who are your most important local/donor partners on this project?
 - i. How would you characterize your relationship with those partners? (Prompt: Can you tell me a bit more about that.)
 - ii. Has the quality of the relationship changed over time? Why or why not?
 - iii. Are there any challenges to effective partnerships in any of those relationships? How have you approached those challenges?
 - b. Which design elements, activities and practices in this project embody “partnership” as you understand it?

Wrapping up:

17. Aside from ownership and partnership – what else has been important or particularly relevant to your work on this project, and/or (Indonesian) RoL assistance?
18. Is there anything that you believe is important for me to know that has not come out in the course of this interview?
19. Is there anyone you can think of who would disagree with what you have told me today? Who?

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