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Using Landslides Induced by Earthquakes for Paleoseismology, Hazard
Prediction, and Spatial Analysis

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Abstract

Using Landslides Induced by Earthquakes for Paleoseismology, Hazard Prediction, and Spatial Analysis

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Landslides are a dangerous natural hazard that can kill people and damage property. Mass movement on hillslopes also erodes mountains and shapes landscapes over the longer term. By studying both landslides and their causes, we can better understand how they influence the environment and how to minimize the risk they pose to people and property. Landslides can be caused by shaking from an earthquake. Unlike landslides induced by other forces such as rainfall, earthquake induced landslides all happen at roughly the same time, which can amplify their hazards and impact on the landscape. I use this pulsed nature of earthquake induced landslides to investigate past earthquakes on the Seattle Fault. The Seattle Fault represents a major hazard for the city of Seattle, but has not experienced a major earthquake since approximately 1000 years ago. Because of this, earthquakes on the fault are poorly understood. We date past landslides in the area using a novel roughness based landslide dating technique. By looking for times when more landslides occurred than normal in places that would likely have strong shaking from an earthquake, I find landslide clusters that likely represent past earthquakes on the Seattle Fault. This method can be generalized to other areas prone to landsliding and infrequent but strong earthquakes. Next, I examine different scenarios to predict where a modern Seattle Fault earthquake would induce landslides. By combining the ground motions from 18 different scenario Seattle Fault kinematic earthquake models and a multi-modal landslide hazard model, I find where landslides are likely to occur

and what controls these patterns. Over all scenarios, I find that there are always high numbers of modelled landslides (10% of slopes failing with translational landslides, and 4% of slopes failing in rotational landslides) and that these landslides mostly occur in the same places as modern rainfall induced landslides. Between scenarios, water saturation and local geologic strength are more important factors than earthquake properties such as hypocenter and magnitude. Finally, I investigate the assumptions behind the roughness based landslide dating technique used previously. I measure the roughness of earthquake induced landslide inventories from both the 1994 Northridge CA, USA and 2016 Kaikoura, NZ earthquakes. The roughness based dating method assumes that these landslides are all about the same roughness when they occur, however I find that these landslides actually have a wide range of initial roughness values. The range of initial roughness values can be reduced by filtering landslides by geology and landslide size. I also test how landslide deposits smooth over time by comparing repeat lidar surveys of the 2014 Oso, WA, USA landslide over a ten year period. These data show that geology also plays an important role in determining the rate at which the landslide smooths. Overall I found that when different geologic units are isolated and analysed separately, the variation in roughness in large landslides (Area $\geq 10,000 m^2$) would lead to a variation in estimated ages of 500 and 200 years. This is similar to the error (200 years) used in Chapter 2 and validates the use of this technique for landslide age estimation.

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208

DEDICATION

209

to my dear wife, Paige

Chapter 1

INTRODUCTION

210
211
212 Most of the time, the force of gravity on the material of a slope is balanced by the shear
213 strength holding the slope in place. However, when this balance is upset, landslides can
214 occur (Lu and Godt; 2013). Slope instability can be caused by many factors such as rainfall
215 increasing the weight of the slope, or a shaking from an earthquake adding to the static
216 forces of gravity. These large mass failures can reshape the landscape and be a powerful
217 force for erosion (Malamud et al.; 2004; Korup et al.; 2007; Larsen and Montgomery; 2012).
218 Additionally, landslides pose a threat to both people and property, killing hundreds to
219 thousands of people every year (Petley; 2012). Earthquake induced landslides can amplify
220 effects, as they result in many landslides occurring at the same time. Combined with
221 hazards from the earthquake shaking itself, these landslides can be additionally dangerous
222 (Fan et al.; 2019). Many aspects of earthquake induced landslides, however, are still poorly
223 understood. While shaking intensity has some control on landsliding it cannot fully explain
224 landslide occurrence rates (Keefer; 1984; Malamud et al.; 2004). Additional local conditions
225 such as soil saturation may need to be considered to improve our ability to predict future
226 landslides (Massey et al.; 2018; Bessette-Kirton et al.; 2019). Additionally, most work on
227 earthquake induced landslides has been performed in locations with frequent earthquakes,
228 as it is relatively easy to map where landslides occurred after a modern earthquake. Not
229 all locations with high earthquake hazards have frequent earthquakes, and little work has
230 been done to both characterize future earthquake induced landslide hazard in these areas
231 and develop tools to understand landslides induced by prehistoric earthquakes. In this
232 dissertation, I examine the patterns of when and where earthquake induced landslides have
233 occurred in the past, even in locations with rare earthquakes, and how that informs when
234 and where earthquake induced landslides might happen in the future.

235 In Chapter 2, I analyze patterns of past landsliding in the Puget Lowlands WA, USA

236 in order to understand past earthquakes on the Seattle Fault (Herzig et al.; 2023). I use a
237 novel roughness based landslide dating technique (LaHusen et al.; 2016; Booth et al.; 2017)
238 to date over 1,000 landslides in the Puget Lowlands, WA USA. This technique is based
239 on the assumption that landslides start out rough, and smooth or diffuse over time. By
240 measuring the relationship between age and roughness, a landslide's age can be estimated by
241 simply measuring the deposit's roughness. This relationship was re-calibrated based on new
242 radiocarbon ages of 9 benchmark landslides in the study area. I then compared the dated
243 landslide inventory with ground motions from a set of modelled Seattle Fault earthquake
244 ground motions (Stone et al.; 2022), assessing landslides from the past 4000 years to assess
245 if spatial patterns of landsliding correlate with the zones of strongest shaking from modelled
246 Seattle Fault earthquakes. I found that the landslide pattern and earthquake patterns were
247 correlated around the same time as past Seattle Fault earthquakes recorded by other geologic
248 techniques. In addition to being an important regional discovery, Herzig et al. (2023) also
249 suggests that landslide clusters induced by an earthquake may be unique enough to be used
250 to identify past earthquakes.

251 In Chapter 3, I use kinematic ground motion models of a Seattle Fault earthquake and
252 a multimodal landslide model to predict where landslides are likely to occur in a future
253 Seattle Fault earthquake. This study considers combinations of 18 different 3D kinematic
254 earthquake scenarios, 2 water saturation levels and variation in geologic strength for both
255 translational and rotational landslides in Seattle, WA, USA. The earthquake ground motions
256 cover earthquakes of Magnitude 6.5 and 7, with three different hypocenter locations (west,
257 center, and east), three different slip distributions (roughly west, center, and east) and
258 consider the effects of topography (Stone et al.; 2022). I model saturation levels typical
259 of winter (wet) and summer (dry) conditions in Seattle, and use a Monte Carlo method
260 to vary geologic strength within possible ranges over 50 runs. I then combine traditional
261 Newmark style failure analysis (Wilson and Keefer; 1983) with a multimodal landslide model
262 (Pollock et al.; 2019) to produce maps of probable landsliding. I find that on average 10% of
263 slopes fail with translational landslides, and 4% of slopes fail in rotational landslides. These
264 landslides mostly occur in similar locations as historical rainfall induced landslides, with
265 some exceptions. Overall, variation between scenarios is minimal, but for what variation

266 there is, it is mostly controlled by water saturation and local geology rather than earthquake
267 properties.

268 In Chapter 4, I test the main assumptions used in the roughness-age relationship used
269 to date landslides in Chapter 2. These assumptions are: landslide deposits are rougher than
270 surrounding undisturbed hillslopes and are all of a similar initial roughness; and landslides
271 smooth with time and at similar rates. First, I use existing landslide inventories with a
272 known, well documented landslide trigger to measure the initial roughness of thousands
273 of landslides. I investigate two earthquake induced landslide inventories specifically, the
274 2016 M7.8 Kaikoura, NZ and the 1994 M6.7 Northridge, CA, USA. By studying earthquake
275 induced landslide inventories I ensure that all landslides are occurring at a similar time,
276 with similar conditions such as water saturation level. My results show that landslides
277 from these inventories had a wide range of initial roughness values, with variability in log
278 roughness of 0.83 and 0.75 for Kaikoura and Northridge respectfully. This range in roughness
279 reduces when I assess roughness of only the only the largest of the landslides ($\geq 10,000 \text{ m}^2$).
280 With this restriction, the landslides have less variation, with variations in log mean initial
281 roughness of 0.56 and 0.46 for Kaikoura and Northridge respectively. Roughness values in
282 this range lead to an error in landslide age estimates of 500 (Kaikoura) and 200 (Northridge)
283 years using the roughness age relationship of LaHusen et al. (2020). Next, I measure how
284 landslide deposit roughness smooths over time with repeat Lidar surveys of the 2014 Oso,
285 WA, USA, landslide. I combine roughness measurements directly after the landslide in 2014,
286 in 2017 and a new Lidar dataset collected for this study in 2024. I find that the roughness
287 diffuses at different rates in different geologic units within the landslide deposit. Together
288 the data from these three case study areas shows that both the mean initial roughness and
289 hillslope diffusion rate are different in landslides in different geologic units. As a result, I
290 conclude that the roughness age relationship needs to be recalculated for each new location
291 with different geologic units for higher-fidelity landslide age estimates.

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Chapter 2

**EVIDENCE OF SEATTLE FAULT EARTHQUAKES FROM
PATTERNS IN DEEP-SEATED LANDSLIDES¹**

Earthquake-induced landslides can record information about the seismic shaking that generated them. In this study, we present new mapping, lidar-derived roughness dating, and analysis of over 1,000 deep-seated landslides from the Puget Lowlands of Washington, USA, to probe the landscape for past Seattle Fault earthquake information. With this new landslide inventory, we observe spatial and temporal evidence of landsliding related to the last major earthquake on the Seattle Fault 1,100 years before present. We find spatial clusters of landslides that correlate with ground motions from recent 3D kinematic models of Seattle Fault earthquakes. We also find temporal patterns in the landslide inventory that suggest earthquake-driven increases in landsliding. We compare the spatial and temporal landslide data to scenario-based ground motion models and find stronger evidence of the last major Seattle Fault earthquake from this combined analysis than from spatial or temporal patterns alone. We also compare the landslide inventory to ground motions from different Seattle Fault earthquake scenarios to determine the ground motion distributions that are most consistent with the landslide record. We find that earthquake scenarios that best match the clustering of 1,100-year-old landslides produce the strongest shaking within a band that stretches from west to east across central Seattle as well as along the bluffs bordering the broader Puget Sound. Finally, we identify other landslide clusters (at 4.6–4.2 ka, 4.0–3.8 ka, 2.8–2.6 ka, and 2.2–2.0 ka) in the inventory which let us infer potential ground motions that may correspond to older Seattle Fault earthquakes. Our method, which combines hindcasting of the surface response to the last major Seattle Fault earthquake, using a roughness aged landslide inventory with forecasts of modeled ground shaking from 3D

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359 seismic scenarios, showcases a powerful new approach to gleaning paleoseismic information
360 from landscapes.

361 **2.1 Introduction**

362 Strong ground motions from large earthquakes have long been known to trigger widespread
363 landsliding (e.g., Keefer (1984); Jibson and Keefer (1993); Malamud et al. (2004)) that in
364 some cases causes more economic destruction and human loss of life than from the primary
365 earthquake shaking. Notable examples include the 1994 Paez, Colombia (Forero-Duenas;
366 1996) and 1997 Ardebil, Iran (Bird and Bommer; 2004) earthquakes. The Paez earthquake
367 was an M6.4 earthquake that triggered a large debris flow, which killed 1,100 people (Forero-
368 Duenas; 1996). The M7.4 Ardebil earthquake caused multiple landslides, some of which
369 covered entire villages (Ishihara et al.; 1992). Earthquake-induced landslides can also set in
370 motion an extended chain of surface processes and hazards, including persistent landslides
371 and debris flows, dammed rivers, sediment aggradation and floods in the days, months,
372 and years following the initial seismic event (Fan et al.; 2019). Despite recent advances
373 in our understanding of earthquake-induced hillslope failures, important questions remain
374 regarding their expected number, size, distribution, and failure styles (e.g., Meunier et al.
375 (2008); Marc et al. (2016); Croissant et al. (2019); Valagussa et al. (2019); Medwedeff et al.
376 (2020)), as well as the longer-term effects on hillslope geomorphology and sediment transport
377 (e.g., Wartman et al. (2013); Croissant et al. (2019); Campforts et al. (2020); Francis et al.
378 (2022)).

379 Landslide properties, such as location and volume, are often coupled to the ground
380 motion characteristics of the related earthquake (e.g., Jibson (1985)). Thus, studies of
381 earthquake-induced landslides can also yield important paleoseismic information of past
382 earthquake shaking (Jibson; 1985). One useful method for looking for past earthquake-
383 induced landslides is by finding a cluster of related landslides in space and time (Crozier
384 et al.; 1995). More recent studies suggest that the distribution of these landslide clusters
385 can reveal patterns about where and when earthquake-induced landslides tend to occur in
386 an area, as well as the seismic properties of the earthquake (Tanyaş et al.; 2018; Wang
387 et al.; 2020; Rasanen and Maurer; 2021). For example, Rasanen and Maurer (2021) propose

388 a statistical approach that inverts probabilities of rupture location and magnitude from
389 regional landslide evidence. Models of hillslope failures based on predictions of ground
390 shaking intensity from 3D earthquake simulations (Allstadt et al.; 2013; Grant; 2017; Pollock
391 et al.; 2019) may also yield insights into paleoseismic rupture parameters, especially when
392 compared to patterns in documented landslide inventories.

393 Many of the approaches described above are improved as the number of landslides with
394 an estimated age in an inventory increase. However, it is difficult to estimate the age of
395 many landslides in a large inventory, and thus while studies of landslide geochronology (e.g.,
396 Aylsworth et al. (2000); Brooks (2013)) are effective, these studies have also been somewhat
397 few, especially the further back in time the earthquake occurred. Moreover, although it
398 is increasingly common to develop a landslide inventory following a modern earthquake in
399 locations where seismometers characterize the ground motions and earthquake properties
400 (e.g., Tanyaş et al. (2018)), it is much less common and more difficult to identify the cause
401 of prehistoric landslides. Attempts at tying past landslides to seismic triggers typically
402 involve geochronological dating that shows the landslide and earthquake were coincident, or
403 slope stability models demonstrating that the landslide would never have failed unless there
404 was seismic forcing (e.g., Jibson (1996); Schulz et al. (2012)). This difficulty of studying
405 older earthquake-induced landslides means that although we have a better understanding of
406 earthquake-induced landslides in areas with large recent earthquakes (e.g., Aylsworth et al.
407 (2000); Brooks (2013)), other areas with infrequent earthquakes remain ambiguous.

408 In this paper, we use the spatial and temporal patterns of landslides in the greater Seat-
409 tle, Washington area of the USA to better understand past earthquakes on the Seattle Fault
410 zone, a crustal fault that ruptures through this region. First, we present a newly mapped
411 deep-seated landslide inventory of the Puget Lowland of Washington, a well-populated re-
412 gion that includes the city of Seattle and is notorious for landslide susceptibility and multi-
413 ple drivers of hillslope instability (Tubbs; 1974; Coe et al.; 2004; Baum et al.; 2005; Schulz;
414 2007; Harp et al.; 2008; Davis et al.; 2022). Next, we apply a recently established method
415 to estimate the ages of these landslides based on their surface roughness (LaHusen et al.;
416 2016, 2020; Booth et al.; 2017). This method permits rapid assessment of the timing of
417 thousands of deep-seated landslides on a regional scale. With this landslide inventory, we

418 assess spatial and temporal landslide clustering as evidence of the last major Seattle Fault
419 earthquake, which ruptured to the surface around 1050–1020 cal yr. BP (Bucknam et al.;
420 1992; Atwater; 1999; Sherrod; 2001; Nelson et al.; 2014). We then compare this clustering
421 to a suite of simulated M7.0 earthquakes on the Seattle Fault (Stone et al.; 2022) to explore
422 possible earthquake characteristics, including regions of strong ground motion, based on the
423 landslide record.

424 **2.2 Puget Lowland Study Area and Background**

425 Seattle and the surrounding area lie within the Puget Lowlands of Washington, USA (Figure
426 2.1), a region where landslides pervade the landscape (Laprade et al.; 2000; Survey; 2020).
427 High regional landslide susceptibility stems from the many steep slopes underlain by weak
428 glacial material (Tubbs; 1974; Schulz; 2007; Perkins et al.; 2017), a wet maritime climate,
429 proximity to earthquake-producing faults, and human activity. Compilations of historical
430 landslides (Laprade et al.; 2000; Survey; 2020) show a predominance of precipitation-induced
431 landslides in this region. Recorded landslides have been paired with complementary pre-
432 cipitation data to estimate rainfall and soil moisture conditions that lead to shallow slope
433 failures in Seattle (Baum et al.; 2005; Godt et al.; 2006). New Bayesian modeling of land-
434 slide inventories indicates that the highest probability of precipitation-induced landslides
435 occurs in January or February, just after the annual precipitation peak (Luna and Korup;
436 2022).

