

1 Automatic recognition of seafloor features in sub-bottom profiles using eigenimages

2 Charles Garcia

3 Advised by Miles Logsdon

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20 University of Washington, School of Oceanography

21 1503 NE Boat ST, Seattle, Washington 98105

22 cggarcia@uw.edu

23 06/2015

1 **Acknowledgements**

2

3 Thank you Miles Logsdon for your unwavering support, confidence, and advice over the
4 past two years. Thank you Arthur Nowell for the organization, the motivation, the kind words,
5 and the cupcakes. Thank you to all aboard R/V *Thomas G. Thompson* and ashore in December,
6 2014 who helped make TN316 a safe, productive, and fun expedition. Finally, thank you to the
7 behind-the-scenes magicians at the School of Oceanography and University of Washington who
8 make these expeditions possible.

1 **Abstract**

2

3 Sonar is the primary tool used to remotely survey the seafloor. Sub-bottom profilers use
4 pulses of sound to penetrate the seafloor and create an acoustic profile of the seafloor and sub-
5 bottom. The profiles are traditionally inspected by sight to identify features of interest which is
6 time-consuming and requires an experienced human’s eye. I have developed a method using
7 eigenimage analysis that can automatically distinguish between three different types of seafloor
8 features found in the fjords of Nootka Sound: Sediment, sills, and rockslides. The method uses a
9 training set of features to build eigenimages that represent the orthogonal variance between
10 different features. Test features are projected onto the eigenimages and compared to the average
11 three feature types. The resulting projection coefficients are a signature characteristic to each
12 feature type and can be used to identify and classify seafloor features.

1. Introduction

2

3 Water strongly absorbs most of the electromagnetic spectrum which renders a significant
4 portion of humanity’s terrestrial remote sensing toolkit useless beneath the sea. Fortunately
5 sound waves propagate efficiently in water and numerous types of sonar have been developed
6 that help us understand and explore the ocean. Most modern academic research vessels are
7 equipped with several permanent acoustic instruments like multibeam echosounders and sub-
8 bottom profilers that collect more data than could reasonably be processed and analyzed by
9 individuals. It is likely that the gap between collected data and human analysts will continue to
10 grow in the future, especially if remotely operated vehicles (ROVs) and autonomous underwater
11 vehicles (AUVs) begin to carry out more acoustic surveys. There is one practical way to bridge
12 the analysis gap: Automation.

13

14 The full automation of processing and analyzing data for even one type of instrument
15 would be a huge undertaking but can be whittled down to manageable portions. I focus on the
16 problem of recognizing broad categories of seafloor features in profiles gathered by a sub-bottom
17 chirp echosounder. The sub-bottom feature recognition problem falls somewhere in between the
18 field of ocean acoustics and computer vision. The more general image recognition problem has
19 been automated repeatedly in many different cases with almost as many different methods.
20 Facial recognition was one of the first cases solved and is a major inspiration behind this thesis.
21 Broadly speaking, faces are not so different from seafloor features. Most faces share a similar
22 structure – a mouth, a nose, eyes, etc. – with minor variations in spacing, sizes, and so on
23 between individual faces. Likewise seafloor features such as seamounts or submarine landslides

24 have similar fundamental properties – acoustic impedance, roughness, shape – that vary slightly
25 between the same categories of features. It is not too farfetched to suggest that the eigenimage
26 approach first used to automatically distinguish between different faces may be able to
27 distinguish between different seafloor features.

28

29 **1.1 Eigenimage approach**

30

31 Eigenimage analysis has a formulation and intuition common to principal component
32 analysis (PCA), empirical orthogonal function (EOF) analysis, and canonical correlation analysis
33 (CCA). The heart of these techniques is the Karhunen-Loève expansion which represents some
34 set of high dimensional objects, maybe one hundred 10 megapixel images or a time series of 10^6
35 global temperature measurements, as linear combinations of orthogonal basis functions. While
36 the basis functions have the same dimensionality as the objects they represent, the linear
37 combination coefficients typically have a much lower dimensionality equal to the number of
38 basis functions. In the hypothetical example of one hundred 10 megapixel images, each image
39 could be stored as one hundred or fewer expansion coefficients without significant loss of
40 information. The key usefulness of eigenimage analysis is this ability to compress the
41 information contained within high dimensional objects.

42

43 The eigenimage approach was first developed to represent and characterize the faces of
44 different individuals (Sirovich & Kirby, 1986). Eigenimages were later combined with face
45 detection and tracking to create the first practical automatic facial recognition software (Turk &
46 Pentland, 1991). Incremental improvements since then have led to methods more sophisticated

47 than eigenimages (Belhumeur et al. 1997; Yang, 2000; Delac et al. 2007) but inspired by the
48 same basic concept of finding a lower dimensional representation of complex, high dimensional
49 images. The eigenimage approach has also been used to recognize voices (Kuhn et al. 2000),
50 organs in medical tomography (Windham et al. 1988), and other disparate features. In most cases
51 eigenimage analysis seems to be the first practical solution to a specific recognition problem and
52 eventually leads to more sophisticated solutions.

53

54 **1.2 Sub-bottom profilers**

55

56 Sub-bottom profilers are echosounders that use a transducer to convert electrical signals
57 to pulses of seafloor penetrating sound. The pulses reflect from objects on or in the seafloor and
58 from the boundaries between layers of different acoustic impedance. The sub-bottom profiler
59 detects the reflected acoustic signals and assigns each returning signal, or trace, an identifier
60 based on the return time and signal characteristics. The echosounder software often does
61 additional signal processing (Gutowski et al. 2002) and may be subject user-adjusted parameters
62 but invariably returns a profile made up of individual signal amplitude and time records, or
63 traces, that approximate the acoustic impedance and thus structure of the seafloor.

64

65 Sub-bottom profiles are highly heterogeneous and vary in readability and complexity
66 depending on the instrument and parameters use to collect the data, the methods used to process
67 and display the data, and the physical features of the sampled seafloor. The profiles are typically
68 visually inspected without additional post-processing (e.g. Dove et al. 2014). There are examples
69 example of eigenimage analysis applied to sub-bottom profiles (Kim et al. 2002; Lee et al. 2009)

70 but their approaches characterizes individual traces and does not distinguish between different
71 features made up of multiple traces. Since sub-bottom profiles are already often analyzed by eye
72 as images the eigenimage approach to automatic feature recognition seems appropriate.

1 **2. Methods**

2

3 The bulk of the study was carried out in four stages. First, sub-bottom profiles were
4 collected, processed, and interpreted. Second, three feature types – sediment, sills, and rockslides
5 – were identified within the sub-bottom profiles and extracted as a training set of 42 images and
6 a testing set of 6 images. Third, 42 eigenimages were computed from the covariance matrix of
7 the training set. Fourth, the 6 testing set images were projected onto the eigenimages and the
8 projection coefficients were compared to the coefficients of three average feature projections.

9

10 **2.1 Data collection and processing**

11

12 Sub-bottom profiles were obtained during expedition TN316 on the R/V *Thomas G.*
13 *Thompson* in December, 2014. Most of the expedition took place in Nootka Sound, a network of
14 fjords on the Southwest coast of Vancouver Island, Canada. The four sub-bottom profiles used
15 in the study were collected during surveys of Muchalat Inlet, Tahsis Inlet, and Tlupana Inlet
16 with a Knudsen 3260 Chirp Echosounder connected to an array of 12 hull-mounted TR-109 3.5
17 kHz transducers. Multibeam bathymetric surveys, sediment grabs, and shore expeditions were
18 also conducted in the inlets and helped interpret the sub-bottom profiles.

19

20 The echosounder was configured to send an outgoing chirp waveform centered at 3.5 kHz
21 with a bandwidth of 3.0 kHz transmitted at a rate of 0.1 s^{-1} , with a pulse length of $6.25 \times 10^{-5} \text{ s}$ at a
22 transmission power of 10 kW. Return signals were detected as Hamming filter correlated, square
23 law envelopes in a phase window of 200 m assuming a water sound velocity of 1500 m s^{-1} . The

24 signals were recorded as traces with 0 dB manual gain, 0 process shift, 0 sensitivity, and time
25 varied gain (TVG) disabled.

26

27 Individual traces consisted of 4,444 amplitude values within constant 200 m phase
28 windows. However the beginning of different trace windows was adjusted throughout surveys
29 with the depth of the seafloor. The traces were aligned by padding each individual trace with 0
30 values to 11,104 total amplitude values per trace corresponding to a window of about 500 m.

31

32 **2.2 Feature extraction**

33

34 For the purposes of the eigenimage procedure it is useful to think of the profiles as
35 mathematical objects. In that sense aligned profiles are matrices with approximately 11,104 rows
36 corresponding to the amplitude values within a trace and on the order of 20,000 columns
37 corresponding to individual traces. The three feature types common to each profile – sediment,
38 sills, and rockslides – are extracted by defining 1000 row by 500 column submatrices centered
39 and fit to the top of each feature. The 42 features of the training set to be used for eigenimage
40 computation are extracted from two Muchalat Inlet profiles while the 6 features in the testing set
41 are extracted from profiles of Tahsis Inlet and Tlupana Inlet.