437 In contrast to precipitation-induced landslides, earthquake-induced landslides are much
438 less frequent or predictable in this area. In fact, no large ($\geq M5$) earthquakes on surface
439 faults in the Puget Lowlands have happened during the modern record (1900-2023). The
440 few earthquakes that have occurred in the historical record (1900-2023) were deep intraslab
441 earthquakes with strong, but not severe, ground motions (Peak Ground Velocity [PGV] \leq
442 50 cm/s). The most recent of these was the 2001 M6.8 Nisqually earthquake that induced
443 few landslides in the region (Highland; 2003). However, significantly more landslides are
444 expected for M 9 Cascadia subduction zone (CSZ) earthquakes, and more still from ruptures
445 near the surface on crustal faults (Grant; 2017). Here we focus on the Seattle Fault (also
446 known as the Seattle Fault Zone [SFZ]), a crustal fault zone running through the City of

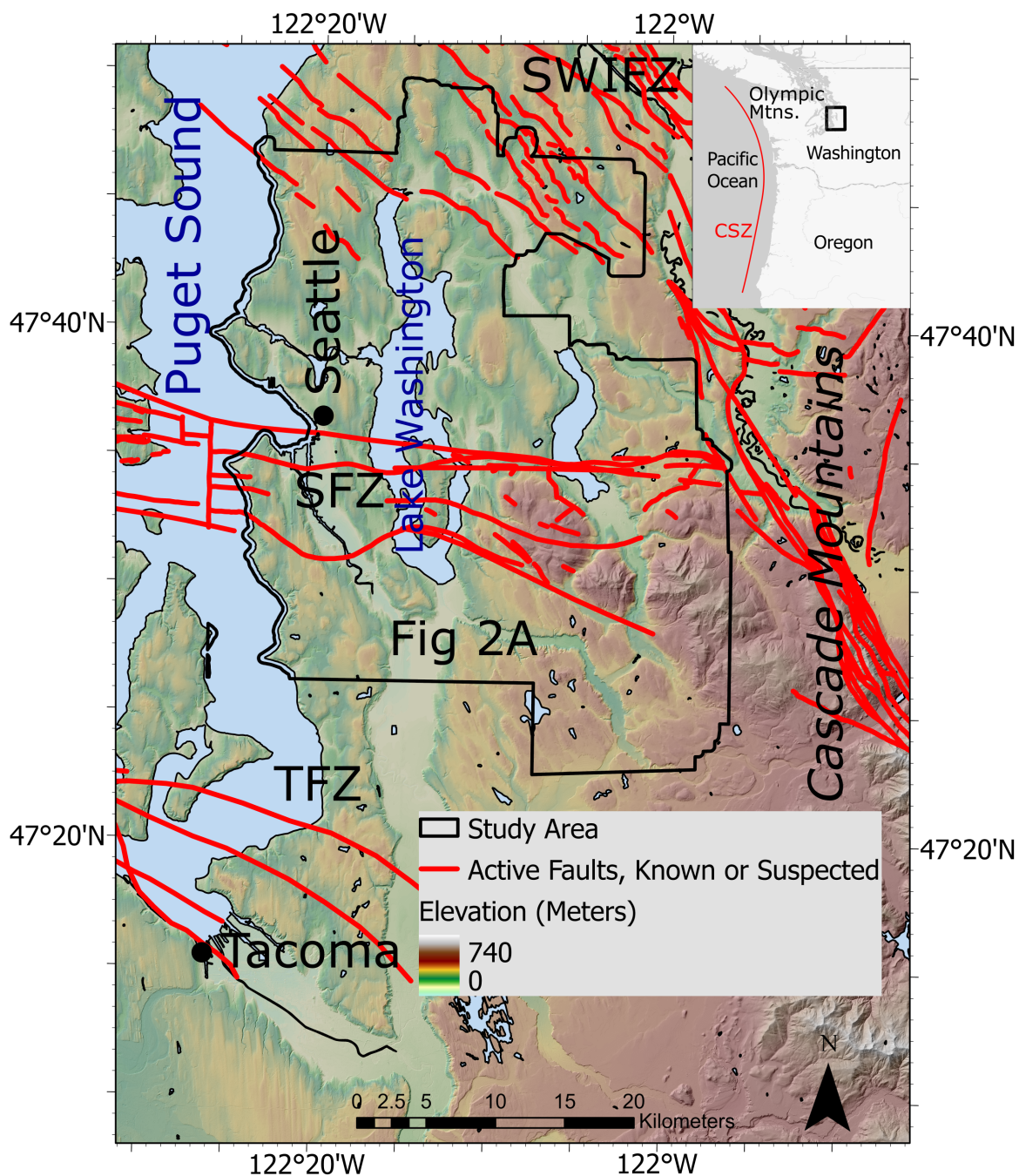


Figure 2.1: Shaded-relief map of the Puget Lowlands, highlighting the study area. The black outline shows the region of mapped landslides, shown in Figure 2.2, and the red lines are mapped active faults. TFZ is the Tacoma Fault Zone, SFZ is the Seattle Fault Zone, and SWIFZ is the southern Whidbey Island Fault Zone (SWIFZ).

447 Seattle (Figure 2.1) with a recurrence interval for a full rupture on the SFZ of longer than
448 6,500 years (Sherrod et al.; 2000). Other earthquakes recorded with paleoseismic data in
449 the Seattle Fault Zone occur more frequently and are still large enough (M6.5–7) to induce
450 landsliding (Nelson et al.; 2003, 2014; Karlin et al.; 2004). The Seattle Fault Zone is one
451 crustal fault of several in the Puget Lowlands fault inventory that also includes the southern
452 Whidbey Island Fault (Johnson et al.; 1999, 2004; Sherrod et al.; 2008) to the north and
453 the Tacoma Fault (Brocher et al.; 2001; Sherrod et al.; 2004) to the south (Figure 2.1).

454 The Seattle Fault is an active south-dipping crustal reverse fault within the forearc of
455 the CSZ that trends east-west for 60–65 km across the Puget Lowlands (Johnson et al.;
456 1999; Blakely et al.; 2002)(Figure 2.1). The locations, orientations, and dip of Seattle Fault
457 splays are poorly constrained (e.g. Pratt et al. (1997); Johnson et al. (1999); Brocher et al.
458 (2001); Brink et al. (2002); Moore et al. (2022)). The three main fault strands of the SFZ
459 are blind (Johnson et al.; 1999; Blakely et al.; 2002); however secondary backthrusts within
460 the hanging wall of the main structure break the surface, forming Holocene fault scarps
461 (Nelson et al.; 2003, 2014; Kelsey et al.; 2008). The current best estimate, derived from
462 dendrochronology, of the last major Seattle Fault Zone earthquake is that it occurred in the
463 winter of 1,093–1,092 yr B. P. (Black et al.; 2023) and had an estimated magnitude of 7–7.5
464 (Brink et al.; 2006; Styron and Sherrod; 2021). This earthquake triggered regional uplift
465 (Bucknam et al.; 1992) and a tsunami in the Puget Sound (Atwater and Moore; 1992). The
466 Seattle Fault also had at least one more recent earthquake, and possibly several others.
467 Nelson et al. (2014) identified earthquake “NE”, at 940–380 cal. B.P. and Angster et al.
468 (2022) identified earthquake “RH2” at ≤ 530 cal yr. B.P. These earthquakes were likely only
469 partial ruptures and were smaller than the earthquake that occurred 1,000 years ago. In the
470 last 4,000 years, the Seattle Fault has also had at least two older earthquakes: earthquake
471 “RH1”, 1,330 cal. B.P. (Angster et al.; 2022), earthquake “NC”, 1,310–1,200 cal. B.P.
472 (Nelson et al.; 2003, 2014) and earthquake “NB”, 2,650–1,940 cal. B.P. (Nelson et al.; 2003,
473 2014). These earthquakes likely had magnitudes around M 7 (Nelson et al.; 2003, 2014).

474 Landslide deposits within Lake Washington (Jacoby et al.; 1992; Karlin et al.; 2004),
475 as well as within the Olympic Mountains to the west (Schuster et al.; 1992), along with
476 oral histories of Seattle Fault-associated ground failures (Ludwin et al.; 2005), implicate

477 a strong landscape response to earthquakes in the region. The full impact of earthquake-
478 induced ground shaking on hillslopes from past Seattle Fault earthquakes, however, remains
479 poorly resolved. Allstadt et al. (2013) modeled where future landslides might occur using
480 a single-scenario Seattle Fault earthquake simulation. This work was useful for revealing
481 how site and basin amplification may control where earthquake-induced landsliding occurs
482 in the Seattle area, leading to increased landslide rates in the Seattle basin and in areas
483 with unconsolidated sediments. They also found that higher ground saturation (100% vs
484 0% saturation) would lead to about an order of magnitude more landslides.

485 Using a similar methodology, Grant (2017) analyzed the hillslope response to simulated
486 CSZ megathrust earthquakes and found that an earthquake on the Seattle Fault would
487 likely cause more landslides in the Seattle area than a CSZ earthquake.

488 The findings of Allstadt et al. (2013) and Grant (2017) have yet to be vetted against
489 a record of past landslides due to limited information about the timing or cause of the
490 thousands of discrete, deep-seated landslide deposits that exist within the landscape. By
491 mapping, dating, and characterizing spatial patterns of the deep-seated landslides, we im-
492 prove our understanding of the distribution of earthquake-induced landslides in the area.
493 Additionally, we compare our landslide inventory to a new suite of Seattle Fault earthquake
494 scenario simulations (Stone et al.; 2022), to assess possible earthquake properties of past
495 earthquakes on the Seattle Fault.

496 **2.3 Methods**

497 *2.3.1 Landslide Mapping and Dating*

498 Using high-resolution (1 m) lidar data, we mapped over 1,000 deep-seated landslides in the
499 Puget Lowlands area (Figure 2.2, Table 2.4). Throughout the rest of this paper, when we
500 refer to landslides from this inventory, we are specifically referencing deep-seated landslides,
501 those in which the slide plane lies below the roots of trees. Such deep-seated landslides
502 are often categorized as rotational or compound landslides using the classification system
503 of Hungr et al. (2014). Landslide deposits were mapped from bare-earth lidar imagery
504 based on the presence of headscarps, many of them arcuate, upslope of hummocky terrain

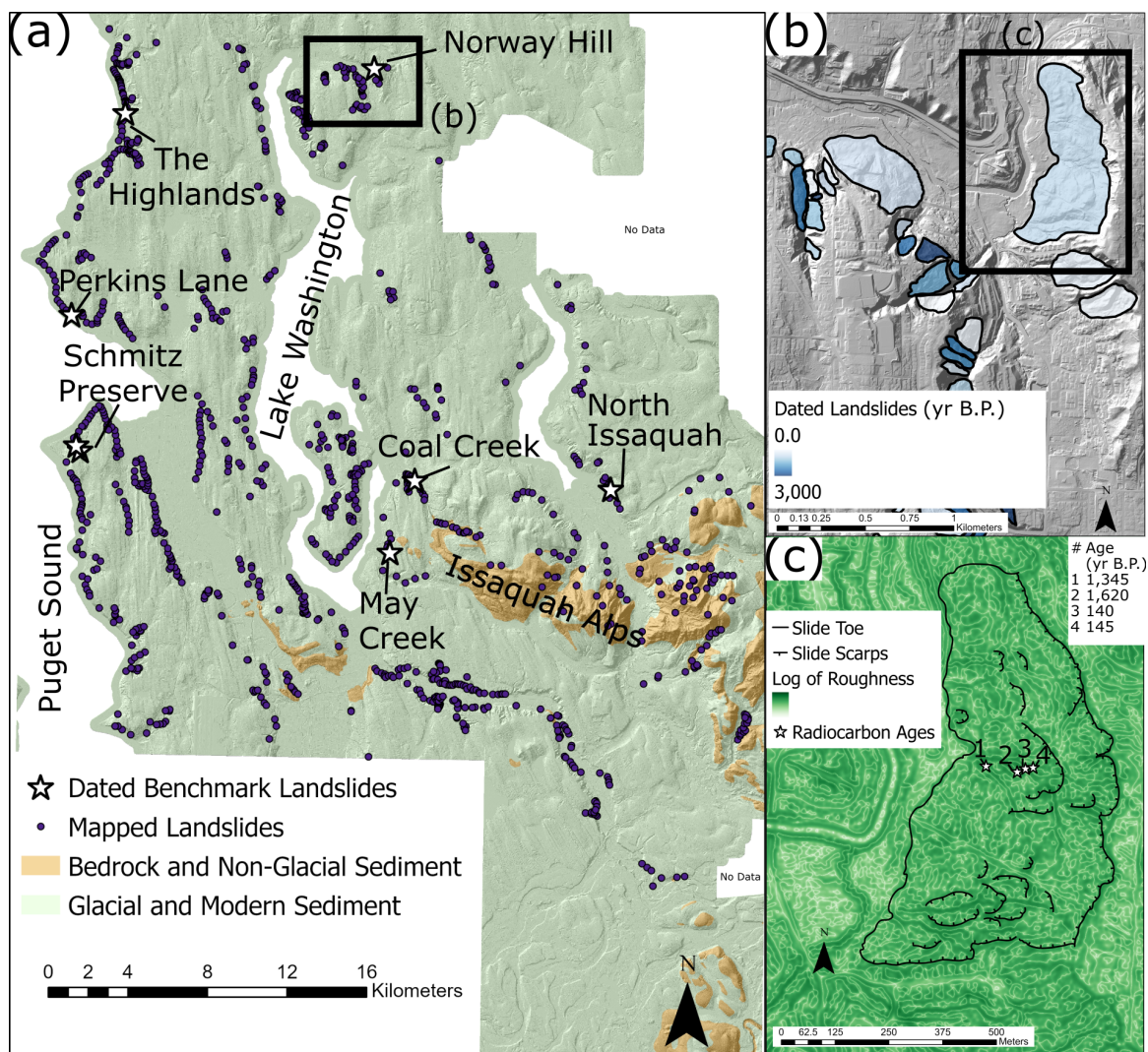


Figure 2.2: Landslide inventory maps. (a) Lidar hillshade map with all mapped deep-seated landslides from this study as circles and benchmark (dated) landslides as stars. The map is colored based on whether the surface geologic unit is glacial, modern, or bedrock (Yount et al.; 1993). (b) Lidar hillshade map with a subset of mapped landslide areas colored by roughness-based age. See panel (a) for location. (c) Roughness map showing landslide toe and scarps of a benchmark landslide. Radiocarbon sampling locations are numbered and shown with stars; corresponding mean ages are in a table in the top right corner. See panel (b) for location.

505 (Figure 2.2). Previous mapping by Washington Geological Survey geologists was used as a
506 reference point (Survey; 2020). Lidar data were used to calculate properties of these mapped
507 landslides, such as height (from headscarp to deposit toe) and length of the landslide. Field
508 observations, including stratigraphy and morphology assessments at some of the landslides,
509 confirmed the accuracy of the remote mapping. Field observations were combined with lidar-
510 based mapping to create detailed morphology maps of a selection of benchmark landslides
511 that were dated using radiocarbon ages (Figure 2.2c).

512 To provide age information for our full catalogue of landslides, we applied a recently
513 developed method based on the age-calibrated roughness of landslide deposits (LaHusen
514 et al.; 2016; Booth et al.; 2017). The premise behind this method is that the ground surface
515 roughens significantly from mass movement. As a result, landslide deposits exhibit their
516 highest roughness just after failure and smooth by diffusive hillslope processes over time (e.g.
517 Figure 2.10). This smoothing can be modeled as an exponential age-roughness relationship
518 (Figure 2.3). Calibrating this relationship for the field site requires measured roughness
519 values for each landslide deposit and several benchmark landslides of known age. The lidar
520 we used was collected in 2016, so here this roughness method provides an age before 2016.
521 For this reason, when analyzing and discussing landslide timing within this paper, we use
522 yr B. P. starting at 2016.

523 Following Booth et al. (2017), we measured landslide roughness using a 15 m Ricker
524 wavelet Ricker (1943) transform, often known as a “Mexican hat” wavelet, on the lidar
525 elevation data of the landslide deposit (Booth et al.; 2009, 2017; LaHusen et al.; 2020).
526 This wavelet was scaled so that it measures topographic curvature as a function of length
527 scale (Kumar and Foufoula-Georgiou; 1997; Lashermes et al.; 2007; Booth et al.; 2017). We
528 used a 15 m scaled wavelet based on work by Booth et al. (2017) showing that this length
529 scale best characterized landslide age in a similar topographic, climatic, and sedimentologic
530 setting north of our study area. We excluded areas of the deposit within 8 m of places that
531 have been altered in ways not modeled by simple soil diffusion, such as gullies or roads.
532 Gullies were identified as areas with a concave-up profile curvature of $\geq 0.09m^{-1}$ and with a
533 drainage area of $\geq 2,670m^2$ calculated using a D-infinity flow accumulation algorithm as done
534 in Booth et al. (2017). Landslide roughness may vary as a function of failure style, relative

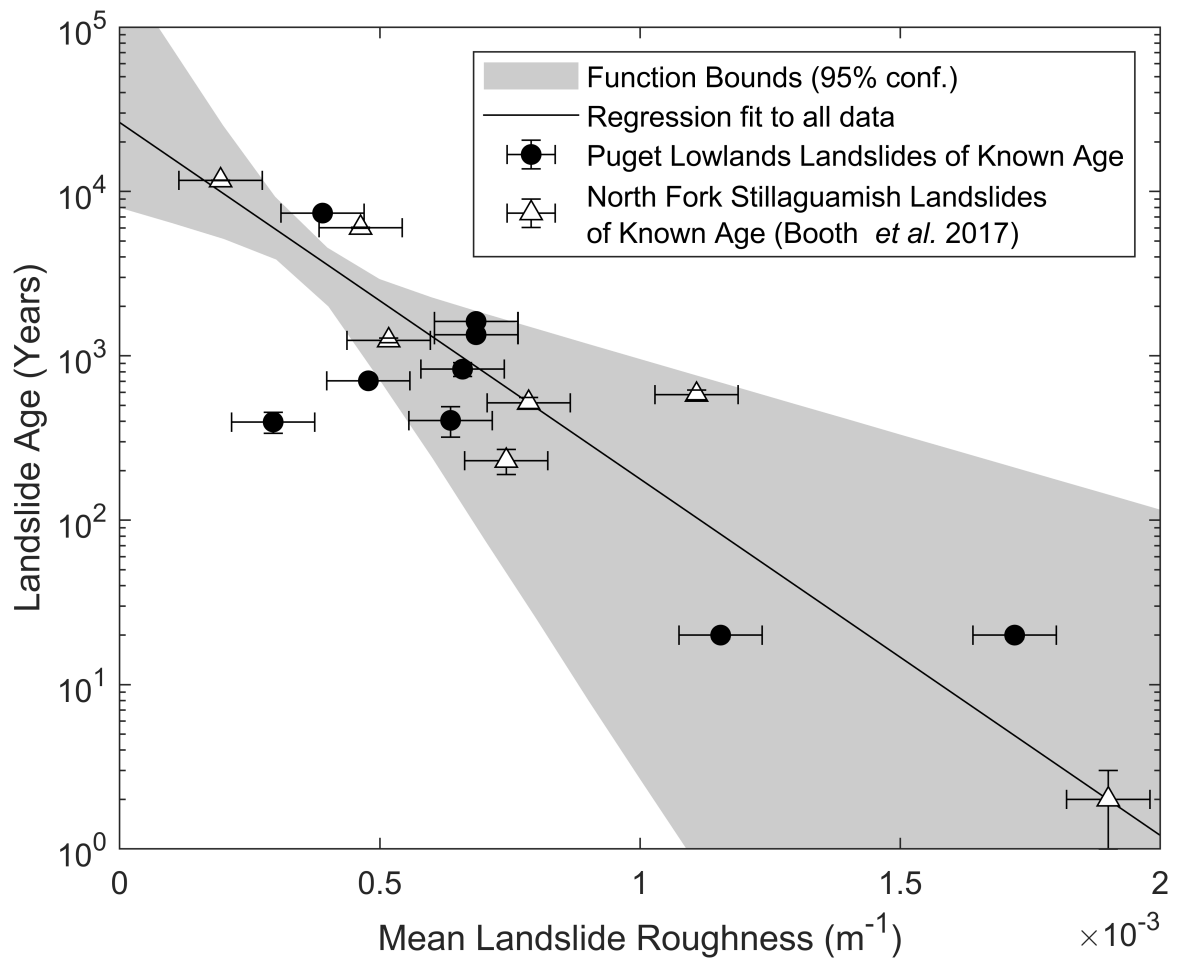


Figure 2.3: Age-roughness relationship for the Puget Lowlands. Previous data from the North Fork Stillaguamish Valley (Booth et al.; 2017) are shown as triangles and new data from landslides from the Seattle region of the Puget Lowlands (this study) are shown as circles. The exponential fit to data from both datasets is plotted as a black line with the shaded area indicating uncertainty on this fit (95% confidence bounds). Uncertainty was calculated by varying input data based on their error and refitting the exponential fit, the gray area then shows where 95% of these functions cover.

535 activity, or material properties (McKean and Roering; 2004; Goetz et al.; 2015; Booth
536 et al.; 2009, 2017). To minimize these effects, we considered only deep-seated rotational
537 landslides within similar glacial materials. By controlling for landslide type and parent
538 material, we assume landslide deposits included in this study will be governed by similar
539 diffusive relationships. Landslides mapped as failing in different styles, or from different
540 parent materials were excluded from this study. Some mapped landslides are also active,
541 with many landslides exhibiting reactivation. For consistency, we map complex landslides
542 as a single slide. Although the error on the age for a single landslide is high, making it
543 difficult to definitively estimate the age of a single slide, the quantity of landslides with
544 estimated ages allows for studying large-scale patterns.

545 To establish newly-dated benchmark landslides to calibrate the roughness model, we
546 used radiocarbon dating of organic material embedded in the landslide deposits. We tar-
547 geted streams incised into landslide deposits to collect nine pre-modern (pre-1950) samples
548 of wood and other organic debris. We only took samples that were entrained within the
549 landslide deposit to minimize the chance that they are not associated with the main land-
550 slide failure. Radiocarbon dating is well suited to the landslides of the study area, since
551 abundant vegetation is likely to be preserved in landslide deposits, but it does have some
552 disadvantages (Pánek; 2015). For example, many landslides are part of large landslide com-
553 plexes that have failed many times. These reactivations can contain both new material and
554 older material from past landslides, and as such, their radiocarbon ages can be difficult to
555 interpret. Additionally, sample material such as charcoal can be older than landslides that
556 contain it (Struble et al.; 2020). To reduce this uncertainty, we collected multiple samples
557 when possible. By comparing these ages, as well as looking to lidar to identify crosscutting
558 relationships (Ramsey; 2009), we constrain the ages of some of these landslides to a smaller
559 range than that provided by just a single sample. These samples were dated using accel-
560 erator mass spectrometry (AMS), performed by DirectAMS. Calibrated ages were derived
561 using OxCal v4.3.2 (Ramsey; 2017) with IntCal13 (Reimer et al.; 2013).

562 We combine radiocarbon data from the North Fork Stillaguamish (LaHusen et al.; 2016;
563 Booth et al.; 2017), which lies in a similar climate to the Puget Lowlands and has similar
564 Quaternary glacial deposits, with the newer Puget Lowlands landslide ages to develop the

565 age-roughness relationship that we use in this study: $t = 26,298e^{-4.994r}$ where t is the time
566 in yr B. P. and r is the roughness in m^{-1} (Figure 2.3). We then use this function to estimate
567 the age of all landslides in our inventory. The final ages are subject to multiple sources of
568 uncertainty. The radiocarbon ages have error based on both the radiocarbon dating and
569 the time that passed between wood growth and entrainment into the landslide deposit.
570 The roughness measurements themselves are variable based on the lidar data quality, and
571 may differ between landslide styles. These sources of error are then incorporated into
572 uncertainty of the age-roughness relationship (gray area in Figure 2.3) and thus the final
573 landslide ages.

574 *2.3.2 Landslide History Models*

575 We adapt a landslide history model developed by LaHusen et al. (2020) to assess the main
576 causes of landsliding in the area from 4,600 yr B. P. to present day. This model considers the
577 effects of variable initial landslide deposit roughness on the roughness-based age calculation,
578 as well as the effect of preservation bias. It produces a count of landslides in a given area
579 over time. This helps us understand if the variations we see in the landslide chronology
580 are the result of natural variation, bias and error, or if there is an underlying signal. Due
581 to the uncertainty of the roughness ages and the nonlinear relationship between roughness
582 and age, an earthquake is unlikely to be represented by a sharp peak in landslide frequency,
583 but instead by a broad peak (LaHusen et al.; 2020). We can model this sort of broad
584 peak and compare it to the landslide inventory to test if it is present in our data. We
585 used two model setups and compare these to the observed landslide history: one with
586 steady landslides and one that also included pulsed landslides. The model pair allows us to
587 consider the possible impacts of earthquake-induced landslides on the landslide record. For
588 each model, we ran 10,000 simulations to explore the possible range of starting roughness
589 values. A baseline annual landslide rate was used to approximate landslides caused by
590 precipitation and other landslide triggers in the steady model. It is worth noting that
591 precipitation-induced landslides are not actually constant through time, but stochastic and
592 likely subject to a heavy-tailed distribution of inter-event times (e.g. Sadler (1981); Schumer

593 and Jerolmack (2009)). Although the low precision of the landslide ages should mitigate
594 the error from a steady assumption, this does represent a potential source of unmeasured
595 error. This baseline rate was also included in the earthquake-pulse model, which added an
596 additional earthquake-induced landslide factor modeled as a pulse of landslides observed at
597 the time of the last major earthquake on the Seattle Fault (1,100 yr B. P., Sherrod et al.
598 (2000)).

599 Other earthquake sources have produced earthquakes that likely impacted the study
600 area during this time period, most notably several M 9 CSZ earthquakes (Goldfinger et al.;
601 2012). While these M 9 earthquakes may have induced landslides in the study area, this
602 earthquake signal is not easily identified due to uncertainty in the landslide dating method
603 and the frequency of earthquakes (LaHusen et al.; 2020). For this reason, we focus on the
604 Seattle Fault, which is most proximal to the study area, ruptures to the surface, and has a
605 longer recurrence interval such that landslide signals from each earthquake do not overlap.
606 Additionally, the overlapping signals from M9 earthquakes should not disrupt our ability
607 to measure signals from a Seattle Fault earthquake because they are implicitly included in
608 the background landslide rate. The upper age limit of 4,600 yr B. P. and 200-year bins
609 were chosen by limiting our focus to bins that contain at least 5 landslides. Because we
610 are only considering the last 4,600 years and the last major shift in climate in this area
611 occurred 6,000 yr B. P. (Cwynar; 1987), we assume that the climate, and thus the baseline
612 background landslide rate, remained relatively consistent during this period and would have
613 had a less significant impact on the landslide history than earthquakes. However, we are
614 not restricting the ages used to calibrate the age-roughness relationship (which include ages
615 up to 10,000-years) and thus a change in climate may be a source of uncertainty in our
616 age-roughness relationship. Preservation bias is modeled as an exponential function fit to
617 the landslide frequency derived from the inventory. This bias is multiplied by the modeled
618 landslide frequency to show what might be observed in a real world landslide inventory.

619 In addition to landslide frequency through time, we also model landslide areas using a
620 power law relationship $p(x) \propto x^\alpha$, where α is an exponent derived from the frequency-area
621 distribution of the landslide inventory, p is the probability of occurrence, and x is the area of
622 the landslide, as developed in Tanyaş et al. (2018). We do this using the methods described

	Slip Distribution #1	Slip Distribution #2	Slip Distribution # 3
West Hypocenter	Scenario 1	Scenario 4	Scenario 7
Central Hypocenter	Scenario 2	Scenario 5	Scenario 8
East Hypocenter	Scenario 3	Scenario 6	Scenario 9

Table 2.1: Table of water table regression parameters for both winter and summer conditions

623 in A. et al. (2009). This provided a power law exponent of -1.8 with a cutoff at $6,600m^2$.
624 Landslide frequency-area distributions are known to only follow a power law at larger areas,
625 while smaller landslides below a rollover point do not (e.g., Malamud et al. (2004); Frattini
626 and Crosta (2013)). To avoid issues with modeling this rollover point, we conservatively
627 only simulate landslides with areas $\geq 9,000m^2$.

628 The two landslide history models described above, one background steady-state model
629 and one earthquake (pulsed) model, were tuned to match the total number of landslides in
630 the mapped landslide inventory. This tuning was done to minimize the error between the
631 model and the inventory (Figure 2.11). We restricted this tuning to the modeled window
632 of 400–4,600 yr B. P. to remove the effect of apparent increase of landslides in the past 400
633 years. The resulting number of landslides is different than the total number of landslides
634 created by the model because of preservation bias, which has been noted in similar studies
635 (LaHusen et al.; 2016, 2020; Booth et al.; 2017).

636 2.3.3 Seattle Fault Earthquake Simulations

637 We use nine simulations of Seattle Fault earthquakes to compare landslide clustering to
638 modeled ground motions and use these comparisons to invert for reasonable ground motion
639 characteristics of the last major earthquake on the Seattle Fault (1,100 yr B. P.). The
640 ground motions we use are peak ground velocities (PGVs) from nine different M7 scenario
641 earthquakes on the Seattle Fault (Figure 2.4) (Stone et al.; 2022). We use PGV instead
642 of peak ground acceleration (PGA) due to limitations of the simulations for producing
643 accurate PGA values. These models incorporate the effects of topographic amplification

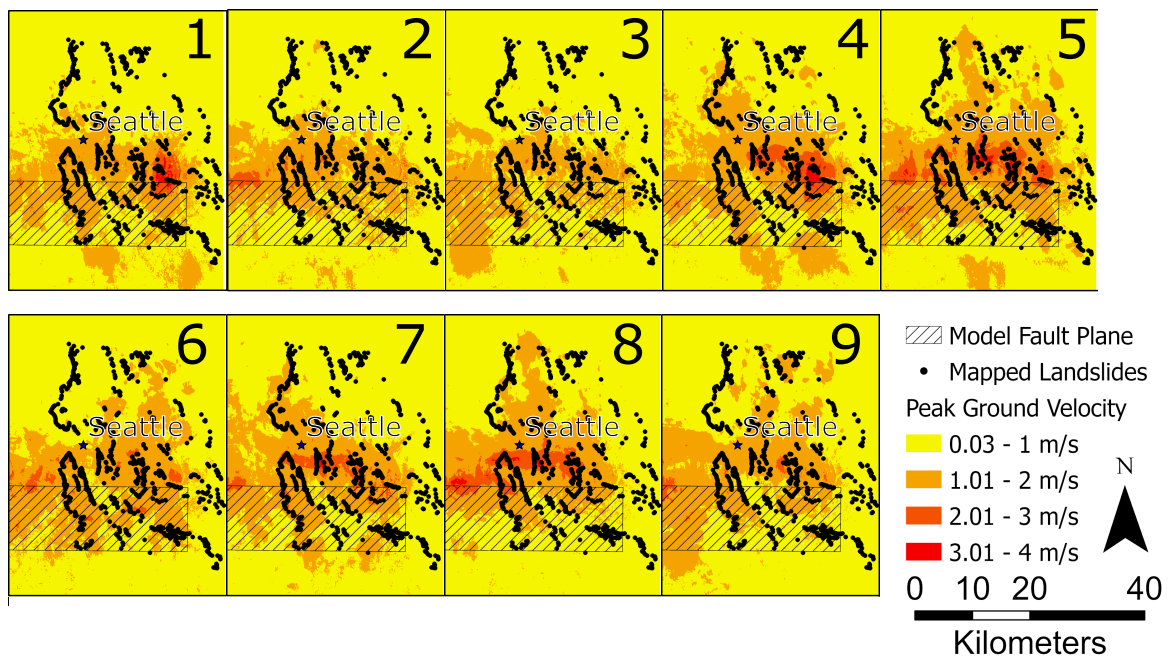


Figure 2.4: Ground motions in PGV for each Seattle Fault earthquake scenario (1-9). Higher ground motions are indicated with red and orange; lower ground motions in yellow. The landslide inventory is shown as points and the modeled fault plane location shown as a cross-hatched rectangle.

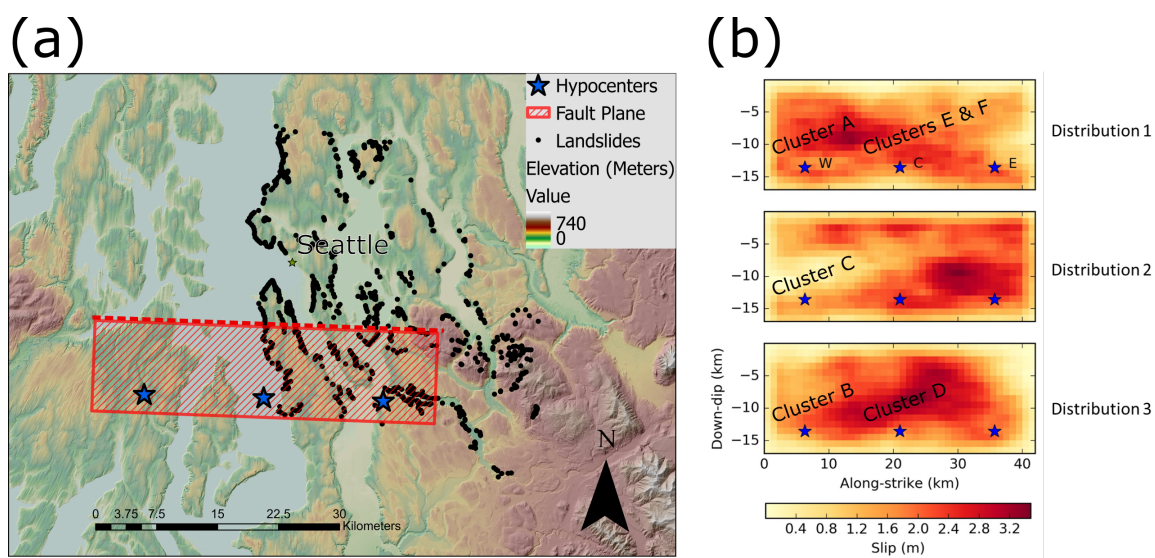


Figure 2.5: Properties of considered earthquake scenarios. (a) Shaded relief map with scenario fault plane (red) and hypocenter locations (blue stars) with mapped landslide inventory shown as black points. (b) Slip distributions and hypocenter locations (stars, W = west C = central E = east) for all scenarios (Adapted from Stone et al. (2022)). Best-fit scenarios for different temporal landslide clusters (A – F) are highlighted (see results, discussion, and Figure 2.9 for details).

644 as well, an important element for understanding landslide initiation. The nine different
645 rupture scenarios we consider (Table 2.1) are based on a combination of three different slip
646 distributions (Figure 2.5) and three different hypocenter locations (East, Center, and West),
647 as described in detail by Stone et al. (2022). The three slip distributions were randomly
648 generated but can roughly be described as slip concentrated in the east (distribution 1), in
649 the west (distribution 2), and in the central portion of the rupture (distribution 3).

650 The spatial distribution of observed landslides among different PGVs from the Seattle
651 Fault earthquake simulations is measured using a probability density function (PDF) cre-
652 ated using a kernel density smoothing function. First, two probability density functions
653 were created, one for the occurrence of landslides $P(\text{Landslide})$ and another for the distri-
654 bution of PGVs $P(\text{PGV})$. We also create a conditional probability of PGVs in areas with
655 landslides $P(\text{PGV}|\text{Landslide})$. Using Bayes' theorem, we can then calculate the probabilit-
656 ity of landsliding at a given PGV $P(\text{Landslide}|\text{PGV})$. To consider areas that landslides are
657 likely to occur, these distributions were derived only for areas with slopes higher than 10
658 degrees. This cutoff was chosen based on proportionally less landslides occurring on slopes
659 ≤ 10 degrees (Figure 2.12). PGV distributions were based on median PGV values from all
660 scenarios, to avoid being skewed by a single scenario with a high PGV at any given location;
661 though, a mean PGV based analysis produces similar results. The spatial distribution of
662 these median peak ground motions is shown in Figure 2.6, along with the landslide inven-
663 tory. We exclude the higher PGV values where $P(\text{PGV}) \leq 0.1$, because the low sample sizes
664 at these values lead to misleading statistics. We also calculated the conditional probability
665 $P(\text{Landslide}|\text{DistanceFromFault})$ in a similar manner, finding the probability of landslid-
666 ing given a 3D distance from the modeled fault plane. We perform these measurements
667 for both observed landslide count and observed landslide area. Landslide area weights the
668 statistics, so that larger landslides have a larger effect on the probabilities.

669 To test for spatial clustering of landslides based on earthquake shaking (PGV), or dis-
670 tance to rupture, we use a two sample Kolmogorov–Smirnov (K-S) test. This technique
671 tests if two sample sets are drawn from the same nonparametric continuous probability dis-
672 tribution (Massey Jr; 1951). In our case, we compare the background distribution of PGV,
673 representing earthquake shaking intensity, across all landslide-susceptible areas within our

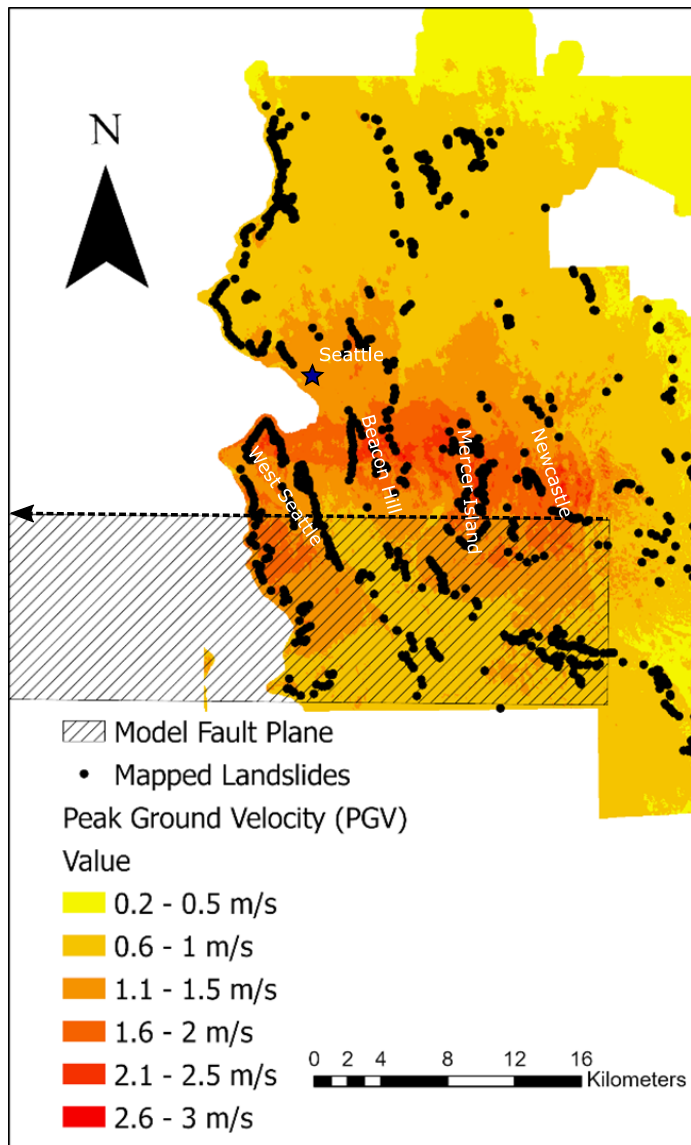


Figure 2.6: Peak ground velocity (PGV) map for the study area based on median maximum horizontal ground motions from all earthquake model scenarios. Mapped landslides are shown as points. The fault plane is shown as the hatched area, with a dashed line showing the surface trace of the fault. The arrow indicates that the fault trace and plane continue to the west out of the frame.