42

43 Each extracted 1,000×500 feature matrix is resized to a 100×100 matrix using nearest
44 neighbor interpolation to increase the speed of computations. The feature matrices are then
45 Winsorized by clamping amplitude values at the 95th percentile to lessen the impact of spurious
46 outliers. Finally the matrices are standardized to have a mean of 0 and a standard deviation of 1.

47 The resized, Winsorized, and standardized feature matrices constitute the 42 images of the
48 training set and the 6 images of the test set.

49

50 **2.3 Eigenimage analysis and feature recognition**

51

52 The mathematical formulation of the eigenimage procedure is described fully in appendix
53 6.1 and the source code is provided in appendix 6.2. The 42 training set images are reshaped
54 from 100×100 matrices to 1×100^2 vectors and concatenated to form a 42×100^2 training set
55 matrix. The $100^2 \times 100^2$ covariance matrix is then computed from the training set matrix. The 42
56 eigenvectors and associated eigenvalues of the covariance matrix are computed and ordered by
57 magnitude from largest to smallest. Each $100^2 \times 1$ eigenvector is then reshaped to a 100×100
58 eigenimage. Mean images of each feature type in the training set are computed. The mean
59 feature images and the test images are then projected onto the eigenimages resulting in a set of
60 projection coefficients. The L^2 norm between the projection coefficients of each test image and
61 mean image are computed and used as the recognition heuristic.

1 **3. Results**

2

3 **3.1 Sub-bottom surveys**

4

5 Relative amplitude values are used to interpret the sub-bottom profiles. Low amplitudes
6 are interpreted as soft, low density strata such as mud or diffuse sound scatterers like groups of
7 multiple angular rock fragments. High amplitudes are interpreted as hard, high density
8 consolidated sediment, glacial till, sand, or smooth rock faces. These interpretations are
9 reinforced by co-located multibeam surveys, sediment samples, and shore expedition reports.
10 Most amplitudes fall between of 0 and 3,500. Amplitudes of 0 and 1 correspond to the sound
11 waves' unimpeded transit through the water column. Higher amplitudes signify higher amounts
12 of backscatter from the seafloor and subsurface. Extremely high amplitudes of up to 32,767 are
13 found on the edges of high impedance features and from source-pulse ringing and other water
14 column noise. The high amplitude outliers make up less than 1% of all values and do not appear
15 to be diagnostically useful. Profile plots are capped at amplitude values of 3,500 to increase
16 visual contrast.

17

18 The bottom of Muchalat Inlet (Fig. 1) is dominated by layered sediment punctuated by
19 rockslides up to 100 m thick. Two sills obstruct the mouth of Muchalat Inlet, cresting at depths
20 of approximately 100 m. The sediment layers between the sills are about 150 m deep and ten to
21 twenty meters thick. The seafloor descends further up the inlet to 300 m and then again to nearly
22 400 m. Sediment thickness tends to decrease away from the mouth of the inlet. Tahsis Inlet and
23 Tlupana Inlet (Fig. 2) both have shallow sills at their mouths that then descend to layers of

24 sediment. However the sills of Tahsis and Tlupana are less symmetric than those of Muchalat.
25 Both inlets also have more gently sloped walls than Muchalat and appear to have fewer
26 rockslides.

27

28 **3.2 Sub-bottom features**

29

30 Three types of features consistently appear in the profiles of each inlet: Sediments, sills,
31 and rockslides. Sediment features are identified primarily by at least one layer of low acoustic
32 impedance over a basement of high impedance. Sediment grabs and cores taken from several
33 suspected sediment features confirm that at least the top most layer is correctly identified as soft
34 sediment. Sill features protrude tens of meters from the seafloor, have a defined, often symmetric
35 crest, and a highly reflective perimeter. The locations of the sills identified in the sub-bottom
36 profiles agree with the sill locations charted in each inlet. Rockslides are identified by their
37 characteristic, cumulus cloud-like appearance which is due to the cone of sound emitted from the
38 echosounder scattering from multiple rocks with sharp angles. In many cases the rockslides were
39 also evident above sea level as great gouges in the fjords' steep walls.

40 The training feature set (Fig. 3) consists of 42 images from two surveys in Muchalat Inlet
41 and contains 31 sediment images, 4 sill images, and 7 rockslide images. The test feature set (Fig.
42 4) consists of 6 images from a survey in Tahsis Inlet and Tlupana Inlet and contains 2 images of
43 each feature type. The differences between individual sediment images are the number of visible
44 layers, the impedance of the layers, and the shape of the seafloor. About one third of the
45 sediment images are flat, the rest have some significant slope or curvature. Two sills are
46 represented in the four sill images. One sill is slightly narrower than the other and one of the

47 images is missing a section due to a poorly adjusted phase window. The rockslide images are
48 highly variable. Some capture the crests of rockslides, others are taken in between. The rockslide
49 images are defined by having a fairly even backscatter signal throughout the body of the feature.

50

51 **3.3 Eigenimages, projections, and norms**

52

53 The eigenimages and associated eigenvalues (Fig. 5) respectively represent the spatial
54 distribution and magnitude of the covariance between all images in the training set. The first
55 eigenimage accounts for the most covariance in the training set and most closely resembles the
56 flat sediment features. The second, third, and fourth eigenimages resemble sills while the fifth,
57 sixth, and seventh eigenimages resemble a mix of the sediment, sill, and rockslide images. The
58 eighth and later eigenimages appear to converge to roughly resemble sill images with varying
59 pixel patterns. Similarly the first seven eigenvalues decrease rapidly and stagnate after the eighth
60 eigenvalue.

61

62 The mean feature images projected onto the eigenimages (Fig. 6) appear to match the
63 original mean images exactly. The test images are not completely recovered from the
64 projections, but do roughly resemble the original features. The two test sediment projections look
65 like flat sediment, but the layers are not clearly visible. Instead there is a smeared band of dark
66 pixels just below the top of each image. The projected test sills and rockslides appear to mainly
67 differ in the distribution of pixels within the perimeter of the features. The sills have a dark
68 outline with light interiors while the rockslides have are similarly shaped but almost uniformly
69 colored. The L2 norms between the test images and the mean feature images are contained in

70 Table 1. Smaller L2 norms represent a better fit between the test image and the mean feature
71 image.

1 **4. Discussion**

3 **4.1 Suitability of the eigenimage approach**

5 The eigenimage approach was used to compute 42 eigenimages and eigenvalues from a
6 training set of 42 images. The first 7 eigenimages appear to account for most of the variance
7 between eigenimages. The first, fifth, sixth, and seventh eigenimages resemble sediment
8 features, the fourth eigenimage resembles a sill, and the second and third eigenimages resemble
9 rockslides. The remaining 35 eigenimages grossly resemble a mix of sill, rockslide, and sediment
10 shapes with minor but complex variations of scattered pixels. I interpret this as meaning that the
11 computed eigenimages can be used to readily distinguish between different major shapes, i.e.
12 sediment vs. sills and rockslides, but that they are not as adept at representing the minor
13 variations between different types of sediment features or between sills and rockslides. I attribute
14 this to the small number of images used in the training set. In facial recognition applications the
15 training sets are typically much larger – on the order of hundreds or thousands of images. I think
16 the eigenimage resolving power would improve within feature types given a large enough
17 training set.

18
19 Overall the eigenimage approach seems appropriate for representing and distinguishing
20 between different feature types. The highest weighted eigenimages represent different coherent
21 aspects of features and can be used to readily and almost perfectly reconstruct features within the
22 training set. The linear combinations of eigenimages are not as adept at capturing the intricacies
23 of the test features but the three feature types are still obvious.

24

25 **4.2 Feature recognition efficacy**

26

27 The L^2 norm, or Euclidean distance, was used as a metric of whether or not the
28 eigenimages could be used to identify the feature types of test images. Smaller L^2 norms between
29 the projection coefficients a test image and its respective mean feature image's indicate a fit. L^2
30 norms are thus a naïve method of recognizing the test images as different feature types and the
31 test images should have the lowest L^2 norm with their feature type. This appears to be the case
32 for sediment and rockslide test features. However sill test features had similar L^2 norms to both
33 the mean rockslide image and the mean sill image. I did not expect this outcome and suspect it
34 may be a spurious side effect of using the L^2 norm. The projected mean sill and mean rockslide
35 appear dissimilar and have different projection coefficients. The projected test sills and test
36 rockslides also appear dissimilar and have different projection coefficients. The L^2 norm may fail
37 in this case simply because it is measuring the distance between each projection coefficient and
38 that the distances between all of the test and mean image coefficients just happen to be similar. If
39 so, another metric should be able to correctly distinguish the test sills from rockslides.