674 study, to the distribution of PGVs just within mapped landslide polygons. From global
675 empirical observations (Keefer; 1984; Jibson; 1985; Malamud et al.; 2004), we assume areas
676 of stronger shaking should promote more, and larger, landslides compared to areas of less
677 shaking, and construct a null hypothesis to test that the distributions of PGV in both land-
678 slide and non-landslide points match. Given this assumption, we use the K-S test to identify
679 two conditions: (1) the null hypothesis is rejected, and landslide polygons show a significant
680 difference in PGV compared to background, or (2) we cannot reject the null hypothesis and
681 landslides are not sensitive to high (or low) PGV values. K-S tests were performed on
682 landslide distributions for a sequence of 200-year time periods from 0 – 4.6 ka using all nine
683 Seattle Fault earthquake simulations. We hypothesize that during periods of local ground
684 shaking due to Seattle Fault earthquakes (e.g., around the most recent 1,093–1,092 yr B.
685 P. event, Black et al., in review), we will observe a strong clustering of landslides in high
686 PGV regions and reject the null hypothesis, while in aseismic periods, we will observe more
687 uniformly distributed precipitation-induced landsliding and will not reject the null hypoth-
688 esis. We ran the K-S test two times for each time period, once measuring if the distribution
689 of landslides correlated with ground motions, and once to see if the landslides exhibit an
690 inverse relationship with ground motions. We use results from this test to interpret whether
691 the landslides in each of these time periods were likely to be caused by ground motions from
692 one of the scenario earthquakes. This is useful because even if a temporal cluster is caused
693 by precipitation or another earthquake source (such as the southern Whidbey Island Fault),
694 it will not show up in this test (i.e., the spatial distribution of landslides will not correlate
695 with the likely spatial distribution of PGV from a Seattle Fault earthquake). In this way we
696 can narrow the source of the landslide cluster to just those likely caused by a Seattle Fault
697 earthquake. The inverse test, on the other hand, will not represent earthquake induced
698 landslides. Instead, this test measures if there are more landslides in areas with low PGVs.
699 Such a pattern could be expected if landslides that occur in the years after an earthquake
700 are preferentially located in areas that did not fail during the previous earthquake.

Landslide Name	Material	Radiocarbon Age (yr. B.P)	1 σ Error (yr)	Calibrated Ages ^a (cal. B.P) with 2 σ Error	Latitude and longitude
North Issaquah Slide	Charcoal	903	22	830 \pm 80	122°2'39.47"W 47°33'36.725"N
Schmitz Preserve N	Wood	382	23	405 \pm 85	122°24'5.205"W 47°34'36.04"N
Schmitz Preserve E	Wood	6484	36	7,390 \pm 70	122°23'57.341"W 47°34'27.28"N
Norway Hill	Wood	1463	24	1,345 \pm 45	122°12'24.437"W 47°44'58.484"N
Norway Hill	Wood	1706	37	1,620 \pm 80	122°12'20.92"W 47°44'58.089"N
Norway Hill	Wood	161	20	140 \pm 140	122°12'20.102"W 47°44'58.356"N
Norway Hill	Wood	173	25	145 \pm 145	122°12'19.215"W 47°44'58.452"N
Coal Creek	Charcoal	789	20	705 \pm 25	122°10'16.695"W 47°33'41.193"N
May Creek	Wood	317	21	396 \pm 58	122°11'25.899"W 47°31'49.360"N

Table 2.2: Benchmark Landslide Radiocarbon Ages. Additional information in Table 2.4.

^aCalibrated ages from OxCal (4.3.2) using IntCal13 and are in years before 1950

701 **2.4 Results**

702 *2.4.1 New Radiocarbon Ages*

703 To develop our roughness relationship (Figure 2.3), we dated six benchmark landslides
704 using AMS radiocarbon dating performed by DirectAMS (Table 2.2). Four ages are from
705 the Norway Hill landslide complex (Figure 2.2). These ages, derived from samples of wood
706 and other organic materials, were collected from exposed gullies running up the complex
707 and were, in order from lowest to highest elevation sampling location: $1,345 \pm 45$, $1,620 \pm$
708 80 , 140 ± 140 , 145 ± 145 cal. B.P. Uncertainty on these and other dates is 2-sigma error.
709 Based on crosscutting relationships observed from the lidar data, where younger landslides
710 overlay older landslides, the youngest two ages likely represent a more recent secondary
711 failure in the landslide complex, while the older two likely represent the initial age of the
712 complex. For this reason, we use both older dates to calibrate the age-roughness model
713 (Figure 2.3), but not the younger ages. While these ages do not overlap in error and may
714 represent separate failures, they are close enough that excluding either does not significantly
715 change the age-roughness fit.

716 We also obtained two ages from wood buried in individual landslides in the Schmitz
717 Preserve, with ages of 405 ± 85 and $7,390 \pm 70$ cal B.P. for landslides in the northern and
718 eastern parts of the preserve, respectively (Figure 2.2a). We also obtained one age from
719 wood exposed in a gully near May Creek (Figure 2.2a), which gave an age of 396 ± 58
720 cal. B.P for that landslide. Two additional charcoal ages were obtained from landslides
721 near North Issaquah (830 ± 80 cal. B.P.) and Coal Creek (705 ± 25 cal. B.P.) (Figure
722 2.2a). Owing to long preservation times, charcoal is more likely to provide a maximum age
723 constraint than an absolute age. However, based on the relatively young ages recorded here,
724 we used these to calibrate the age-roughness relationship. Finally, to consider the youngest
725 possible ages, we use landslides that occurred during the winter of 1996–97 at Perkins Lane
726 and near the “Highlands” (Figure 2.2a) (Baum et al.; 1998).

727 2.4.2 *Landslide Inventory*

728 We mapped 1,064 deep-seated landslides in the study area (Table 2.4). GIS polygons of
729 our mapped landslide inventory are available as a shapefile with the supporting informa-
730 tion. Some of these landslides are part of slide complexes with multiple successive failures.
731 Individual landslides range in area from $300m^2$ to $530,000m^2$, with a mean landslide size of
732 $18,000m^2$. The height-to-length ratios (height is measured as base of headscarp to end of
733 toe) of the landslides range from 0.03 to 4, with a mean height-to-length ratio of 0.5. The
734 landslides generally occurred along the steep slopes of the area, such as waterfront bluffs
735 and river valleys (Figure 2.2a) and overlap with areas predicted to fail in a Seattle Fault
736 earthquake by Allstadt et al. (2013) and Grant (2017). We did not map bedrock failures in
737 the Issaquah Alps (Figure 2.2a), which explains the dearth of landslides from that region
738 in our inventory.

739 The landslide inventory ages, derived from roughness, reflect an exponential preserva-
740 tion bias, with more landslides having younger ages owing to the erosional removal and
741 overwriting of older landslide deposits (Table 2.4, Figure 2.7). This appears as a linear
742 relationship in the semi-log plot in Figure 2.7. However, there are even more landslides
743 at younger ages than this bias would predict, with a large increase in landslides with ages
744 younger than 400 yr B. P. This may be an artificial increase due to the “Sadler effect”
745 (Sadler; 1981; Schumer and Jerolmack; 2009), where changing the sampling window can
746 artificially increase measured rates of stochastic events (see appendix and Figure 2.13 for
747 more information on this effect). Beyond the expected preservation bias and Sadler effect,
748 there are additional fluctuations in the number of landslides through time, such as around
749 1.0 ka and 2.6 ka (Figure 2.7).

750 2.4.3 *Modeled Landslide Histories through Time*

751 The results of the roughness-based landslide models through time are shown in Figure 2.7
752 and compared to the inferred frequency of landslides from our inventory (black line). Be-
753 cause these models only consider landslides $\geq 9,000m^2$, the landslide inventory is similarly
754 filtered to provide an appropriate comparison. The steady model has a landslide rate of

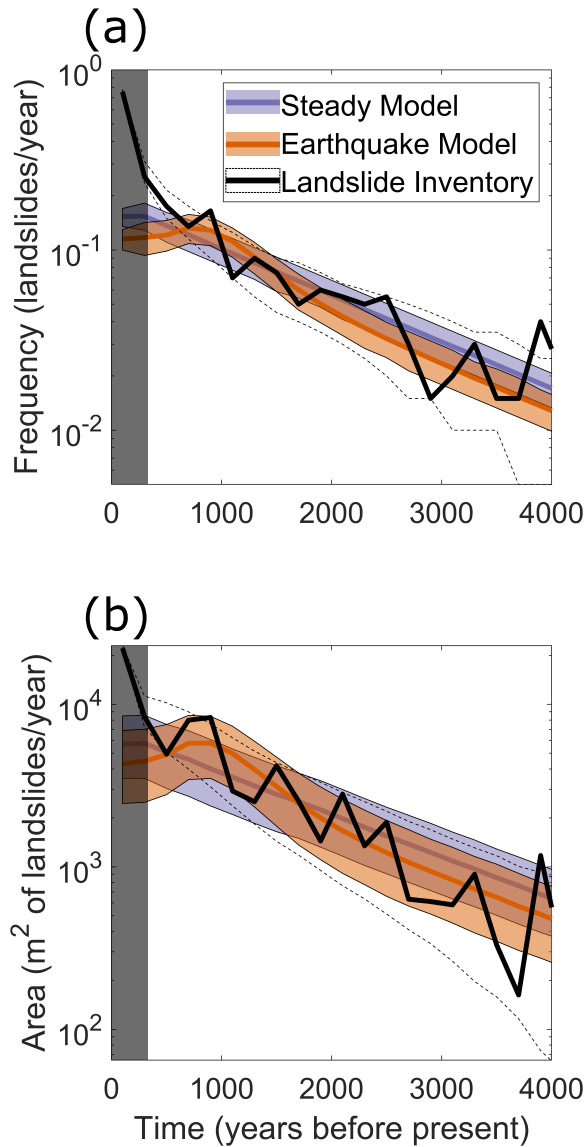


Figure 2.7: Landslide frequency (a) and area (b) over time. The black line represents the mapped landslide inventory, 2σ error in dashed lines. The dark gray vertical bar over the most recent time interval indicates the anomaly, possibly due to the Sadler effect, that is not considered during modelling. The blue line and shaded area represent the model with a uniform landslide rate. The orange line and area represent the model with an increase in landslide frequency and area around the time of the last major earthquake on the Seattle Fault. The lines indicate the mean values, and the shaded areas represent 90% of model runs. See methods section for scenario model details.

755 16 landslides per 100 years. The best-fit earthquake (pulsed) model has a landslide rate of
756 12 landslides per 100 years with 80 additional landslides induced by simulated representa-
757 tion of the last major Seattle Fault earthquake. Both of these best-fits are determined by
758 minimizing root mean squared logarithmic error (RMSLE) between the modeled frequency
759 distributions and the observed landslide distribution.

760 Although the earthquake-induced landslide model produces an overall similar pattern
761 to the steady landsliding model, it does do a better job of fitting some of the observed
762 variations in landslide frequency and area. Based on comparing the frequency distributions
763 of the models to the observations, the earthquake model has a RMSLE of 0.018 while the
764 steady model has a RMSLE of 0.078. For the area distributions, these errors are 0.54 and
765 2.8, respectively. In particular, the earthquake landslide model fit is better around the time
766 of the last major Seattle Fault earthquake 1,100 yr B. P. in the area distribution. Although
767 the fit is also slightly better for the earthquake model in the frequency distributions, it is less
768 robust. Both models fail to capture a cluster in landsliding around 2.6 ka and 3.8–4.6 ka,
769 possibly related to other Seattle Fault earthquakes (Nelson et al.; 2003; Karlin et al.; 2004).
770 These peaks might be better characterized by a model with landslides induced from those
771 earthquakes. We did not try to replicate the substantial increase in modern landsliding
772 observed in the last 400 years. This cluster may be a result of the Sadler effect (Sadler;
773 1981; Schumer and Jerolmack; 2009), see appendix and Figure 2.13.

774 *2.4.4 Seattle Fault Earthquake Simulations and Spatial Landslide Patterns*

775 Assuming that spatial correlations between landslides and PGV signify earthquake-induced
776 landslides, we can use the spatial distribution of landslides to measure the likelihood that
777 landslides were earthquake induced. Spatial patterns were first assessed by comparing land-
778 slide distributions to PGVs and distance to the fault. Although conditional landslide prob-
779 ability is proportional to distance from the fault and PGV, the relationship is stronger for
780 PGV with higher maximum probabilities for both landslide count (conditional probability
781 for PGV $0.144 \geq$ conditional probability for distance 0.071) and area (conditional probabilit-
782 ity for PGV $0.116 \geq$ conditional probability for distance 0.082) (Figure 2.14). As a result,

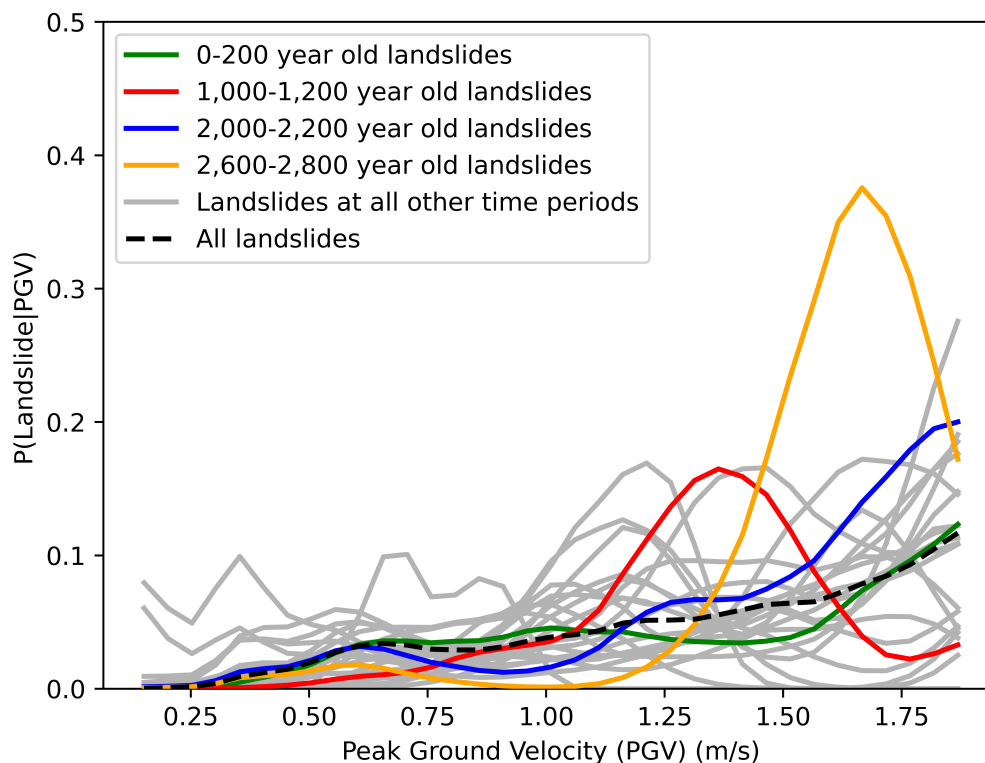


Figure 2.8: Conditional probability of landsliding as a function of peak ground velocity $P(\text{Landslides}|\text{PGV})$ at 200-year time slices. Highlighted time slices are in color: green for 0-200 yr B. P., red for 1,000-1,200 yr B. P. (time window that includes a known Seattle Fault earthquake), blue for 2,000-2,200 yr B. P., and yellow for 2,600-2,800 yr B. P. Other time slices from 4.6 – 0 ka are plotted in gray. The probability for the entire time period is plotted as a dashed black line. Peak ground velocity (PGV) is from the median of all earthquake scenarios in units of m/s. The 0–200 period represents a “null” scenario as no M7.0 earthquakes from faults in the region have been recorded, felt, or described during this time. The blue and yellow highlighted time slices have the maximum conditional probabilities.

783 we use PGV as the main indicator of earthquake-induced landslides. It should also be noted
784 that $P(\text{Landslide}|\text{PGV})$ stops being a useful indicator at high PGVs ($P(\text{PGV}) \leq 0.1$) due
785 to their low probability of occurrence. The distribution of $P(\text{Landslide}|\text{PGV})$ at different
786 200-year intervals is shown in Figure 2.8 (Figure 2.15 is similar, but uses mean PGV in-
787 stead of median PGV). Highlighted in this figure are time periods that have higher than
788 average correlations between landslides and PGV (blue and yellow lines), the time slice that
789 includes the last known major Seattle fault earthquake (red line), and the "null" time slice
790 of the last 200 years, a time window that we know did not experience a major Seattle fault
791 earthquake.

792 Using the D statistics produced by two sample K-S tests, we compare the ground motions
793 from different scenarios with the spatiotemporal distribution of landslides in Figure 2.9 and
794 Table 2.3. The D statistic is a measure of how different the probability distributions of
795 the two samples are, with higher values corresponding to greater differences. Above a
796 critical value this statistic invalidates the null hypothesis that the two samples are from
797 the same distribution. This critical value varies based on the input sample size but is on
798 average 0.1 at a 99.9% confidence level for our dataset. Any value higher than this is
799 considered statistically significant. We can additionally compare the values to get a relative
800 measure of how well the distributions agree. To better compare variability in critical values
801 among the different scenarios, Table 2.3 lists the D statistic divided by the corresponding
802 critical value and Figure 2.9 summarizes this by finding the average normalized D statistic
803 over all scenarios for each time period. We interpret values higher than 1 to indicate
804 some earthquake-induced landslides, with higher values corresponding to a higher likelihood
805 and proportion of earthquake-induced landslides. We plot (Figure 2.9) both the positive
806 correlation (solid line with points) and inverse correlation (dashed line with points). The
807 positive correlation between the landslides and PGV values represents earthquake forcing,
808 whereas a lack of correlation or inverse correlation may represent landslides triggered by
809 other forces. Inverse correlation additionally may suggest some sort of preconditioning of
810 the landscape by earthquakes, causing landslides in areas other than those that failed due
811 to shaking. Note that these values may not visually match the patterns shown in the
812 conditional probability plots (Figure 2.8), because small number statistics can artificially

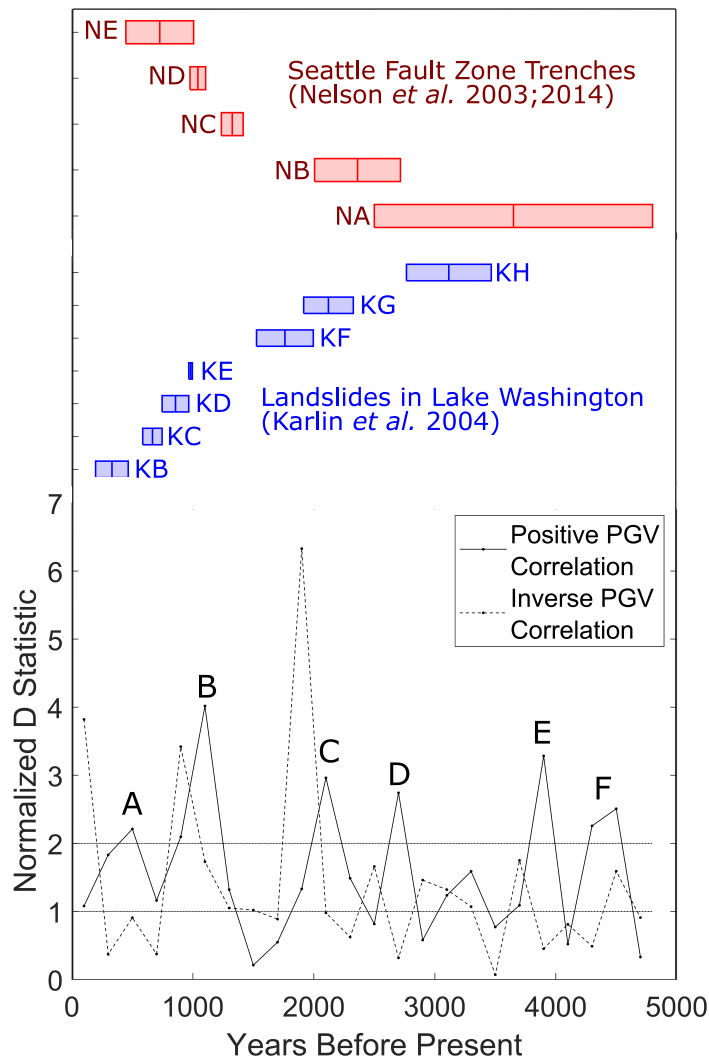


Figure 2.9: Plot showing the normalized D statistic (D statistic divided by the corresponding critical value, D statistic compares landslide distribution and PGV distribution) averaged over the nine earthquake simulation scenarios for each 200-year time interval through 4,800 yr B. P. Horizontal lines show values of 1 and 2. Values above 1 invalidate the null hypothesis and indicate earthquake forcing. Values above 2 have a higher probability of earthquake forcing and are used to designate possible earthquakes. These clusters are labeled (A-F); cluster B overlaps with the timing of the last major Seattle Fault earthquake. See text for details. For comparison, other earthquakes on the Seattle Fault (Nelson et al.; 2003, 2014) are plotted as red bars (labelled NA-NE) and landslide deposits in Lake Washington (Karlin et al.; 2004) are plotted as blue bars (labelled KA-KH).