40

41 **4.3 Future improvements**

42

43 The first major improvement to the facial recognition problem was made by combining
44 eigenimage recognition with face detection and tracking (Turk and Pentland, 1991). Face
45 detection and tracking roughly correspond to automatically detecting and extracting interesting
46 features in sub-bottom profiles, comparing them to an existing set of eigenimages, and then

47 adding them to that set. Automatic feature detection and extraction could quickly increase the
48 number and diversity of features in a training image set by adding features from the numerous
49 existing sub-bottom datasets. Manual feature extraction is time-consuming by comparison and is
50 the most obvious part of the procedure to improve.

51

52 After automating the feature extraction process and increasing the number and diversity
53 of potential training images and eigenimages, the next step would be to test different feature
54 recognition metrics. The L^2 norm is adequate for a first formulation but was quickly done away
55 with in facial recognition in favor of more sophisticated metrics or groups of metrics.
56 Additionally most modern, commercial image recognition systems use eigenimages, if at all, as
57 only one part of a process of several techniques such as Fisherfaces or the Viola-Jones algorithm
58 (Viola & Jones, 2004). The eigenimage approach works for recognizing sub-bottom features but
59 is only the tip of the sill when it comes to computer vision

5. Appendices

2

5.1 Eigenimage formulation

4

5 Each individual image is an $r \times c$ matrix with scalar-valued elements $\theta(x_1, x_2)$ where $1 \leq x_1 \leq$

6 $r \in \mathbb{Z}$ and $1 \leq x_2 \leq c \in \mathbb{Z}$. The training set of k images is \mathbf{M}_i , $1 \leq i \leq k \in \mathbb{Z}$ where

7

$$\mathbf{M}_i = \begin{bmatrix} \theta_i(1,1) & \theta_i(1,2) & \cdots & \theta_i(1,c) \\ \theta_i(2,1) & \theta_i(2,2) & \cdots & \theta_i(2,c) \\ \vdots & \vdots & \ddots & \vdots \\ \theta_i(r,1) & \theta_i(r,2) & \cdots & \theta_i(r,c) \end{bmatrix}$$

9

10 Each $r \times c$ training image \mathbf{M}_i is reshaped to form a $1 \times rc$ vector \mathbf{M}_i^* where

11

$$\mathbf{M}_i^* = [\theta_i(1,1) \quad \theta_i(1,2) \quad \cdots \quad \theta_i(1,rc)]$$

13 Each reshaped image \mathbf{M}_i^* is Winsorized so that $\theta_i(x_1, x_2) \leq \theta_{i,\alpha}(x_1, x_2)$ where $\theta_{i,\alpha}(x_1, x_2)$ is the element

14 value at the percentile α of \mathbf{M}_i^* .

15

16 The Winsorized images \mathbf{M}_i^* are then standardized so that

17

$$\mathbf{M}_i^\dagger = \frac{\mathbf{M}_i^* - \mu_i}{\sigma_i}$$

19 where

20

$$\mu_i = \frac{1}{rc} \sum_{x_1=1}^r \sum_{x_2=1}^c \theta_i(x_1, x_2) \text{ and } \sigma_i = \frac{1}{rc} \sum_{x_1=1}^r \sum_{x_2=1}^c |\theta_i(x_1, x_2) - \mu_i|$$

21

22

23

24 The k vectors \mathbf{M}_i^\dagger are vertically concatenated to form the $k \times rc$ training image matrix \mathbf{B} where

25

26

$$\mathbf{B} = \begin{bmatrix} \mathbf{M}_1^\dagger \\ \mathbf{M}_2^\dagger \\ \vdots \\ \mathbf{M}_i^\dagger \end{bmatrix} = \begin{bmatrix} \theta_1(1,1) & \theta_1(1,2) & \cdots & \theta_1(1,rc) \\ \theta_2(1,1) & \theta_2(1,2) & \cdots & \theta_2(1,rc) \\ \vdots & \vdots & \ddots & \vdots \\ \theta_i(r,1) & \theta_i(r,2) & \cdots & \theta_i(r,rc) \end{bmatrix}$$

27 Which has a square $rc \times rc$ covariance matrix $\mathbf{C} = \mathbf{B}^T \mathbf{B}$ with k eigenvalues λ_i and k $1 \times rc$

28 eigenvectors \mathbf{V}_i satisfied by $\mathbf{C}\mathbf{V}_i = \lambda_i \mathbf{V}_i$.

29

30 The eigenvectors are then reshaped to k $r \times c$ eigenimages \mathbf{E}_i .

```

1  5.2 Source code
2
3  % The following script is meant to be used with four sub-bottom profiles
4  % collected during expedition TN316 on the R/V Thomas G. Thompson to
5  % Nootka Sound.
6  %
7  % The script is broken up into 7 sections.
8  %
9  % 1. Profile alignment
10 %     Aligns the traces from survey SEG-Y files into coherent profiles.
11 %
12 % 2. Training image generation
13 %     Selects 42 training images from two repeat surveys of Muchalat
14 %     Inlet.
15 %
16 % 3. Mean feature image computation
17 %     Computes the mean image of the 3 feature types - sediment, sill,
18 %     and rockslide - represented in the training set.
19 %
20 % 4. Test image generation
21 %     Selects 3 images of each feature type from the surveys of Tahsis
22 %     and Tlupana.
23 %
24 % 5. Eigenimage computation
25 %     Computes the eigenvectors, eigenvalues, and eigenimages of the
26 %     training image covariance matrix.
27 %
28 % 6. Mean image projection
29 %     Projects the images of mean feature types onto the training set
30 %     eigenimages and returns the projections and projection
31 %     coefficients.
32 %
33 % 7. Test image projection
34 %     Projects the test images onto the training set eigenimages and
35 %     returns the projections and projection coefficients.
36 %
37 % 8. L2/Euclidean norms
38 %     Computes the L2 norms between each test image and each mean image.
39 %
40 % Charles Garcia, 2015, cggarcia@uw.edu
41
42 %% 1. Profile alignment
43     muchalat1 = alignProfile('muchalat1.sgy');
44     muchalat2 = alignProfile('muchalat2.sgy');
45     tahsis1   = alignProfile('tahsis.sgy');
46     tlupana1  = alignProfile('tlupana.sgy');
47
48 %% 2. Training image generation
49 % Select coordinates of 500x1000 rectangles around features
50     [trainingSetCoords, ...
51      MU1Sed, MU1Sill, MU1Slide, ...
52      MU2Sed, MU2Sill, MU2Slide] = ...
53     selectTrainingSet;
54
55 % Resize 500x1000 features to 100x100 using nearest neighbor interpolation
56     [trainingImages, ...

```

```

57     MU1SedImages, MU1SillImages, MU1SlideImages, ...
58     MU2SedImages, MU2SillImages, MU2SlideImages] ...
59     = resizeImages(muchalat1, muchalat2, ...
60                   MU1Sed, MU1Sill, MU1Slide, ...
61                   MU2Sed, MU2Sill, MU2Slide, ...
62                   100, 100, 'nearest');
63
64 % Winsorize images to the 95th percentile value
65     trainingImages = winsorize(trainingImages,95);
66
67 % Standardize images to have a mean of 0 and standard deviation of 1
68     trainingImages = standardize(trainingImages);
69
70 %% 3. Mean feature image computation
71     meanImages = ...
72     computeMeanImages(trainingImages, ...
73                       MU1SedImages, MU1SillImages, MU1SlideImages, ...
74                       MU2SedImages, MU2SillImages, MU2SlideImages);
75
76 % Standardize images to have a mean of 0 and standard deviation of 1
77     meanImages = standardize(meanImages);
78
79 %% 4. Test image generation
80 % Select coordinates of 500x1000 rectangles around features
81     [testSetCoords, ...
82     TA1Sed, TA1Sill, TA1Slide, ...
83     TL1Sed, TL1Sill, TL1Slide] = ...
84     selectTestSet;
85
86 % Resize 500x1000 features to 100x100 using nearest neighbor interpolation
87     [testImages, ...
88     TA1SedImages, TA1SillImages, TA1SlideImages, ...
89     TL1SedImages, TL1SillImages, TL1SlideImages] ...
90     = resizeImages(tahsis1, tluapanal, ...
91                   TA1Sed, TA1Sill, TA1Slide, ...
92                   TL1Sed, TL1Sill, TL1Slide, ...
93                   100, 100, 'nearest');
94
95     testImages(6) = [];
96     testImages(3) = [];
97
98
99 % Winsorize images to the 95th percentile value
100     testImages = winsorize(testImages,95);
101
102 % Standardize images to have a mean of 0 and standard deviation of 1
103     testImages = standardize(testImages);
104
105 %% 5. Eigenimage computation
106     [eigenImages, eigenvalues, eigenvectors] = ...
107     computeEigenImages(trainingImages);
108
109 %% 6. Mean image projection
110     [projMeanImages, projMeanCoefficients] = ...
111     projectImages(meanImages, eigenvectors);
112
113 %% 7. Test image projection