Age Range (Years Ago)	Scenario 1	Scenario 2	Scenario 3	Scenario 4	Scenario 5	Scenario 6	Scenario 7	Scenario 8	Scenario 9	Mean	Cluster from this study	Nelson et al. (2003, 2014) Earthquakes	Karlin et al. (2004) Events
0-200	2.371	1.343	0.807	0.876	1.294	0.664	1.378	0.297	0.69	1.08			B
200-400	1.69	2.424	1.498	2.017	1.959	1.854	2.089	2.161	0.788	1.831			B
400-600	2.339	1.161	1.938	2.401	2.648	2.416	2.488	2.58	1.927	2.211	A	E	
600-800	1.138	0.806	1.052	1.072	1.556	1.378	1.031	0.681	1.736	1.161		E	C
800-1,000	1.969	1.164	1.193	2.623	1.221	3.082	2.608	3.005	1.999	2.096	B	E	D
1,000-1,200	4.917	2.470	4.656	3.559	4.647	3.292	5.328	4.137	3.144	4.017	B	D	E
1,200-1,400	0.7631	0.653	1.009	2.071	1.872	1.120	1.597	1.716	1.083	1.32		C	
1,400-1,600	0.216	0.431	0.322	0.301	0.099	0.147	0.055	0.047	0.284	0.211			F
1,600-1,800	0.211	0.065	0	0.879	0.942	0.52	0.766	1.546	0	0.548			F
1,800-2,000	0.999	1.099	1.87	0.922	1.59	1.272	1.846	1.779	0.601	1.331			F & G
2,000-2,200	1.638	3.397	1.436	4.419	3.225	1.641	4.051	3.643	3.208	2.962	C	B	G
2,200-2,400	1.686	1.787	0.599	1.112	1.751	2.012	1.25	0.989	2.203	1.487		B	G
2,400-2,600	0.661	7.8E-2	0.823	1.934	0.578	0.162	1.209	1.273	0.622	0.816		B	
2,600-2,800	2.957	2.253	2.056	2.262	3.253	2.9991	3.3	3.408	2.194	2.742	D	A	H
2,800-3,000	0.239	0.59	0.908	1.171	0.726	0.107	0.483	0.489	0.51	0.580		A	H
3,000-3,200	1.39	1.528	1.931	1.145	0.231	1.988	1.256	0.922	0.74	1.237		A	H
3,200-3,400	0.913	1.561	0.591	1.519	2.092	2.053	1.607	2.155	1.805	1.588		A	H
3,400-3,600	0.985	0.278	0.945	1.043	0.826	0.661	0.66	0.688	0.849	0.771		A	H
3,600-3,800	0.735	1.008	1.025	0.824	1.508	1.133	0.859	1.215	1.508	1.090		A	
3,800-4,000	2.111	4.208	2.767	2.66	3.516	2.956	3.445	3.798	4.094	3.284	E	A	
4,000-4,200	0.386	0.314	0.363	0.952	0.376	0.679	0.625	0.463	0.526	0.52		A	
4,200-4,400	2.185	3.764	1.983	1.962	1.715	1.342	2.119	2.248	2.997	2.257	F	A	
4,400-4,600	3.091	3.861	2.492	2.648	2.194	2.278	1.829	2.232	1.943	2.508	F	A	
4,600-4,800	0.336	0.374	0.589	0.346	0.136	0.282	0.223	0.168	0.517	0.33		A	

Table 2.3: K-S test normalized D statistics, for different earthquake scenarios and time periods, and earthquakes from paleo-seismology studies

813 inflate the conditional probability at high PGVs (e.g., the 2.8–2.6 ka event in Figure 2.8).

814 By comparing across time, we look for high positive correlation values to indicate that an
815 earthquake likely occurred during a given period. Moreover, by comparing across scenarios
816 during that interval (Figure 2.16), we estimate the most likely distribution of ground motions
817 from that simulated earthquake scenario. We observe the highest normalized D statistics
818 in the 1.2 – 1.0 ka and 1.0 – 0.8 ka time periods, which correspond to the time of the last
819 major Seattle Fault earthquake (peak labeled B in Figure 2.9). Within these time periods,
820 earthquake scenario 7 (Table 2.1, Figure 2.4), from 1.2 – 1.0 ka, has the highest D statistic
821 among the different scenarios considered. This scenario was generated using a western
822 hypocenter and slip distribution 3, with centrally concentrated slip (Table 2.1, Figure 2.5).
823 The spatial distribution of PGV from scenario 7 shows the strongest ground motions in a
824 band stretching from West Seattle to Mercer Island (Figure 2.4, scenario 7). Relative to
825 other scenarios, this scenario also has higher ground motions along the bluffs bordering the
826 Puget Sound.

827 We can also look for other clusters in the normalized D statistic that indicate other
828 possible earthquakes (value ≥ 2). As a check on this approach, we inspect the most recent
829 200 years, an interval where no significant earthquakes were recorded along the Seattle
830 Fault. As expected, we find no spike or corresponding increase in the normalized D statistic
831 to indicate any earthquake-induced landslide activity. Consideration of older time intervals
832 shows evidence for one cluster younger and four clusters older than the 1,100 yr B. P. Seattle
833 Fault earthquake (B in Figure 2.9). We name the clusters in increasing age as A (0.6–0.4
834 ka), B (1.2 – 0.8 ka), C (2.2–2.0 ka), D (2.8–2.6 ka), E (4.0–3.8 ka), and F (4.6–4.2 ka)
835 (Figure 2.9 and Table 2.3). The slip and hypocenters of the best-fitting scenarios for each
836 of the clusters are presented in Figure 2.5 and the expected ground motions are presented
837 in Figure 2.4.

838 **2.5 Discussion**

839 The new deep-seated landslide inventory presented here combined with landslide-age and
840 earthquake ground-shaking scenario models substantiates that the landscape records past
841 earthquakes on crustal faults in the Seattle region. Each line of evidence examined supports

842 the inference that earthquake-induced landsliding led to temporal and spatial clustering of
843 landslide deposits in the study area, at least for the last M 7 Seattle Fault earthquake (1,100
844 yr B. P.). Although this interpretation can be drawn from either the temporal or spatial
845 datasets separately, the most robust conclusions come from considering all the data and
846 models together. The discovery of landslide clustering due to earthquakes is important over
847 both geologic and human timescales, as such patterns should affect landscape evolution and
848 hazards in the region. Our results differ from work by LaHusen et al. (2020), which found
849 little evidence that deep-seated landslides within the Oregon Coast Range are triggered by
850 subduction zone earthquakes. This may be due to stronger ground motions and less seismic
851 wave attenuation from crustal fault earthquakes, as opposed to subduction earthquakes.
852 While CSZ earthquakes may have higher moment magnitude, they are typically deeper
853 and offshore, and thus generally have lower PGVs at inland sites (Serey et al.; 2019).
854 Alternatively, the presence of a fault damage zone in the crustal SFZ could be at play,
855 which has been shown to affect earthquake-induced landslide patterns in other fault zones
856 (e.g. Bloom et al. (2022)) and there are differences in radiated frequency content among
857 crustal vs subduction zone earthquakes. The observed temporal pattern of landslide ages in
858 the Puget Lowland fits slightly better to a model with a pulse of landslides at the time of
859 the last major Seattle Fault earthquake rather than steady landslides through time (Figure
860 2.7). Also, a model based on the landslide area distributions fits better than the frequency
861 distributions. This indicates that a consideration of landslide area through time can help
862 identify an earthquake signal. Perhaps this is due to some differences in size from different
863 landslide sources, though this variation is difficult to resolve with our dataset (see Figure
864 2.17, which shows a constant landslide-area power law exponent through time, suggesting
865 no source dependence for landslide size). However, it is worth noting the uncertainty in
866 resolving an earthquake signal from landslide age-modeling alone. In both plots that we
867 consider, landslide frequency and landslide area (Figure 2.7), the fits to the earthquake
868 model are not perfect and it is possible that the cluster we interpret as an earthquake signal
869 1,000 years ago may be noise. Also, our focus on landslides with areas greater than $\geq 9,000$
870 m^2 may bias the distribution and miss some pattern in smaller landslides. Additionally,
871 while our models assume a relatively constant background rate, even precipitation-induced

872 landslide events tend to be stochastic (Coe et al.; 2004). This means that our models
873 do not account for the Sadler effect (Sadler; 1981; Schumer and Jerolmack; 2009), seen
874 in the inventory dataset (see appendix). The large uncertainties on individual landslide
875 ages associated with the age-roughness dating approach limit our ability to identify high-
876 magnitude landslide-triggering earthquakes in the record, especially if they occur somewhat
877 frequently. In theory, infrequent large earthquakes, such as along the Seattle Fault, should
878 be distinguishable in the landslide record, whereas more frequent earthquakes will blur
879 together and be indistinguishable from the background rate. In practice, this means the
880 landslide timing record cannot be used to rule out the occurrence of earthquakes due to an
881 absence of temporal clustering and the strongest conclusions can be made when combining
882 landslide age information with spatial patterns (e.g. Grant et al. (2022)). Additionally,
883 it is worth noting that some of the earthquake-induced landslides may not be triggered
884 co-seismically, but instead fail in the following years after an earthquake main shock due to
885 aftershocks or weakened slope materials.

886 In our study, we also see a trend in the spatial clustering of landslides that comports with
887 ground shaking modeled for past earthquakes on the Seattle Fault (Stone et al.; 2022) (e.g.,
888 Figure 2.6). Given similarities in geologic material and mean annual precipitation across
889 the study area, we expect spatial patterns of non-seismic landslides to be relatively un-
890 clustered and random through time. Future work may be able to compare our deep-seated
891 landslide inventory with modern shallow landslide inventories to test if this assumption
892 is true for non-seismic landslides. In contrast, when looking at specific time periods for
893 our dataset, we observe a significant shift in the spatial pattern of landsliding that we
894 interpret to record earthquake activity (Figure 2.8). This shows that earthquake-induced
895 landslides do not affect the entire study area equally and will have different effects in different
896 areas. Additionally, there is a mismatch in the distribution of interpreted non-seismic and
897 earthquake-induced landslides (200–0 year old versus 1,200–1,000 year old landslides in
898 Figure 2.8). This observation has implications for landslide hazard assessments, as hazard
899 based on rainfall-based predictions, for example, will not necessarily correlate with hazard
900 from earthquake-induced landslides.

901 By comparing the spatial landslide distribution to the modeled PGVs in different scenar-

ios, we can look for an indication that an earthquake occurred during that time interval, as well as determine the best-fitting patterns in ground motions during the last major earthquake on the Seattle Fault. We do this using the K-S D statistic as a measure of how different a given landslide distribution is from the norm, which for a positive correlation indicates the likelihood that a landslide distribution is caused by an earthquake scenario. For the 1.2 – 1.0 ka and 1.0 – 0.8 ka intervals, the period that includes the last known major rupture on the Seattle Fault, all ground-motion scenarios show positive correlation D statistics well above the critical value (Figure 2.9, Table 2.3). None of the earlier time intervals show scenarios this high above the normalized critical value of 1. These results show strong evidence that spatial landslide patterns were impacted by an earthquake during this time. Although any of the scenarios are statistically reasonable based on the normalized critical value, the scenario that best matches the landslide distribution (scenario 7) has the strongest ground shaking stretching from West Seattle to Mercer Island, with strong shaking along the bluffs of the Puget Sound. This scenario was created using a western hypocenter and central slip distribution.

We next speculate on the earthquake properties from other identified possible earthquakes using the best-fit scenarios, with the caveat that the nine earthquake scenarios considered do not represent a full suite of potential rupture characteristics. Many of the landslide clusters found in our data may represent the same earthquakes recorded in fault trenches in the SFZ (Nelson et al.; 2003, 2014) and turbidite and landslide deposits in Lake Washington (Karlin et al.; 2004) (Figure 2.9). Cluster A (0.6–0.4 ka) correlates with earthquake NE (940–380 cal yr. B.P) from Nelson et al. (2014). This may also represent RH2 (650–200 cal yr. B.P) noted by Angster et al. (2022). Cluster A does not have a clear best-fit ground-motion scenario, with most scenarios performing equally well (Figure 2.16). Cluster C (2.2–2.0 ka) correlates with earthquake NB (2,650–1,940 cal yr. B.P) from Nelson et al. (2003, 2014) and event KG (2,260–1,850 cal yr. B.P) from Karlin et al. (2004). The best-fit scenario (Figure 2.16) for this cluster indicates the strongest ground motion from Beacon Hill to Newcastle (Figure 2.6), with high ground motions along the Puget Sound on the western edge of the study area. Cluster D (2.8–2.6 ka) may represent earthquake NA ($\geq 2,500$ cal yr. B.P) from Nelson et al. (2003, 2014) and event KH (3,400–2,700 cal

932 yr. B.P) from Karlin et al. (2004). The best scenario (Figure 2.16) for cluster D had the
933 strongest motions stretched from West Seattle to Mercer Island (Figure 2.6), with relatively
934 high ground motions around Lake Washington. Cluster E (4.0–3.8 ka) overlaps, as well,
935 with earthquake NA ($\geq 2,500$ cal yr. B.P) from Nelson et al. (2003, 2014). This cluster has
936 some of the lowest ground motions of any scenario, with strong shaking mostly confined to
937 the area near the surface trace of the fault. The 4.6–4.4 ka and 4.4–4.2 ka increases (Cluster
938 F) also overlap with earthquake NA ($\geq 2,500$ cal yr. B.P) from Nelson et al. (2003). This
939 cluster also has a best fit (Figure 2.16) to the same scenario as cluster E, which suggests
940 that E and F might be a signal from the same earthquake. Based on the best-fits to all
941 these clusters (Figure 2.16), an eastern hypocenter appears least likely to have occurred in
942 the past 4,600 years, while all other hypocenters and all slip distributions tend to be the
943 best-fit for at least one possible earthquake.

944 Some proposed earthquakes from Nelson et al. (2003, 2014); Karlin et al. (2004); Angster
945 et al. (2022) are not apparent in our landslide inventory. Karlin et al. (2004) date submarine
946 landslide and turbidite deposits in Lake Washington and interpret some of these to be
947 seismically induced. Although the Seattle Fault is proximal to this lake, other triggers, such
948 as large storms (e.g. Karlin et al. (2004) event KD [900–680 cal yr. B.P]) or earthquakes on
949 other faults or the CSZ (e.g. Karlin et al. (2004) event KB [400–130 cal yr. B.P]), could lead
950 to such deposits. In contrast, Nelson et al. (2003, 2014) studies and Angster et al. (2022) are
951 directly linked to the Seattle Fault as they trenched a backthrust. One possible explanation
952 for why we could miss an event would be if dry conditions during the rupture led to fewer
953 landslides and a less diagnostic spatial pattern. This may explain why we do not see a
954 clear signal from earthquake NC (1,350–1,170 cal yr. B.P) from Nelson et al. (2003, 2014)
955 and why there is also no landslide signal in Lake Washington at that time (Karlin et al.;
956 2004). To this point, Angster et al. (2022) tested both dry and wet hillslope conditions and
957 found that the total area of landslide sources was an order of magnitude smaller when an
958 earthquake happened during the dry season than when soils were completely saturated.

959 The D statistic for the inverse correlation may also contain information regarding these
960 past earthquakes. Only three time periods (2.0–1.8 ka, 1.0–0.8 ka, 0.2–0 ka) have inverse D
961 statistics higher than 2, and all of these postdate landslide clusters C, B, and A respectively.

962 This may represent a delayed effect where, after an earthquake has caused landslides on un-
963 stable slopes with strong shaking, the remaining unstable slopes will be biased towards areas
964 that experienced less shaking. In the following centuries this pre-existing bias could lead
965 to an apparent inverse relationship between landslides and ground motions during periods
966 with no earthquakes. This conflicts with other studies (e.g. Parker et al. (2015)) showing
967 that earthquake-induced landslide locations are influenced by past failures, and are more
968 likely in areas that failed in the past. Perhaps the triggering mechanism is important here,
969 with earthquake-induced landslides occurring more frequently in the same locations while
970 rainfall-induced landslides are more likely in areas without earthquake-induced landslides.
971 Further testing of this hypothesis could help improve our understanding.

972 Taken together, these results can be tentatively interpreted to indicate that the Seattle
973 Fault does not have a characteristic rupture style and instead generates earthquakes with
974 a variety of slip distributions, hypocenter locations, and resulting ground motion distribu-
975 tions. While this means that we cannot rule out any rupture parameter for either past or
976 future earthquakes on the fault, we can assess the likelihood of different ground motion dis-
977 tributions in the past based on the landslide record. For example, based on our analyses, we
978 can show that an eastern hypocenter is the least likely hypocenter location for these past
979 earthquakes. An important caveat to this interpretation is that ground motions are not
980 unique to a particular set of rupture parameters and the modeled likelihoods will not fully
981 contain the rupture parameters to a single set of values. The ground motions also used a
982 uniform shear-wave velocity minimum of 450 m/s, instead of a more detailed consideration
983 of variations in glacial sediment site effects (see appendix for more discussion on the ground
984 motion simulation parameters). Additionally, the different scenarios all have sufficiently
985 different PGV distributions such that risk analyses based on a single earthquake scenario
986 may obscure the inter-event variability of seismic hazard due to Seattle Fault earthquakes.
987 Because of these factors, future work using this method could be improved by considering a
988 wider range of earthquake scenarios, with more variability and granularity in the rupture pa-
989 rameters. For example, while Stone et al. (2022) considers three different hypocenters, they
990 are all at the same depth on the fault. Considering different hypocenter and rupture depths
991 could expand the range of possible ground motions we use to evaluate earthquake-induced

992 landslides.

993 **2.6 Conclusions**

994 In this study, we combine analysis of a new, deep-seated landslide inventory with morpho-
995 logically estimated ages, with landslide and earthquake simulation modeling to investigate
996 spatial and temporal clusters of landslides in the Puget Lowlands of Washington State, USA.
997 We find evidence of increased landsliding around the time of the last major Seattle Fault
998 earthquake. Spatially, this corresponds to more landslides in areas with higher modeled
999 PGVs. Temporally, this increase in landslides leads to possible clustering with ages near
1000 the time of the last major Seattle Fault earthquake. By restricting our view to landslides
1001 within the timeframe of the 1050–1020 cal yr. BP Seattle Fault earthquake (Bucknam et al.;
1002 1992; Atwater and Moore; 1992; Sherrod; 2001; Nelson et al.; 2014), we also see an increase
1003 in spatial clustering compared to the temporally unrestricted spatial patterns. Landslide
1004 clustering also reveals evidence of earlier earthquakes on the Seattle Fault, some of which
1005 correlate with other paleoseismic evidence (Nelson et al.; 2003, 2014; Karlin et al.; 2004).
1006 Our work helps to refine the ages for these past earthquakes. Earthquake NA ($\geq 2,500$ cal
1007 yr. B.P) from Nelson et al. (2003) is poorly constrained, and while we cannot narrow it
1008 down to a single time period, we can suggest that it may be related to either landslide
1009 cluster D (2.6–2.8 ka), E (3.8–4.0 ka), or F (4.2–4.6 ka). In addition, comparison of different
1010 earthquake scenarios to the landslide inventory identifies the modeled ground motions that
1011 best match the clustering related to the last major Seattle Fault earthquake. We find that
1012 the scenario with strongest shaking stretching from West Seattle to Mercer Island and high
1013 shaking along the bluffs bordering the Puget Sound fits best. This scenario (i.e., scenario
1014 7) was created with a western hypocenter and central slip distribution. By applying this
1015 technique to the other possible earthquake-induced landslide clusters, we see that a vari-
1016 ety of different ground motion distributions provide the best match to different temporal
1017 landslide clusters (Figure 2.16), which suggests that Seattle Fault earthquakes have variable
1018 rupture characteristics that result in different patterns of ground shaking. More generally,
1019 our study demonstrates the power of combining a regional landslide inventory with multi-
1020 ple earthquake scenario models to perform paleoseismic analysis. Future work in the Puget

1021 Lowland could investigate if there are similar landslide clusters related to other crustal faults
 1022 such as the southern Whidbey Island Fault. Our approach offers a means to investigate past
 1023 earthquakes, especially in areas with rare but strong earthquakes in landscapes prone to
 1024 landsliding. Where records of past seismic activity are missing, the method may be used
 1025 as one of few means to extract information about past earthquake ground motions directly
 1026 from the landscape.

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Landslide Name	DirectAMS Code	Submitter ID	Fraction of Modern (pMC)	1σ Error (pMC)
North Issaquah Slide	D-AMS 034468	MS1901	89.37	0.25
Schmitz Park N	D-AMS 034469	SE1901	95.36	0.27
Schmitz Park E	D-AMS 034470	SE1902	44.61	0.2
Norway Hill	D-AMS 036575	BP1901	83.35	0.25
Norway Hill	D-AMS 036576	BP1902	80.87	0.37
Norway Hill	D-AMS 036577	BP1903	98.02	0.25
Norway Hill	D-AMS 036578	BP1904	97.87	0.31
Coal Creek	D-AMS 034879	CC1901	90.65	0.23
May Creek	D-AMS 042596	MC0121	96.13	0.25

Table 2.4: Additional Landslide Radiocarbon Date Information

1265 **2.7 Appendix**

1266 *2.7.1 Seattle Fault Earthquake Simulations*

1267 We used predicted peak ground velocities (PGVs) from 9 different M7 scenario earthquakes
1268 on the Seattle Fault to understand possible ground motion distributions. These kinematic
1269 simulations were developed using SPECFEM3D, a spectral element method (SEM) code
1270 that can incorporate detailed topographic surfaces (Komatitsch et al.; 2004). These simu-
1271 lations were only valid up to 3 Hz and thus, may not accurately predict PGA. Therefore,
1272 we used PGV to measure ground shaking, which should be accurately represented by the
1273 simulations. The mesh used had a variable element spacing, with average element sizes
1274 of 30 m at depths of 300 m or less, 100 m at depths between 300 and 1200 m, and
1275 of 300 m at depths greater than 1200 m. By incorporating a complex topography in the
1276 mesh, the model output allows consideration of the effect of topographic amplification or
1277 de-amplification (Meunier et al.; 2008). This surface topography had a resolution of 30 m
1278 and was based on a combined topography and bathymetry DEM of the Puget Lowlands
1279 (Finlayson; 2005).

1280 The earthquake simulations use the Cascadia 3-D seismic velocity model (Stephenson
1281 et al.; 2017). This 3D velocity model includes sedimentary basins such as the Seattle Basin,
1282 as well as the first-order structure of the Seattle Fault. To account for near-surface site
1283 effects, a low velocity layer was placed in the upper 50–100 m of the model with a minimum
1284 shear wave velocity of 450 m/s. The source model was produced using the methods of
1285 Frankel et al. (2014), wherein the M7 earthquake sources were assigned randomly-seeded
1286 slip distributions with correlation lengths of 14.6 km along-strike and 6.9 km down-dip.
1287 The resulting slip distributions were mapped onto a 45°south-dipping plane. This roughly
1288 corresponds to the northernmost fault strand of Blakely et al. (2002). The final rupture
1289 zone had an along-strike extent of 43.8 km and a down-dip extent of 18.2 km, in accordance
1290 with the empirical scaling relationships of Wells and Coppersmith (1994). The up-dip limit
1291 of slip was at a depth of 3 km. To compare to our landslide inventory data, we consider
1292 9 different M7 rupture scenarios (Table 2.1) based on a combination of three different slip
1293 distributions (Figure 2.4) and three different hypocenter locations (East, Center, and West),
1294 as described in detail by Stone et al. (2022).

1295 *2.7.2 Fitting the Temporal Landslide Model*

1296 The best fit landslide history model was chosen by minimizing error in three aspects. First,
1297 models were chosen so that the number of modelled landslides produced a similar number of
1298 expected preserved landslides as is observed in the landslide inventory. Second, the model
1299 results were compared to the dated landslide inventory both in a frequency and area based
1300 method. The differences between the data and the model were measured using the Root
1301 Mean Squared Log Error (RMSLE) and does not consider the most recent 400 years due to
1302 an anomalous increase in landslides with those ages. The best fit model was chosen to also
1303 minimize the RMSLE measured in both frequency and area. Figure 2.11 shows this error
1304 as a function of input parameters and indicates the final model used as a red point.

1305 *2.7.3 Scale Based Preservation Bias*

1306 For the temporal landslide models, we use a single power-law based preservation bias. How-
1307 ever, it is possible that preservation bias is scale dependent. For example, over time, smaller
1308 landslides are more likely to be completely covered or removed than larger landslides. This
1309 would result in a stronger preservation bias for smaller landslides. To test this we calculate
1310 the frequency-area power law exponent (see Section 2.3) for the landslide inventory in 200
1311 year windows (Figure 2.17). Whereas there is some variation, these values are all within
1312 error of the value we use: -1.8. If there was a scale-based preservation bias, there would be
1313 a trend in these values towards higher values at higher ages instead. There still might be a
1314 slight scale dependency on preservation bias, however it is too small to be detected in our
1315 dataset and minor enough to not affect our results.

1316 *2.7.4 Sadler Effect*

1317 The Sadler effect is based on the idea that erosional processes are stochastic in nature and
1318 have a heavy-tailed distribution of inter-event times (Sadler; 1981; Schumer and Jerolmack;
1319 2009). In short this leads to different apparent rates (in this case, landslide rates) based on
1320 the time over which observations are collected. It is possible that the landslide inventory
1321 we present is subject to this effect. In order to test this hypothesis, we produce a Sadler
1322 plot of the landslide rate as a function of measurement interval (Figure 2.13). Here we see
1323 that the data can be fit by a power law with a scaling exponent (-0.53). This is indicative
1324 of a distribution impacted by the Sadler effect. However, this type of decrease in rate as
1325 a function of time may also be the result of a preservation bias. In particular, this may
1326 explain the drop off in landslide rates at high time intervals ($\geq 1,000$ years) that is even
1327 lower than the rate predicted by the fit to a power law function. As far as we are aware, no
1328 study so far has applied a Sadler effect to a landslide inventory and decoupled the effects
1329 of preservation bias and the Sadler effect. As such, it is beyond the scope of this work to
1330 attempt to deconvolve these two effects.

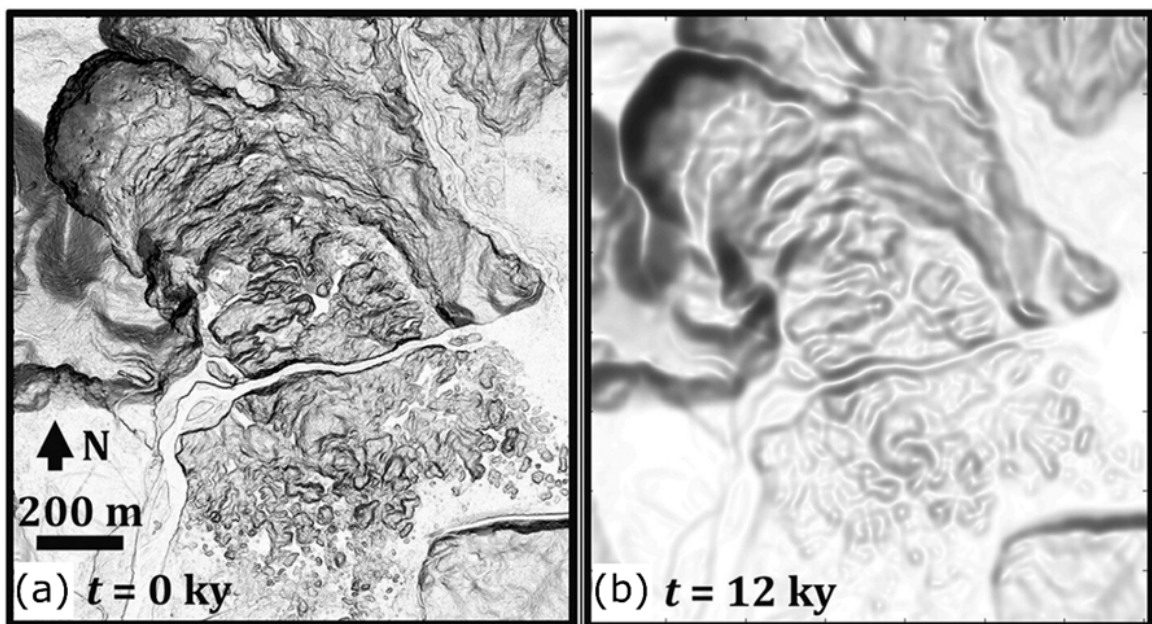


Figure 2.10: Slope maps of the surface evolution of the 2014 Oso landslide predicted by a nonlinear model of hillslope sediment flux. Figure a) is 2014 lidar data, while Figure b) is a model result of this same landscape after 12,000 years of non-linear hillslope diffusion. Adapted from Booth et al. (2017).

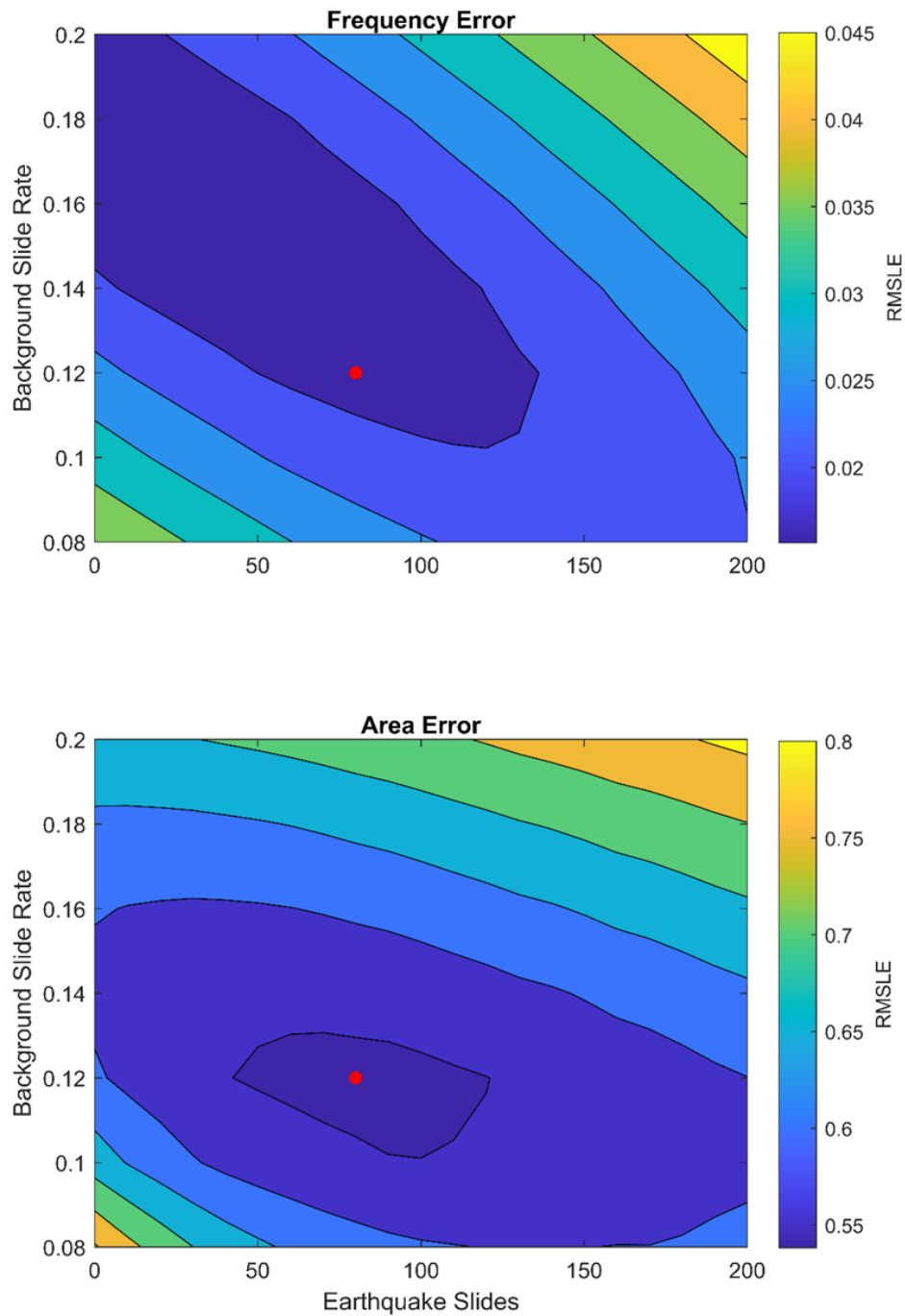


Figure 2.11: Contour maps of the error for different temporal landslide models. Error is measured as Root Mean Squared Log Error (RMSLE) for both the frequency (top) and area (bottom) based distributions (Figure 2.7). The red dot indicates the parameters used as a best fit to the data.

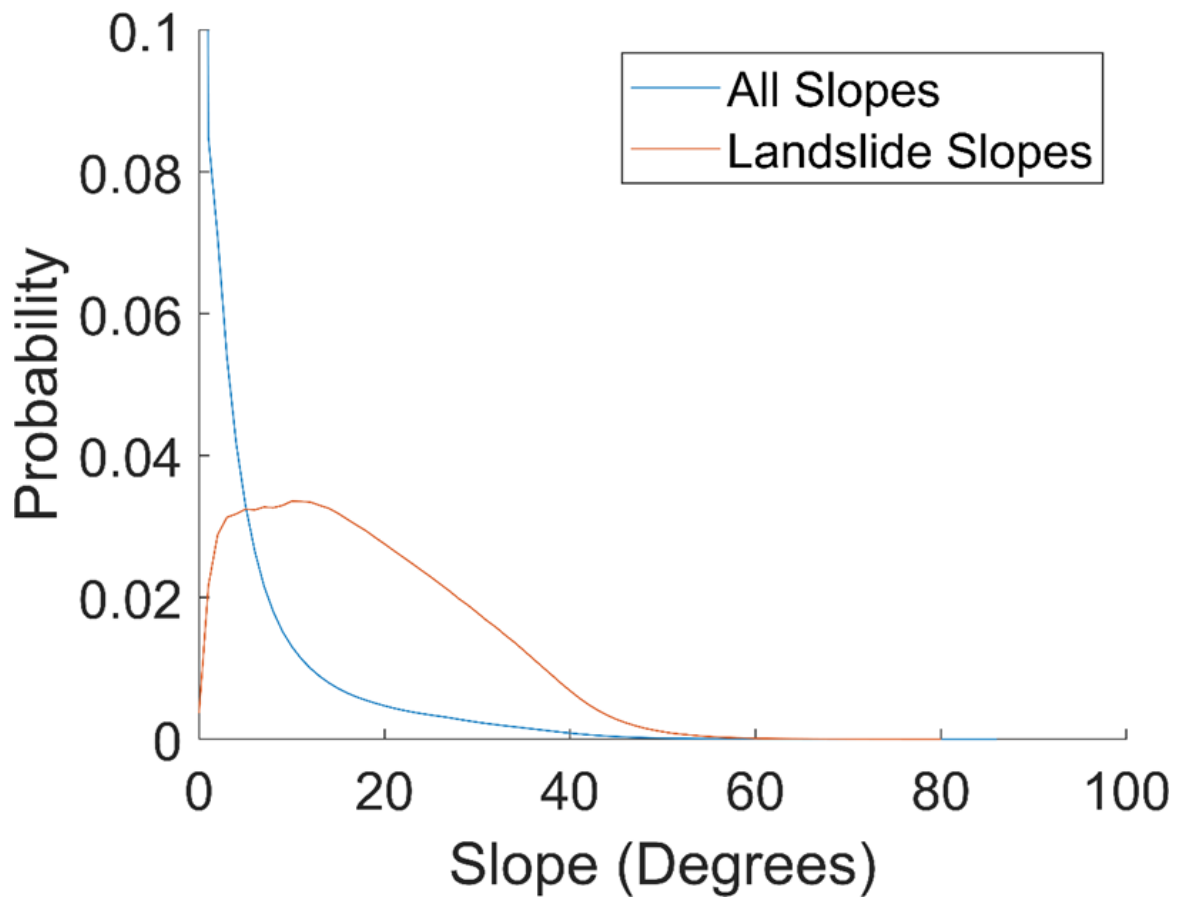


Figure 2.12: Distribution of all slopes in the study area (blue line) versus slopes with landslides (orange line).