```

```
114     [projTestImages, projTestCoefficients] = ...
115     projectImages(testImages, eigenvectors);
116
117 %% 8. L2/Euclidean norms
118
119     for n = 1:size(projMeanImages,2)
120         for m = 1:size(projTestImages,2)
121             euclideanNorm(n,m) = norm(projMeanImages{n} -
122 projTestImages{m})/norm(projMeanImages{n});
123         end
124     end
```

```

1  function profileAligned = alignProfile(filename)
2
3  %alignProfile
4  %
5  % Usage:
6  % alignedProfile = alignProfile('filename')
7  %
8  % Aligns a profile in SEG-Y file format from a Knudsen Chirp 3260
9  % sub-bottom profiler.
10 %
11 %     ReadSegy() depends on the SegyMAT library by Thomas Mejer Hansen
12 %     available at http://segymat.sourceforge.net/
13 %
14 % Charles Garcia, 2015, cggarcia@uw.edu
15
16 [data, segyTraceHeaders] = ReadSegy(filename);
17
18 phaseStart = [seggyTraceHeaders.TransductionUnit];
19 phaseWidth = [seggyTraceHeaders.TransductionConstantMantissa];
20
21 [rows, columns] = size(data);
22
23 profileAligned = zeros(2.5*rows-6, columns);
24
25 for n = 1:columns;
26     profileAligned(:,n) = ...
27         vertcat(zeros(((phaseStart(n)/10)*222),1), ...
28                 data(:,n), ...
29                 zeros(((500-phaseWidth(n)-phaseStart(n))/10)*222),1));
30 end

```

```

1 function imagesWinsorized = winsorize(images,percentile)
2
3 %winsorize
4 %
5 % Clamps amplitudes of images in a cell array to a percentile of the
6 % amplitude values in each image.
7 %
8 % Usage example:
9 % trainingImages = winsorize(trainingImages,95)
10 %
11 % Clamps the amplitude of each image in trainingImages to the value
12 % at the 95th percentile.
13 %
14 % Charles Garcia, 2015, cggarcia@uw.edu
15
16
17 nImages = size(images,2);
18
19 [rows, columns] = size(images{1});
20
21 imagesWinsorized = images;
22
23 for n = 1:nImages
24     winsorClamp = prctile(reshape(images{n}, 1, rows.*columns), ...
25                          percentile);
26     imagesWinsorized{n}(imagesWinsorized{n}>winsorClamp) = winsorClamp;
27 end

```

```

1  function imagesStandardized = standardize(images)
2
3  %standardize
4  %
5  % Standardizes each image to a mean of 0 and standard deviation of 1.
6  % The standardization z of some dataset x is  $z = (x - \text{mean}(x)) ./ \text{std}(x)$ 
7  %
8  % Usage example:
9  % trainingImages = standardize(trainingImages);
10 %
11 % Each image in trainingImages will be standardized to have a mean of 0
12 % and a standard deviation of 1.
13 %
14 % Charles Garcia, 2015, cggarcia@uw.edu
15
16
17 nImages = size(images,2);
18
19 [rows, columns] = size(images{1});
20
21 imagesStandardized = images;
22
23 for n = 1:nImages
24     imagesMean = mean(reshape(images{n}, 1, rows.*columns));
25     imagesStdev = std(reshape(images{n}, 1, rows.*columns));
26     imagesStandardized{n} = (images{n} - imagesMean)./imagesStdev;
27 end

```

```

1 function [trainingSetCoords, ...
2         p1Sed, p1Sill, p1Slide ...
3         p2Sed, p2Sill, p2Slide] = ...
4         selectTrainingSet
5
6 %selectTrainingSet
7 %
8 % Defines coordinates for ~500x1000 rectangles around features in the
9 % first and second sub-bottom profiles of Muchalat Inlet (MU1, MU2)
10 % for the eigenimage training set.
11 %
12 % Usage example:
13 % [trainingSetCoords, ...
14 %  MU1Sed, MU1Sill, MU1Slide, ...
15 %  MU2Sed, MU2Sill, MU2Slide] = ...
16 %  selectTrainingSet
17 %
18 % Charles Garcia, 2015, cggarcia@uw.edu
19
20 % Muchalat profile 1 feature coordinates
21 % Sediment
22     p1Sed(1,1:4) = [1     500   4150 5150];
23     p1Sed(2,1:4) = [500   1000  4250 5250];
24     p1Sed(3,1:4) = [2400  2900  3450 4450];
25     p1Sed(4,1:4) = [2900  3400  3300 4300];
26     p1Sed(5,1:4) = [3400  3900  3250 4250];
27     p1Sed(6,1:4) = [3900  4400  3350 4350];
28     p1Sed(7,1:4) = [4400  4900  3350 4350];
29     p1Sed(8,1:4) = [4900  5400  3200 4200];
30     p1Sed(9,1:4) = [8450  8950  6350 7350];
31     p1Sed(10,1:4) = [8950  9450  6300 7300];
32     p1Sed(11,1:4) = [9800  10300 6400 7400];
33     p1Sed(12,1:4) = [10300 10800 6400 7400];
34     p1Sed(13,1:4) = [10800 11300 6250 7250];
35     p1Sed(14,1:4) = [11300 11800 6100 7100];
36     p1Sed(15,1:4) = [14000 14500 8100 9100];
37     p1Sed(16,1:4) = [14500 15000 8000 9000];
38     p1Sed(17,1:4) = [15000 15500 8050 9050];
39     p1Sed(18,1:4) = [15500 16000 7900 8900];
40     p1Sed(19,1:4) = [16800 17300 8200 9200];
41     p1Sed(20,1:4) = [17300 17800 8150 9150];
42     p1Sed(21,1:4) = [17800 18300 8000 9000];
43 % Sills
44     p1Sill(1,1:4) = [1350  1850  2200 3200];
45     p1Sill(2,1:4) = [5600  6100  1600 2600];
46
47 % Rockslides
48     p1Slide(1,1:4) = [7650  8150  1900 2900];
49     p1Slide(2,1:4) = [11800 12300 4750 5750];
50
51
52 % Muchalat profile 2 feature coordinates
53 % Sediment
54     p2Sed(1,1:4) = [1     500   7150 8150];
55     p2Sed(2,1:4) = [500   1000  7100 8100];
56     p2Sed(3,1:4) = [2100  2600  7700 8700];

```

```

57     p2Sed(4,1:4) = [3800 4300 8100 9100];
58     p2Sed(5,1:4) = [11200 11700 6200 7200];
59     p2Sed(6,1:4) = [14300 14800 3200 4200];
60     p2Sed(7,1:4) = [14800 15300 3400 4400];
61     p2Sed(8,1:4) = [15300 15800 3300 4300];
62     p2Sed(9,1:4) = [15800 16300 3300 4300];
63     p2Sed(10,1:4) = [17650 18150 4300 5300];
64     % Sills
65     p2Sill(1,1:4) = [13650 14150 1550 2550];
66     p2Sill(2,1:4) = [17025 17525 2200 3200];
67     % Rockslides
68     p2Slide(1,1:4) = [3300 3800 3650 4650];
69     p2Slide(2,1:4) = [4900 5400 6500 7500];
70     p2Slide(3,1:4) = [5450 5950 3700 4700];
71     p2Slide(4,1:4) = [6000 6500 5500 6500];
72     p2Slide(5,1:4) = [8500 9000 4000 5000];
73
74     trainingSetCoords = vertcat(p1Sed, p2Sed, ...
75                                p1Sill, p2Sill, ...
76                                p1Slide, p2Slide);
77

```

```

1 function meanImages =
2     computeMeanImages(images,
3         p1SedImages, p1SillImages, p1SlideImages, ...
4         p2SedImages, p2SillImages, p2SlideImages)
5
6 % Compute mean images of each feature type, sediment, sill, and rockslide
7 % from a set of training images.
8 %
9 % Usage example:
10 % meanImages =
11 %     computeMeanImages(trainingImages,
12 %         MU1SedImages, MU1SillImages, MU1SlideImages, ...
13 %         MU2SedImages, MU2SillImages, MU2SlideImages);
14 %
15 % Charles Garcia, 2015, cggarcia@uw.edu
16
17 nImages = size(images,2);
18 [rows, columns] = size(images{1});
19
20 % Mean sediment
21     nSedImages = size(p1SedImages, 2) + size(p2SedImages, 2);
22     meanSed = zeros(rows, columns); % preallocate memory
23
24     for n = 1:nSedImages
25         meanSed = meanSed + images{n};
26     end
27
28     meanSed = meanSed ./ (nSedImages);
29
30 % Mean sill
31     nSillImages = size(p1SillImages, 2) + size(p2SillImages, 2);
32     meanSill = zeros(rows, columns); % preallocate memory
33
34     for n = (nSedImages + 1):(nSedImages + nSillImages)
35         meanSill = meanSill + images{n};
36     end
37
38     meanSill = meanSill ./ nSillImages;
39
40
41 % Mean rockslide
42     nSlideImages = size(p1SlideImages, 2) + size(p2SlideImages, 2);
43     meanSlide = zeros(rows, columns); % preallocate
44
45     for n = (nSedImages + nSillImages + 1):(nSedImages + nSillImages + ...
46         nSlideImages)
47         meanSlide = meanSlide + images{n};
48     end
49
50     meanSlide = meanSlide ./ nSlideImages;
51
52 meanImages{1} = meanSed;
53 meanImages{2} = meanSill;
54 meanImages{3} = meanSlide;