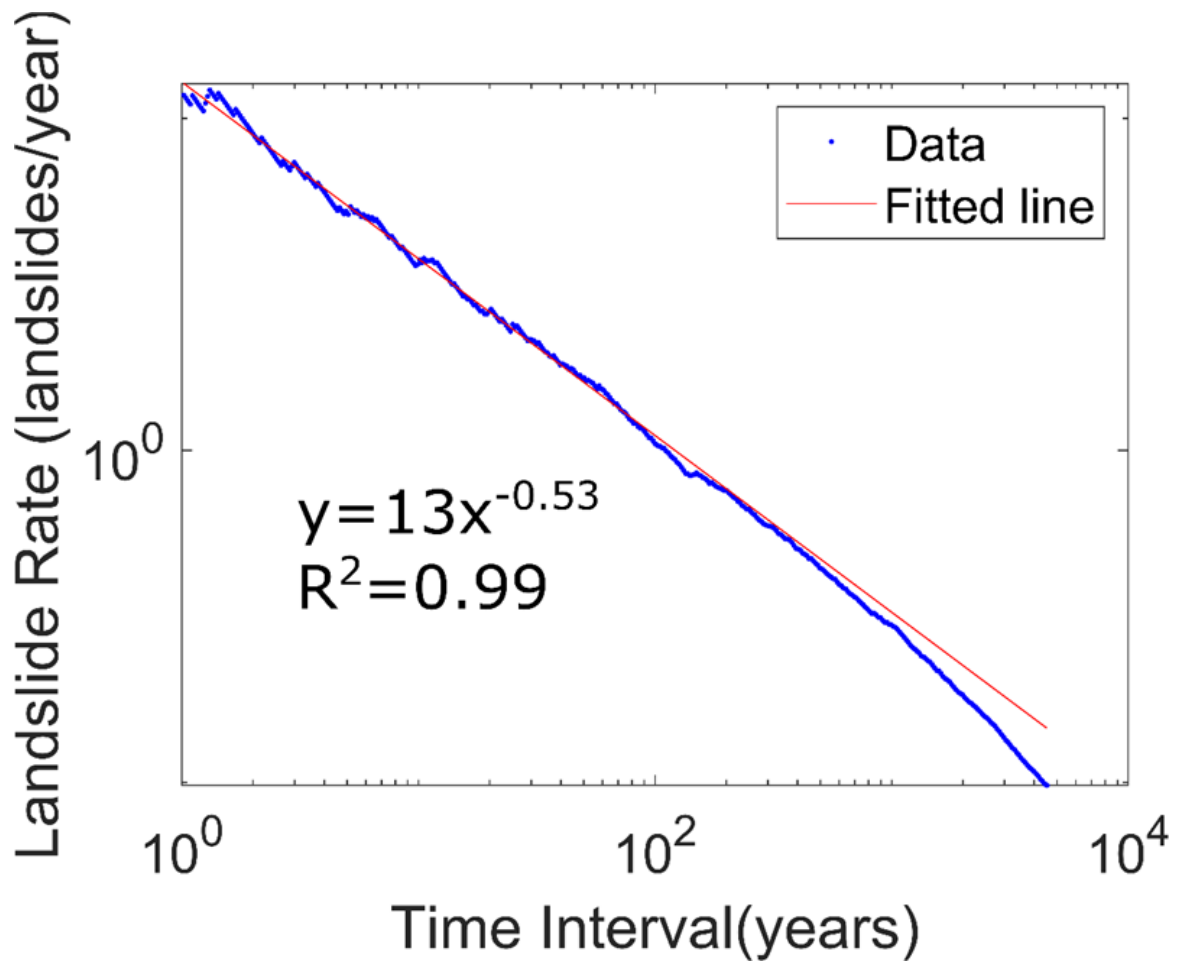


Figure 2.13: Sadler plot of landslide rate as a function of time interval (blue points). The data was fit to a power law function (red line).

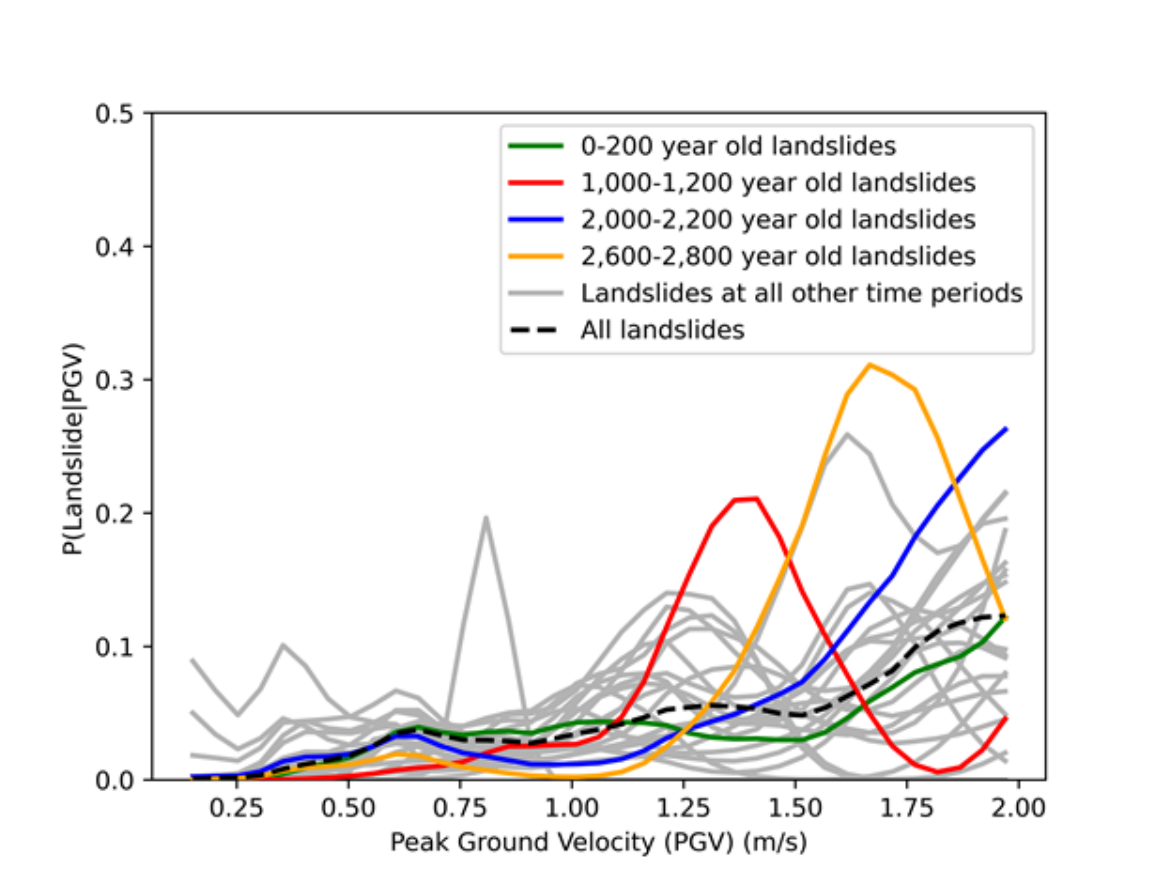


Figure 2.14: Conditional probability of landsliding as a function of mean peak ground velocity $P(\text{Landslides}|\text{PGV})$ at 200-year time slices. Highlighted time slices are in color: green for 0-200 yr B. P., red for 1,000-1,200 yr B. P., blue for 2,000-2,200 yr B. P., and yellow for 2,600-2,800 yr B. P. Other time slices from 4.6 – 0 ka are plotted in gray. The probability for entire time period plotted as a dashed black line. Peak ground velocity (PGV) is from the mean of all earthquake scenarios in units of m/s. The 0–200 time period represents a “null” scenario as no M7.0 earthquakes from faults in the region have been recorded, felt, or described during this time.

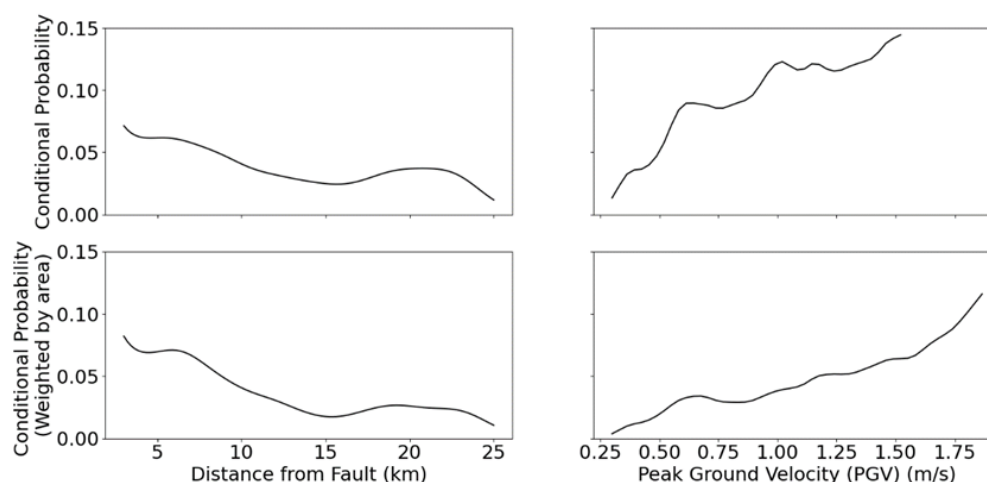


Figure 2.15: Conditional probability of landsliding based on distance from the fault (left) and peak ground velocity (right). These relationships are calculated based on number of landslides (top) and area of landslides (bottom).

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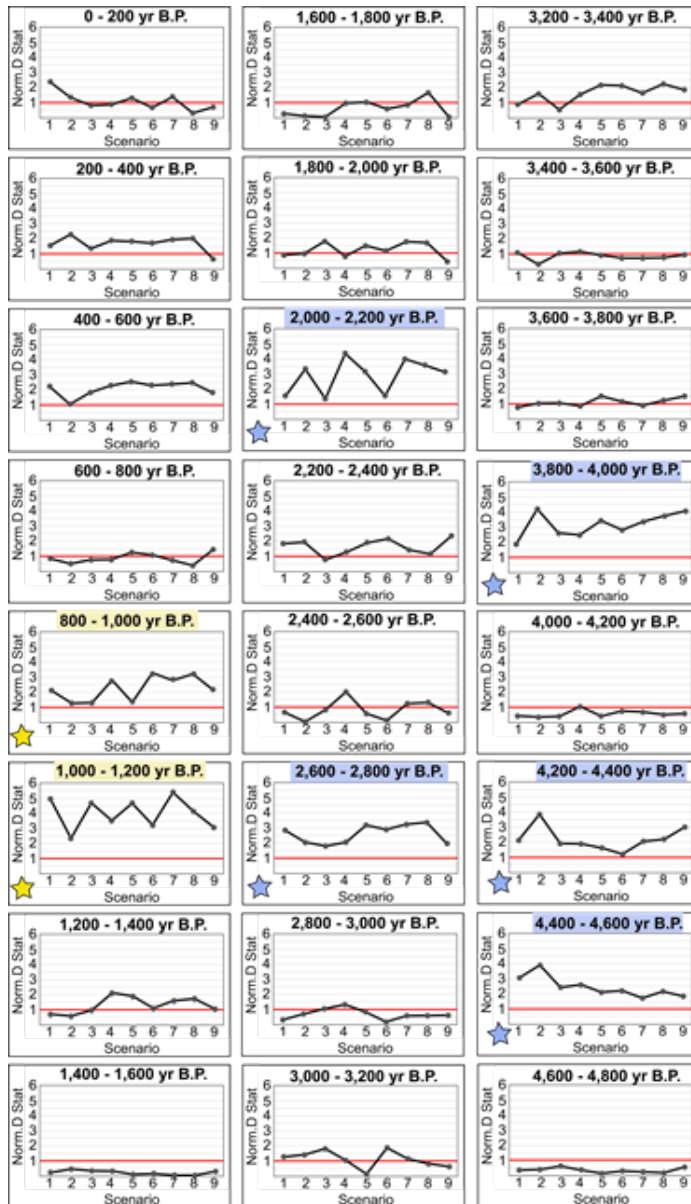


Figure 2.16: Plots showing the normalized D statistic (D statistic divided by the corresponding critical value) for the nine earthquake simulation scenarios for each 200-year time interval through 4,800 yr B. P. The red line shows a value of 1 for each plot. Values above one invalidate the null hypothesis and indicate earthquake forcing. See Section 2.4.4 for details. The plots with a yellow star overlap the time of the last Seattle Fault earthquake. Note the high value of the normalized D statistic for all scenarios. The plots with the blue stars indicate other intervals with consistently high normalized D statistics.

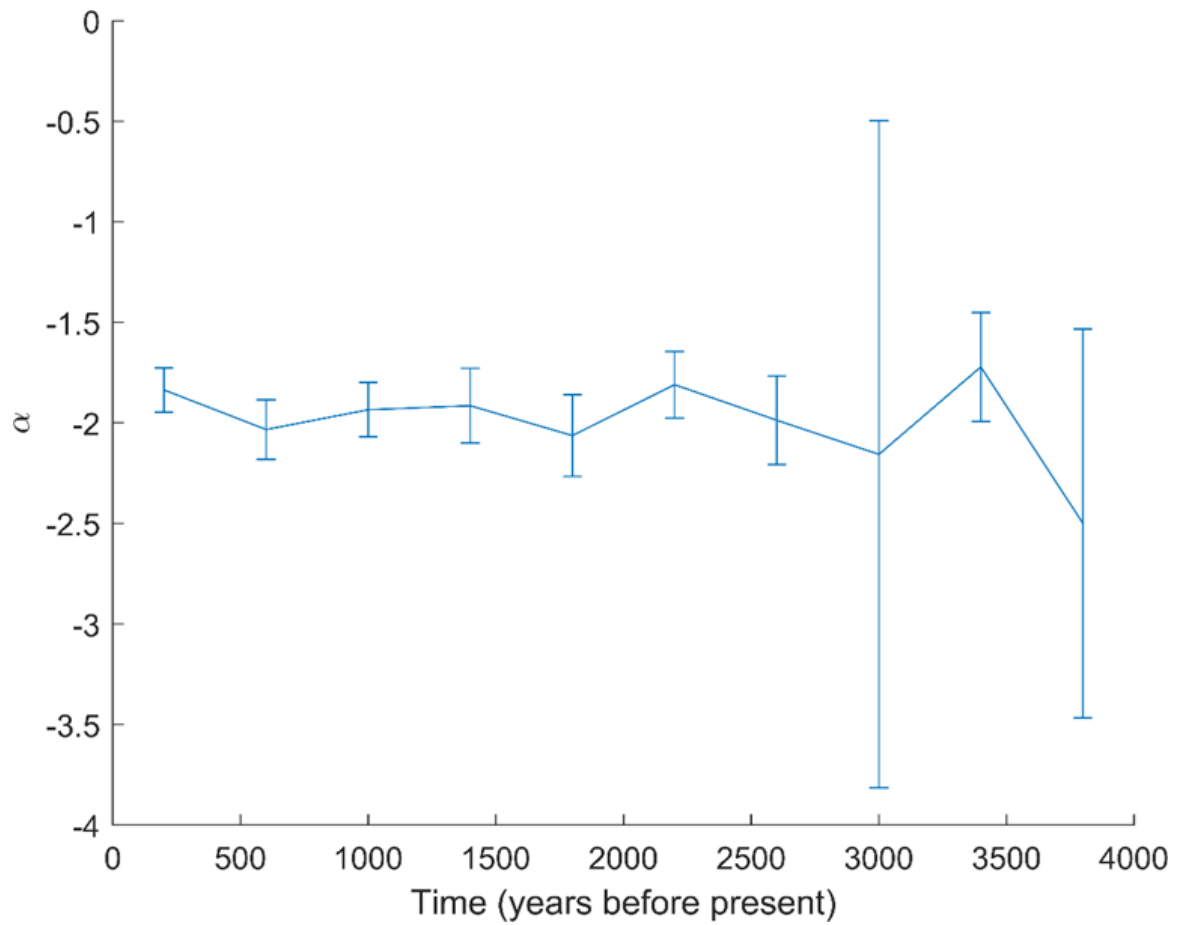


Figure 2.17: Plot of landslide area-frequency power law exponent α as a function of time, using 200 year windows. The 1σ error is represented as vertical bars. Constant values suggest that landslide size distribution does not vary between different sources.

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Chapter 3

**SIMULATED LANDSLIDE HAZARD FROM A SEATTLE FAULT
EARTHQUAKE USING MULTIPLE SEISMIC SCENARIOS**

Strong ground motion from a Seattle Fault earthquake could induce thousands of landslides on WA hillslopes known for their high precipitation induced landslide susceptibility. To understand controls on amount, style, and location of co-seismic slides in future earthquakes, we model landslide probability for 18 distinct Seattle Fault scenarios under both wet and dry conditions. Our simulations include both shallow translational and deep-seated rotational landslides, and a range of material properties for local glacial deposits. We find all scenarios result in significant landsliding, with more translational than rotational landslides (10% and 4% of slopes, respectively), on par with real world examples such as the 1994 Northridge CA earthquake. Comparison of our results to precipitation landslides and designated hazard zones yields (based on slopes and a history of landsliding) general spatial agreement. Our study shows the importance of local site effects, such as soil saturation and material strength, as these impacted modeled landslides more than earthquake magnitude (M7 vs M6.5) and hypocenter location.

3.1 Introduction and Study Area

Earthquake induced landslides are a major secondary hazard of earthquakes (Keefer; 1984; Jibson and Keefer; 1993; Malamud et al.; 2004). In many cases these landslides can change the landscape and cause more loss of life than the shaking from the earthquake itself. It is thus important for hazard estimations to understand the potential magnitude and spatial patterns of landsliding induced by future earthquakes. Studies of landslides mapped after earthquakes in Kaikoura, New Zealand (Massey et al.; 2018) and Northridge, USA (Dreyfus et al.; 2013) find that properties such as geologic strength of underlying units, local slope, and the strength of earthquake shaking are the main controls on where these landslides occur.

1392 Seattle, Washington, USA (Figure 3.1), is a landslide prone region (Laprade et al.; 2000;
1393 Survey; 2020). High landslide susceptibility stems from a combination of steep slopes un-
1394 derlain by weak glacial deposits (Tubbs; 1974; Schulz; 2007; Perkins et al.; 2017), a wet
1395 maritime climate, proximity to earthquake-producing faults, and human activity. Compila-
1396 tions of historical landslides (Laprade et al.; 2000; Survey; 2020; Davis et al.; 2022b) show a
1397 predominance of precipitation-induced landslides in this region, though some landslides are
1398 earthquake induced. For example, the 2001 M6.8 Nisqually earthquake induced dozens of
1399 landslides in the area (Highland; 2003). A Seattle Fault Earthquake is expected to induce
1400 many more landslides, posing a a greater hazard, (Allstadt et al.; 2013) due to its location,
1401 being located directly under the city of Seattle, and at a shallow depth unlike the deep 2001
1402 Nisqually earthquake. Though, since an earthquake on the Seattle Fault has not occurred in
1403 the modern historical record, we do not have a clear understanding of how many landslides
1404 might occur and where they might occur. While it is possible to indirectly measure these
1405 values for past earthquakes based on ancient landslide distributions (Herzig et al.; 2023),
1406 forward models will likely produce more accurate estimates of this landslide hazard.