```

```

1 function [testSetCoords,      ...
2         p1Sed, p1Sill, p1Slide ...
3         p2Sed, p2Sill, p2Slide] = ...
4         selectTestSet
5
6 %selectTestSet
7 %
8 % Defines coordinates for ~500x1000 rectangles around features in
9 % sub-bottom profiles of Tahsis Inlet and Tlupana Inlet (TA1, TL1).
10 %
11 % Usage example:
12 % [testSetCoords,      ...
13 %   TA1Sed, TA1Sill, TA1Slide, ...
14 %   TL2Sed, TL2Sill, TL2Slide] = ...
15 %   selectTestSet
16 %
17 % Charles Garcia, 2015, cggarcia@uw.edu
18
19 % Sediment
20     p1Sed(1,1:4) = [12000  12500  4450 5450];
21     p1Sed(2,1:4) = [14200  14700  4200 5200];
22 % Sills
23     p1Sill(1,1:4) = [18800  19300  1000 2000];
24 % Rockslides
25     p1Slide(1,1:4) = [1      500    1    1000];
26
27 % Tlupana profile 1 feature coordinates
28 % Sediment
29     p2Sed(1,1:4) = [1      500    1    1000];
30 % Sills
31     p2Sill(1,1:4) = [2550  3050  1350 2350];
32 % Rockslides
33     p2Slide(1,1:4) = [7200  7700  3900 4900];
34     p2Slide(2,1:4) = [15350 15850 3100 4100];
35
36 testSetCoords = vertcat(p1Sed,  p2Sed, ...
37                        p1Sill,  p2Sill, ...
38                        p1Slide, p2Slide);
39

```

```

1 function [trainingImages, ...
2         p1SedImages, p1SillImages, p1SlideImages, ...
3         p2SedImages, p2SillImages, p2SlideImages] ...
4         = resizeImages(profile1, profile2, ...
5                       p1Sed, p1Sill, p1Slide, ...
6                       p2Sed, p2Sill, p2Slide, ...
7                       resizeRows, resizeColumns, resizeInterp)
8
9 %resizeImages
10 %
11 % The feature coordinates are used to extract sub-matrices from profiles
12 % resized to some standard number of rows and columns. Works for both the
13 % training and test image sets.
14 %
15 % Usage example:
16 % [trainingImages, ...
17 %  MU1SedImages, MU1SillImages, MUp1SlideImages, ...
18 %  MU2SedImages, MU2SillImages, MU2SlideImages] ...
19 % = resizeImages(muchalat1, muchalat2, ...
20 %               MU1Sed, MU1Sill, MU1Slide, ...
21 %               MU2Sed, MU2Sill, MU2Slide, ...
22 %               100, 100, 'nearest');
23 %
24 % Resizes the 500x100 rectangles specified by selectTrainingSet output
25 % to 100x100 images with nearest neighbor interpolation.
26 %
27 % Charles Garcia, 2015, cggarcia@uw.edu
28
29 % Profile 1 sediment training images
30 for n = 1:size(p1Sed,1);
31     p1SedImages{n} = ...
32         imresize(profile1(p1Sed(n,3):p1Sed(n,4), ...
33                       p1Sed(n,1):p1Sed(n,2)), ...
34                 [resizeRows,resizeColumns], ...
35                 resizeInterp);
36 end
37
38 % Profile 1 sill training images
39 for n = 1:size(p1Sill,1);
40     p1SillImages{n} = ...
41         imresize(profile1(p1Sill(n,3):p1Sill(n,4), ...
42                       p1Sill(n,1):p1Sill(n,2)), ...
43                 [resizeRows,resizeColumns], ...
44                 resizeInterp);
45 end
46
47 % Profile 1 rockslide training images
48 for n = 1:size(p1Slide,1);
49     p1SlideImages{n} = ...
50         imresize(profile1(p1Slide(n,3):p1Slide(n,4), ...
51                       p1Slide(n,1):p1Slide(n,2)), ...
52                 [resizeRows,resizeColumns], ...
53                 resizeInterp);
54 end
55
56 % Muchalat profile 2 sediment training images

```

```

57     for n = 1:size(p2Sed,1);
58         p2SedImages{n} = ...
59             imresize(profile2(p2Sed(n,3):p2Sed(n,4), ...
60                             p2Sed(n,1):p2Sed(n,2)), ...
61                             [resizeRows,resizeColumns], ...
62                             resizeInterp);
63     end
64
65 % Profile 2 sill training images
66     for n = 1:size(p2Sill,1);
67         p2SillImages{n} = ...
68             imresize(profile2(p2Sill(n,3):p2Sill(n,4), ...
69                             p2Sill(n,1):p2Sill(n,2)), ...
70                             [resizeRows,resizeColumns], ...
71                             resizeInterp);
72     end
73
74 % Profile 2 rockslide training images
75     for n = 1:size(p2Slide,1);
76         p2SlideImages{n} = ...
77             imresize(profile2(p2Slide(n,3):p2Slide(n,4), ...
78                             p2Slide(n,1):p2Slide(n,2)), ...
79                             [resizeRows,resizeColumns], ...
80                             resizeInterp);
81     end
82
83 trainingImages = horzcat(p1SedImages,    p2SedImages, ...
84                         p1SillImages,    p2SillImages, ...
85                         p1SlideImages,    p2SlideImages);

```

```

1 function [eigenImages, eigenvalues, eigenvectors] = ...
2     computeEigenImages(trainingImages)
3
4 %computeEigenImages
5 %
6 % Computes the covariance matrix and associated eigenvectors and
7 % eigenvalues for a set of training images.
8 %
9 % Usage example:
10 % [eigenImages, eigenvalues, eigenvectors] =
11 % computeEigenImages(trainingImages);
12 %
13 % Computes the 42 eigenimages and eigenvalues associated with the
14 % covariance matrix of the 42 images in trainingImages.
15 %
16 % Charles Garcia, 2015, cggarcia@uw.edu
17
18     nImages = size(trainingImages,2);
19     [rows, columns] = size(trainingImages{1});
20
21 % Reshape each training image into a vector and concatenate to form
22 % the full training set matrix.
23     trainingMatrix = zeros(nImages, rows.*columns); % preallocate memory
24
25     for n = 1:nImages
26         trainingMatrix(n,:) = reshape(trainingImages{n}, 1, rows.*columns);
27     end
28
29     covarianceMatrix = trainingMatrix' * trainingMatrix;
30
31     nEigs = nImages; % number of eigenvector/eigenvalue pairs to compute
32     sigmaEigs = 'lm'; % orders by eigenvalue from largest to smallest
33     [eigenvectors, eigenvalues] = eigs(covarianceMatrix, nEigs, sigmaEigs);
34
35 % Reshape the eigenvectors into eigenimages with the same number of rows
36 % and columns as the training images.
37     for n = 1:nImages
38         eigenImages{n} = reshape(eigenvectors(1:rows.*columns, n), ...
39                                 rows, columns);
40     end
41

```

```

1 function [projectionImages, projectionCoefficients] = ...
2     projectImages(images, eigenvectors)
3
4 %projectImages
5 %
6 % Projects a set of images onto the training set eigenimages and returns
7 % the projected images and coefficients.
8 %
9 % Usage example:
10 % [projTestImages, projTestCoefficients] = ...
11 % projectImages(testImages, eigenvectors);
12 %
13 % Charles Garcia, 2015, cggarcia@uw.edu
14
15     nImages = size(images,2);
16     [rows, columns] = size(images{1});
17
18     for n = 1:nImages
19         projectionCoefficients{n} = ...
20             reshape(images{n}, 1, rows.*columns)*eigenvectors;
21     end
22
23     for n = 1:nImages
24         projectionImages{n} = ...
25             reshape(projectionCoefficients{n}*eigenvectors', rows, columns);
26     end
27

```

Table 1. L^2 norms between the mean feature image projection coefficients (rows) and the test image projection coefficients (columns). Smaller norms indicate a better match between the test image and the feature type.

	1 Sediment	2 Sediment	3 Sill	4 Sill	5 Rockslide	6 Rockslide
Sediment	0.63	0.38	0.78	0.73	1.40	1.25
Sill	1.47	1.45	0.76	0.76	1.08	0.96
Rockslide	1.41	1.35	1.18	1.18	0.84	0.73

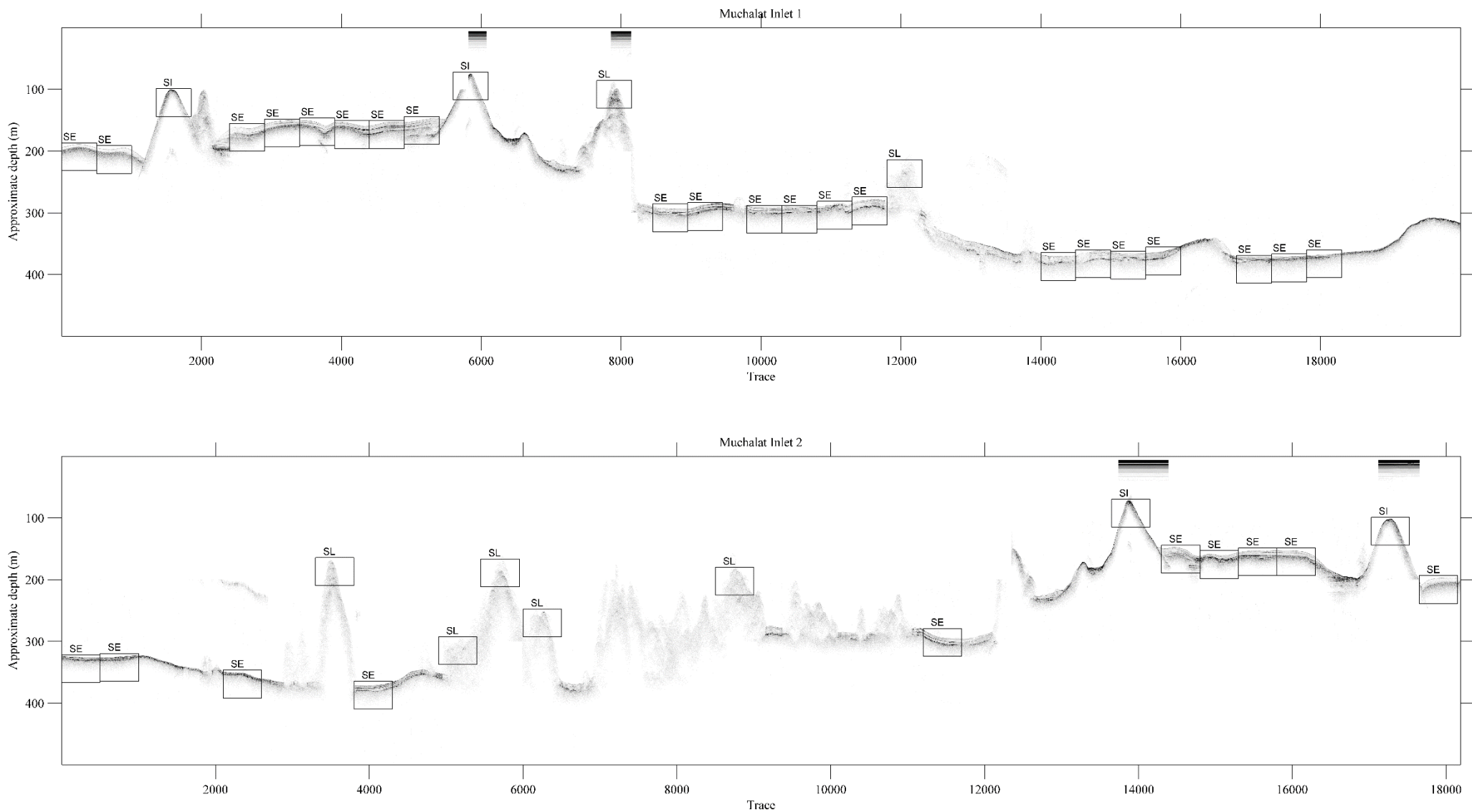


Figure 1. Aligned sub-bottom profiles from two surveys of Muchalat Inlet. The depth axis assumed a constant sound velocity of 1500 m s^{-1} in water. The rectangles indicate features in the training set of images. Sediment features are labeled SE, sill features are labeled SI, and rockslide features are labeled SL.

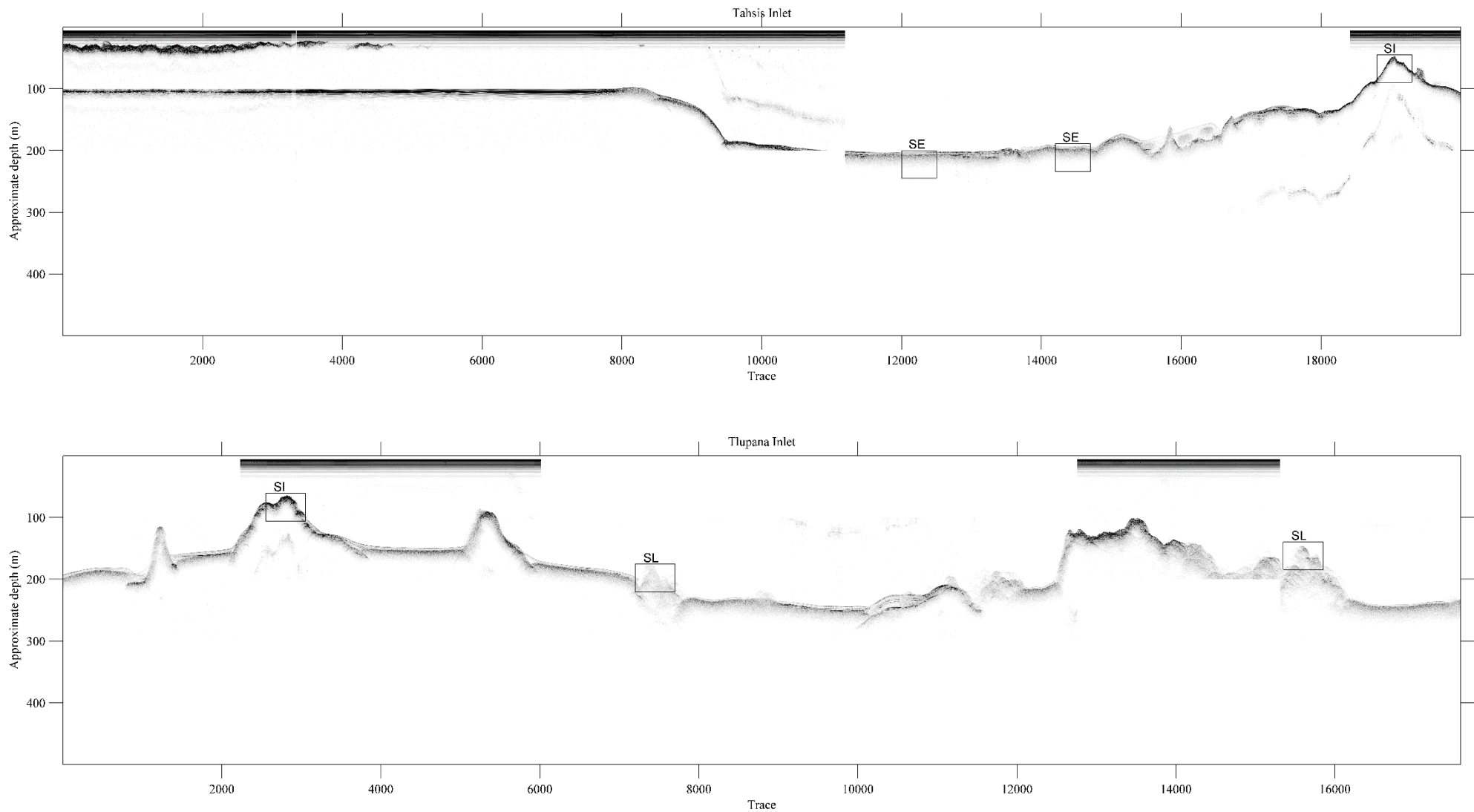


Figure 2. Aligned sub-bottom profiles from surveys of Tahsis and Tlupana Inlets. The depth axis assumed a constant sound velocity of 1500 m s^{-1} in water. The rectangles indicate features in the test set of images. Sediment features are labeled SE, sill features are labeled SI, and rockslide features are labeled SL.

Training images

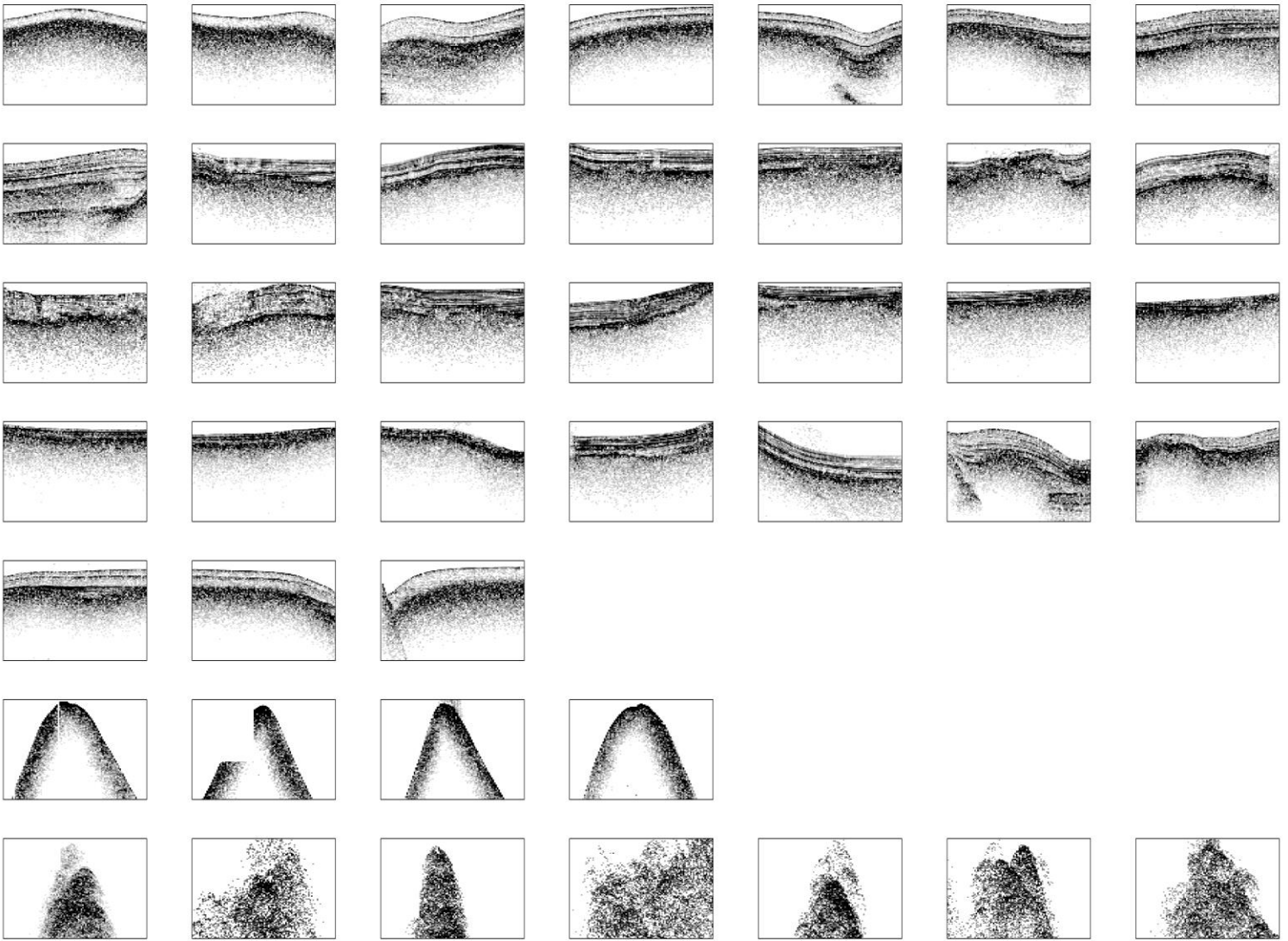


Figure 3. The set of training images from two surveys of Muchalat Inlet. The images in the first five rows are sediment features. The images in the next row are sills. The images in the last row are rockslides.

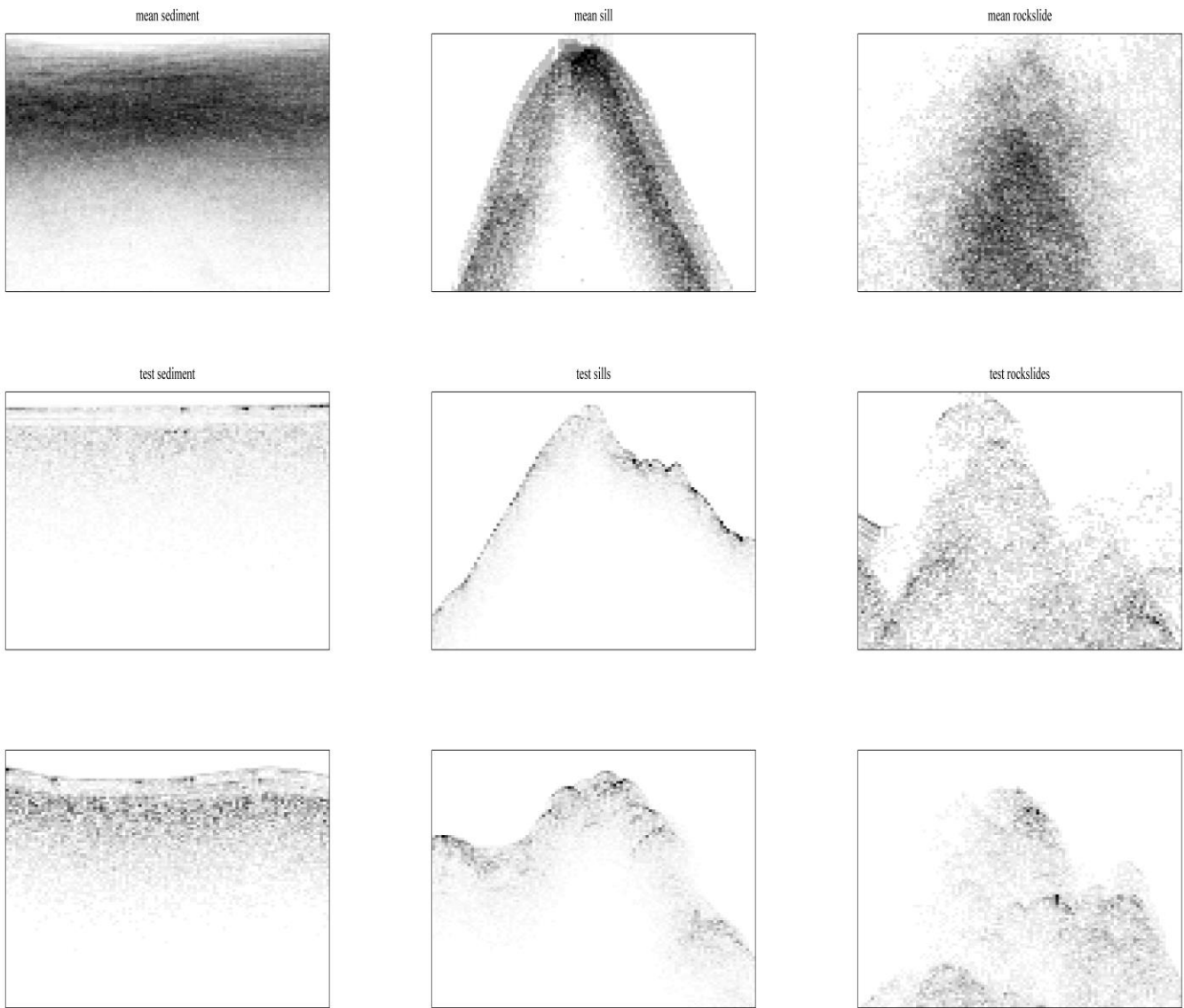


Figure 4. The mean feature images computed from the training set and the test images from surveys of Tahsis Inlet and Tlupana Inlet. The first row are mean feature images. The second and third row are test images.

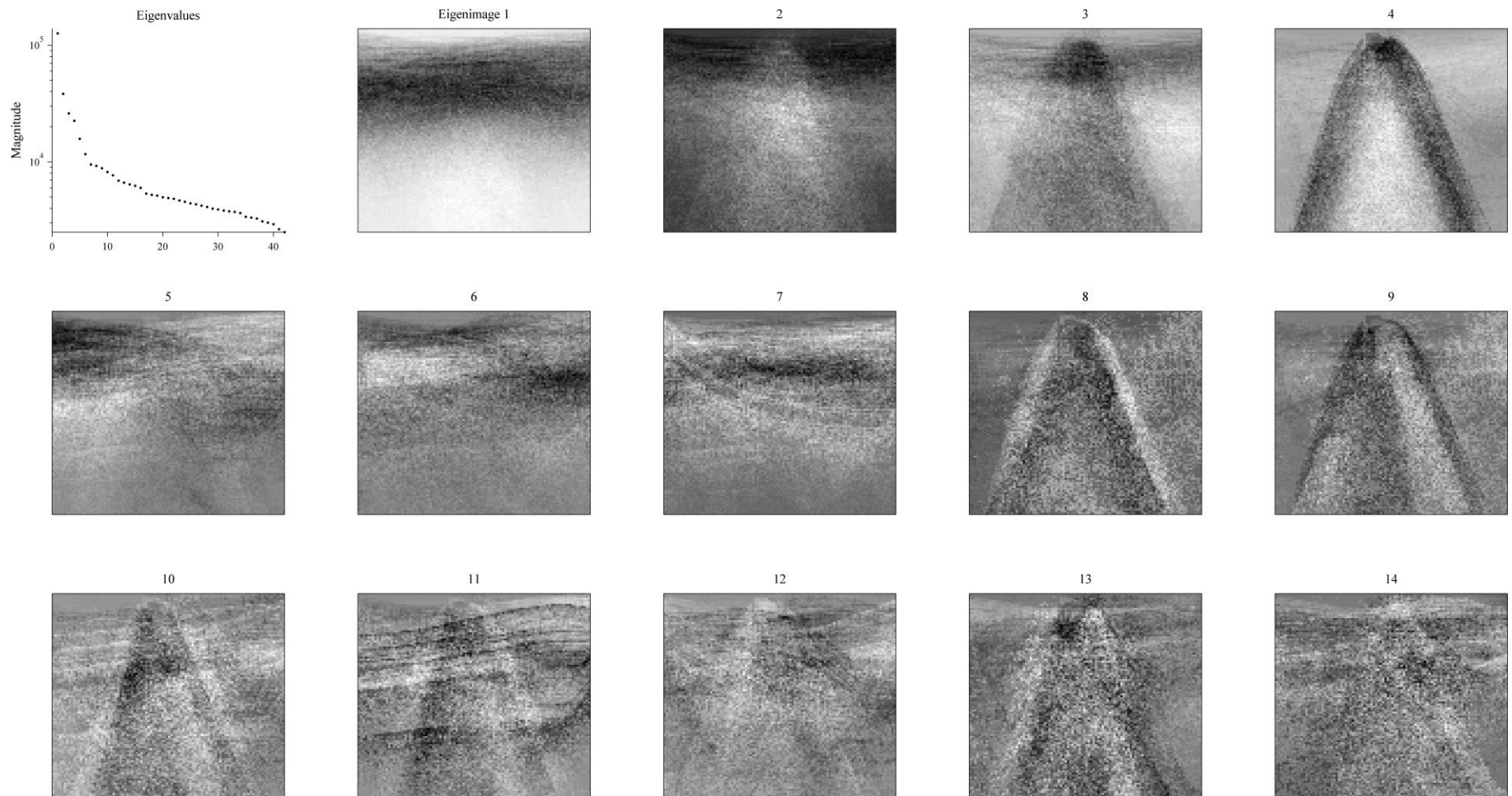


Figure 5. The eigenvalues (upper left) and eigenimages computed from the covariance matrix of the training images. The first 7 eigenvalues decrease rapidly corresponding to the high spatial variation between eigenimages 1 through 7. The rest of the eigenvalues decrease gradually and the rest of the eigenimages stagnate into a similar overall pattern with only minor variations.

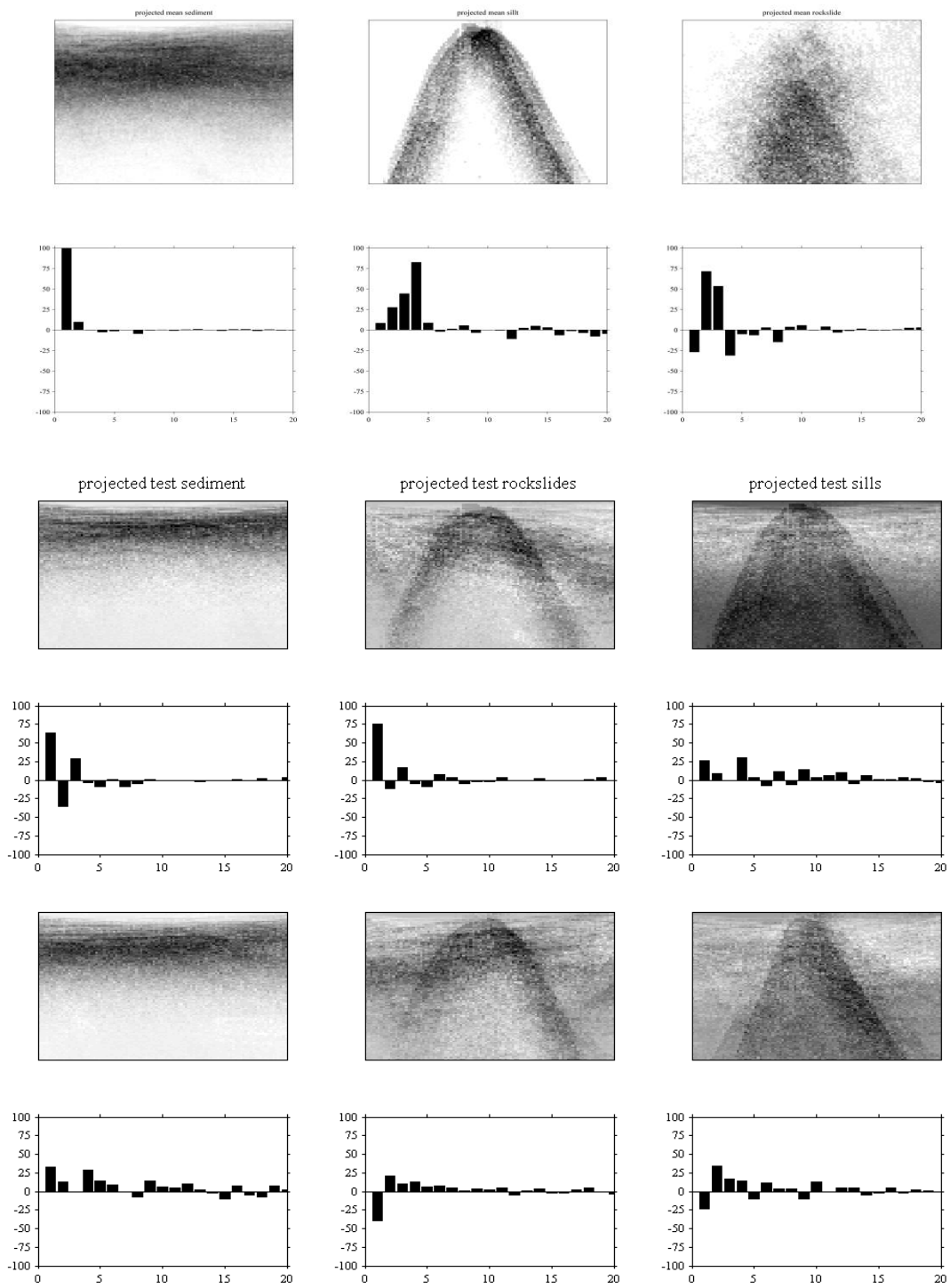


Figure 6. Projections of the mean feature images and test images with their projection coefficients immediately below.

- Belhumeur, P.N., Hespanha, J.P., Kriegman, D., 1997. Eigenfaces vs. fisherfaces: Recognition using class specific linear projection. *Pattern Analysis and Machine Intelligence, IEEE Transactions on* 19, 711–720.
- Delac, K., Grgic, M., Liatsis, P., 2005. Appearance-based statistical methods for face recognition, in: *47th International Symposium ELMAR, Zadar, Croatia*. pp. 8–10.
- Dove, D., Polyak, L., Coakley, B., 2014. Widespread, multi-source glacial erosion on the Chukchi margin, Arctic Ocean. *Quaternary Science Reviews, APEX II: Arctic Palaeoclimate and its Extremes* 92, 112–122. doi:10.1016/j.quascirev.2013.07.016
- Gutowski, M., Bull, J., Henstock, T., Dix, J., Hogarth, P., Leighton, T., White, P., 2002. Chirp sub-bottom profiler source signature design and field testing. *Marine Geophysical Researches* 23, 481–492. doi:10.1023/B:MARI.0000018247.57117.0e
- Kim, H.-J., Chang, J.-K., Jou, H.-T., Park, G.-T., Suk, B.-C., Kim, K.Y., 2002. Seabed classification from acoustic profiling data using the similarity index. *The Journal of the Acoustical Society of America* 111, 794–799. doi:10.1121/1.1433812
- Kuhn, R., Junqua, J.-C., Nguyen, P., Niedzielski, N., 2000. Rapid speaker adaptation in eigenvoice space. *IEEE Transactions on Speech and Audio Processing* 8, 695–707. doi:10.1109/89.876308
- Lee, G.H., Kim, H.J., Kim, D.C., Yi, B.Y., Nam, S.M., Khim, B.K., Lim, M.S., 2009. The acoustic diversity of the seabed based on the similarity index computed from Chirp seismic data. *ICES J. Mar. Sci.* 66, 227–236. doi:10.1093/icesjms/fsn142
- Sirovich, L., Kirby, M., 1987. Low-dimensional procedure for the characterization of human faces. *JOSA A* 4, 519–524.
- Turk, M.A., Pentland, A.P., 1991. Face recognition using eigenfaces, in: , *IEEE Computer Society Conference on Computer Vision and Pattern Recognition, 1991. Proceedings CVPR '91*. Presented at the , *IEEE Computer Society Conference on Computer Vision and Pattern Recognition, 1991. Proceedings CVPR '91*, pp. 586–591. doi:10.1109/CVPR.1991.139758
- Viola, P., Jones, M.J., 2004. Robust Real-Time Face Detection. *International Journal of Computer Vision* 57, 137–154. doi:10.1023/B:VISI.0000013087.49260.fb
- Windham, J.P., Abd-Allah, M.A., Reimann, D.A., Froelich, J.W., Hagggar, A.M., 1988. Eigenimage filtering in MR imaging. *J Comput Assist Tomogr* 12, 1–9.
- Yang, M.-H., Ahuja, N., Kriegman, D., 2000. Face recognition using kernel eigenfaces, in: *2000 International Conference on Image Processing, 2000. Proceedings. Presented at the 2000 International Conference on Image Processing, 2000. Proceedings*, pp. 37–40 vol.1. doi:10.1109/ICIP.2000.900886