1407 The Seattle Fault Zone [SFZ] consists of multiple fault strands intersecting Seattle and
1408 surrounding populated regions and is a major contributor to local seismic hazard (Petersen
1409 et al.; 2020). While the Seattle Fault has not had a major earthquake since 924 CE (Black
1410 et al.; 2023), a time well before modern instrumentation and historical records, several
1411 lines of evidence support the interpretation of widespread seismically induced landsliding.
1412 Supporting evidence includes native oral histories (Ludwin et al.; 2005), dated landslide
1413 deposits in Lake Washington (Jacoby et al.; 1992) and a recent study combining dating and
1414 modeling of deep-seated landslides from across the region (Herzig et al.; 2023). Important
1415 early work by (Allstadt et al.; 2013) combined computed broadband synthetic seismograms
1416 for a Seattle Fault rupture with an estimation of shallow landsliding using the Newmark
1417 method (Newmark; 1965; Jibson; 1996; Jibson et al.; 2000). Their results indicated extensive
1418 landsliding triggered by a simulated M7 Seattle Fault earthquake and that water saturation
1419 (wet slopes vs. dry slopes) was a major driver of magnitude of hillslope failure in such an
1420 event.

1421 This study builds on Allstadt et al. (2013), obtaining new estimates of magnitude and

1422 distribution of potential Seattle Fault earthquake induced landslides by combining multi-
1423 ple earthquake scenarios (Stone et al.; 2022b) with a multimodal landslide model (Grant;
1424 2017; Pollock et al.; 2019a) under realistic soil saturation conditions (Jeschke D and A;
1425 n.d.) and engineering properties for site conditions (Troost and Booth; 2008). For the
1426 earthquake ground motions, we use a set of 18 3D kinematic Seattle Fault earthquake sce-
1427 narios (Stone et al.; 2022b). These scenario earthquakes are either M6.5 or M7 for three
1428 different hypocenter locations and three different slip distributions. All scenarios include
1429 the effects of topographic amplification on the ground motions. The ground shaking from
1430 these scenarios is input into a multi-modal landslide model that includes both translational
1431 shallow and rotational deep failure styles (Grant; 2017; Pollock et al.; 2019a) to produce a
1432 map of induced landslide probabilities for each scenario. Translational and rotational land-
1433 slides are the two primary types of landslides for the field site (Laprade and Tubbs; 2008).
1434 We compare our new modeled landslide inventories to Allstadt et al. (2013), to a recently
1435 published modern landslide inventory for the city of Seattle (Davis et al.; 2022a) and to
1436 previously designated landslide hazard areas, to assess if earthquake induced landslides will
1437 be as widespread as originally thought and in similar locations to the primarily precipitation
1438 induced landslides common to the region.

1439 **3.2 Methods**

1440 We use ground motions from eighteen simulations of Seattle Fault earthquakes: nine dif-
1441 ferent M7 earthquake scenarios and nine different M6.5 earthquake scenarios (Stone et al.;
1442 2022a). These kinematic simulations were developed using SPECFEM3D, a spectral ele-
1443 ment method (SEM) code that can incorporate detailed topographic surfaces (Komatitsch
1444 et al.; 2004). The mesh used had variable element spacing, with average element sizes
1445 of 30 m at depths of 300 m or less, 100 m at depths between 300 and 1200 m, and
1446 of 300 m at depths greater than 1200 m. By incorporating a complex topography in the
1447 mesh, the model output allows consideration of the effect of topographic amplification or
1448 de-amplification (Meunier et al.; 2008). This surface topography had a resolution of 30 m
1449 and was based on a combined topography and bathymetry DEM of the Puget Lowlands
1450 (Finlayson; 2005).

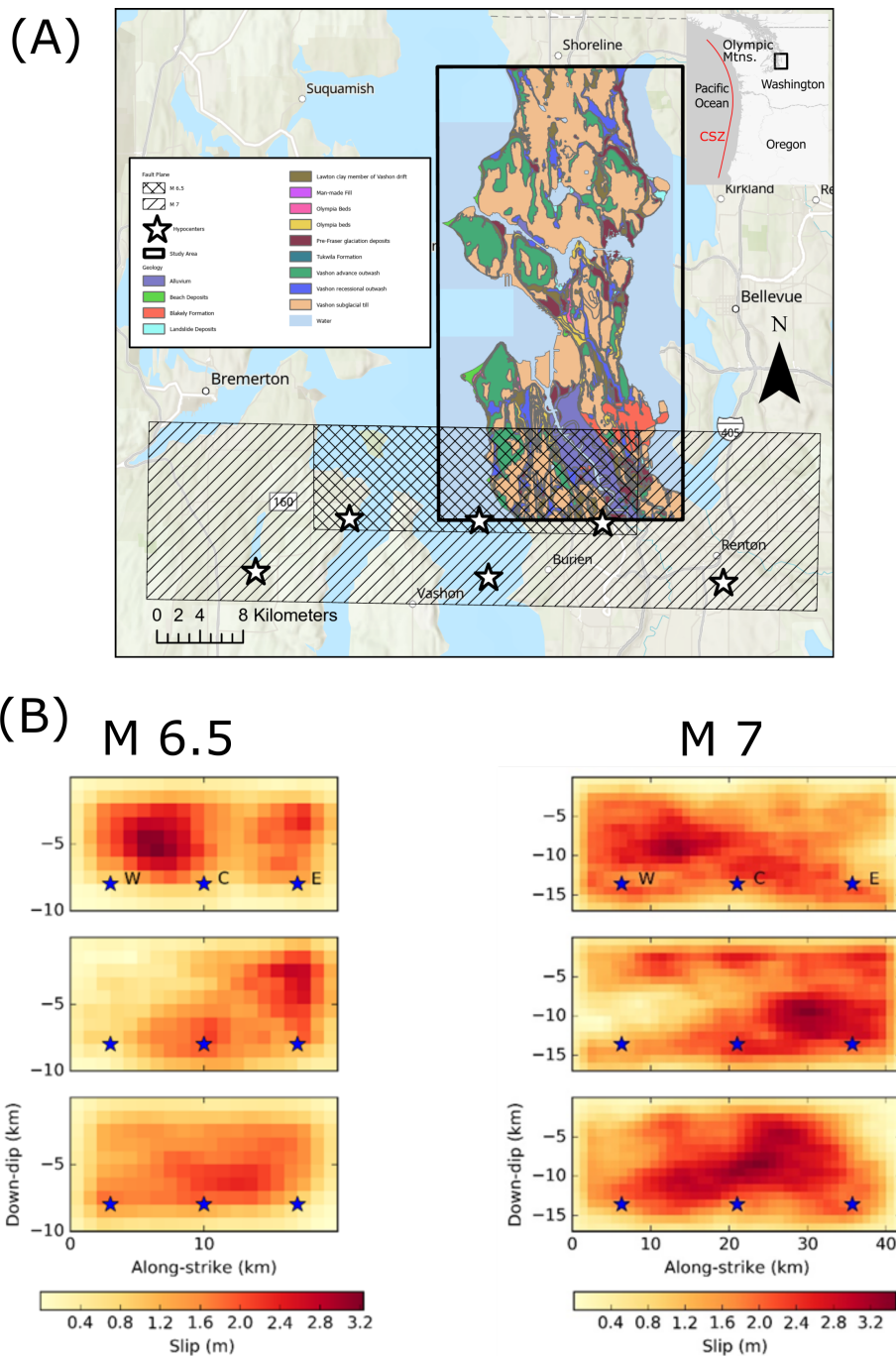


Figure 3.1: (A) Map of study area (black box), with fault planes for M6.5 (crosshatched box) and M7 (single hatched box) earthquake scenarios, and hypocenters as white stars. (B) Plots of slip on the fault plane for both M6.5 (left) and M7 (right) earthquake scenarios. Higher slip is red, lower slip is yellow, hypocenters as blue stars.

1451 All rupture scenarios are based on a combination of three different slip distributions
1452 (Figure 3.1) and three different hypocenter locations (East, Center, and West), described
1453 in detail by Stone et al. (2022a). The three slip distributions were randomly generated
1454 but can roughly be described as slip concentrated in the east (distribution 1), in the west
1455 (distribution 2), and in the central portion of the rupture (distribution 3) (Figure 3.1 B).
1456 Additionally, although the slip distributions are similar between M7 and M6.5 scenarios, our
1457 M6.5 scenarios have a smaller rupture area than the M7 scenarios. Both rupture areas have
1458 the same updip edge, but the M7 scenarios extend deeper down dip, this leads to relatively
1459 more slip concentrated near the surface in the M6.5 scenarios.

1460 Landscape response to strong ground motion was determined using a modified version
1461 of the multimodal landslide model developed by Pollock et al. (2019b) and Grant and
1462 Wartman (2017). This model estimates the factor of safety of slopes in the study for
1463 two styles of landslide failure: translational and rotational. For computational efficiency,
1464 translational landslides were only modeled on slopes steeper than 15° , while rotational
1465 landslides were modelled on slopes between 10° and 35° . Factor of safety is based on a 0.9m
1466 2016 King County LIDAR based digital elevation model, down-scaled to 10m resolution (see
1467 supplement for details). Troost and Booth (2008) was used as a map of geologic units in the
1468 area. In order to account for the difference in strength between colluvium and bedrock, we
1469 use a set of two different material properties as in Pollock and Wartman (2020). These values
1470 are based on initial values from Harp et al. (2008) that have been adjusted within possible
1471 bounds (Savage et al.; 2000; Debray and Savage; 2001; Baum and Godt; 2010; Brien and
1472 Reid; 2008; Godt and McKenna; 2008) so that mean strength parameters result in a static
1473 factor of safety greater than one at initial conditions (see supplement). Depth to the top of
1474 the water table was calculated as a function of land surface elevation for both wet (average
1475 winter water table depths) and dry (average summer water table depths) conditions (see
1476 supplement). This was based on 630 well logs from the Washington Department of Natural
1477 Resources Subsurface Database (Jeschke D and A; n.d.).

1478 Landslide occurrence was modelled on a pixel by pixel basis, using the Newmark method
1479 (Newmark; 1965) as described in Strenk and Wartman (2011). For each pixel, we calculated
1480 a critical acceleration for failure based on the calculated factors of safety using the equation

1481 $a_c = (FS-1)g\sin\alpha$, where a_c is the critical acceleration, FS is the factor of safety, g is
1482 the force of gravity, and α is the slope. Newmark displacement is calculated by measuring
1483 the acceleration above this critical acceleration at each pixel and integrating it twice to get
1484 a displacement. Before this integration, we also add a deceleration when the earthquake
1485 ground motions do not exceed the critical acceleration, to get the slide body to ‘stop’ until it
1486 again experience accelerations exceeding the critical acceleration. For each pixel, coseismic
1487 displacements over 5cm for translational slides and 15cm for rotational slides, are considered
1488 failures, as in Grant and Wartman (2017).

1489 **3.3 Results**

1490 For the 18 earthquake scenarios, the percentage of vulnerable slopes that failed with rota-
1491 tional failures ranges from 1.5% to 10.5% over all considered conditions such as both dry
1492 and wet conditions, with an average value of 4%. For translational slope landslides this
1493 percentage ranges from 1.7% to 27.7%, with a mean value of 10.6%. For comparison, the
1494 translational slope results from Allstadt et al. (2013) have 5.5% and 44% for wet and dry
1495 conditions respectively. These values are modified to account for the different cell sizes and
1496 methods of calculating landslide failure. There is a slightly different pattern between the
1497 modeled rotational landslides and translational landslides, with more translational land-
1498 slides on the western side of the study area, and rotational landslide being more uniformly
1499 distributed.

1500 Figure 3.2 shows a heat map of modeled landslides averaged over all of the scenarios.
1501 Translational slope failures and rotational failures are shown separately in A) and B), and
1502 combined in C). The hatched areas represent the areas identified by the City of Seattle as
1503 landslide prone regions based on both slope and a history of past landslides. Generally, the
1504 modeled landslides fall within these hazard areas, and the hazard areas have at least some
1505 modeled landslides, though there are some exceptions. The Thornton creek area is marked
1506 as a landslide hazard area by the city, but almost no modeled earthquake induced landslides
1507 populate this region. Within and near to Seward Park, our model results predict coseismic
1508 landslides, yet the this region is not designated as a landslide hazard zone. Our study area
1509 extends farther north than the city limits and the limits of the hazard areas, which explains

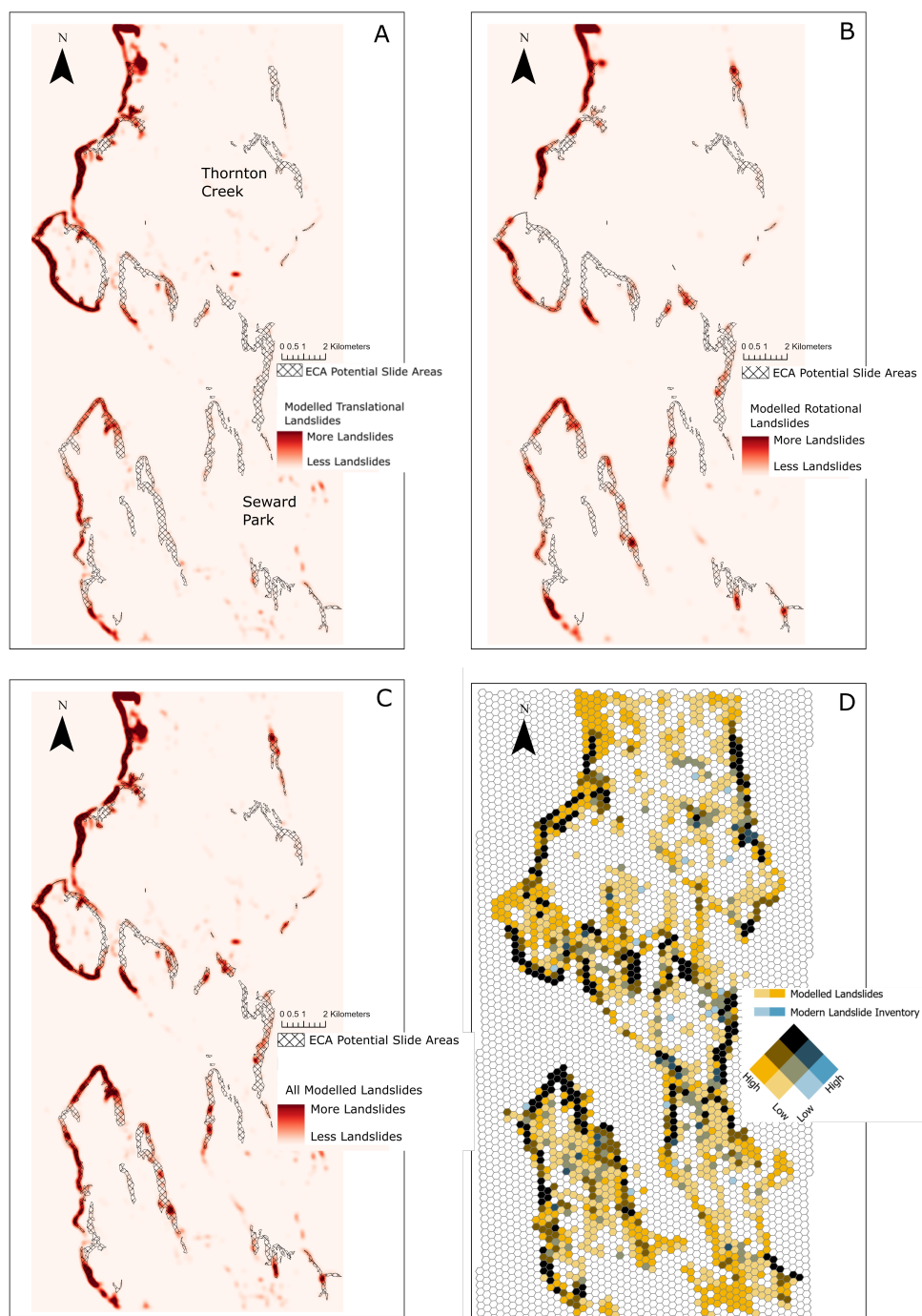


Figure 3.2: Comparison between modeled Seattle Fault earthquake induced (A) translational landslides, (B) rotational landslides, and (C) both rotational and translational landslides and City of Seattle landslide hazard areas. Red areas indicate areas with modeled landslides, hatched areas are Seattle landslide hazard areas. (D) Compares both styles of modeled landslides and a modern landslide inventory (Davis et al.; 2022b). Yellow areas indicate areas with mostly more modeled landslides, blue areas indicate more landslides in the modern inventory, dark brown indicates areas with high amounts of landslides in both, white indicates fewer landslides in either.

1510 a lack of noted hazard in the clear hot spot of modeled landslides in the north.

1511 Figure 3.2 D compares this average modeled landslide distribution to a modern Seattle
1512 Landslide Inventory (Davis et al.; 2022b). Hexagon color indicates if the area contains
1513 modeled landslides, modern inventory landslides (Davis et al.; 2022b), both or neither.
1514 There is more disagreement here than with the hazard areas in 3.2, with many more areas
1515 with modeled landslides than inventory landslides. This is likely due to how the modern
1516 inventory was collected, with landslides being mapped only when they are reported. In
1517 green spaces, it is possible that modern landslides have occurred but have not been reported.
1518 Most of the areas of disagreement are in these areas, and excluding them, there is general
1519 agreement between the modeled and modern inventory. However, the Thornton Creek and
1520 Seward Park discrepancies described above persist when comparing modeled landslides to
1521 modern inventory landslides.

1522 Figure 3.3 compares the percentage of steep slopes with modeled landslides for various
1523 considered scenarios. The plots represent a kernel density estimation of the percentage
1524 of steep slopes where we model landslides under different conditions. In all cases there
1525 are approximately double the amount of slopes impacted by translational landslides than
1526 rotational ones. On average 10% slopes are failing in translational slides and 4% of slopes
1527 are failing in rotational slides. Note that these locations may overlap, with both styles of
1528 landslide possibly occurring in the same location.

1529 Figure 3.3A shows the difference between modeled landslides under summer and winter
1530 water table conditions over all earthquake scenarios. As expected, wet conditions lead to
1531 a higher average percentage of slopes with landslides, though this difference is less extreme
1532 than previous studies find (Allstadt et al.; 2013).

1533 Figure 3.3B shows the difference between landslides after M6.5 and M7 scenario earth-
1534 quakes. For translational slides there are a greater percentage of slopes with landslides in
1535 M7 scenarios, while for rotational slides there is a slightly higher proportion of slopes with
1536 landslides in M6.5 scenarios. Though in both cases this is a minor change, and the distri-
1537 butions are almost the same. Figure 3.3C shows the difference between landslides modeled
1538 with scenario earthquakes with slip in the west, center and east of the fault. Generally, all
1539 these scenarios result in similar areas of landsliding, though there are slightly less slopes

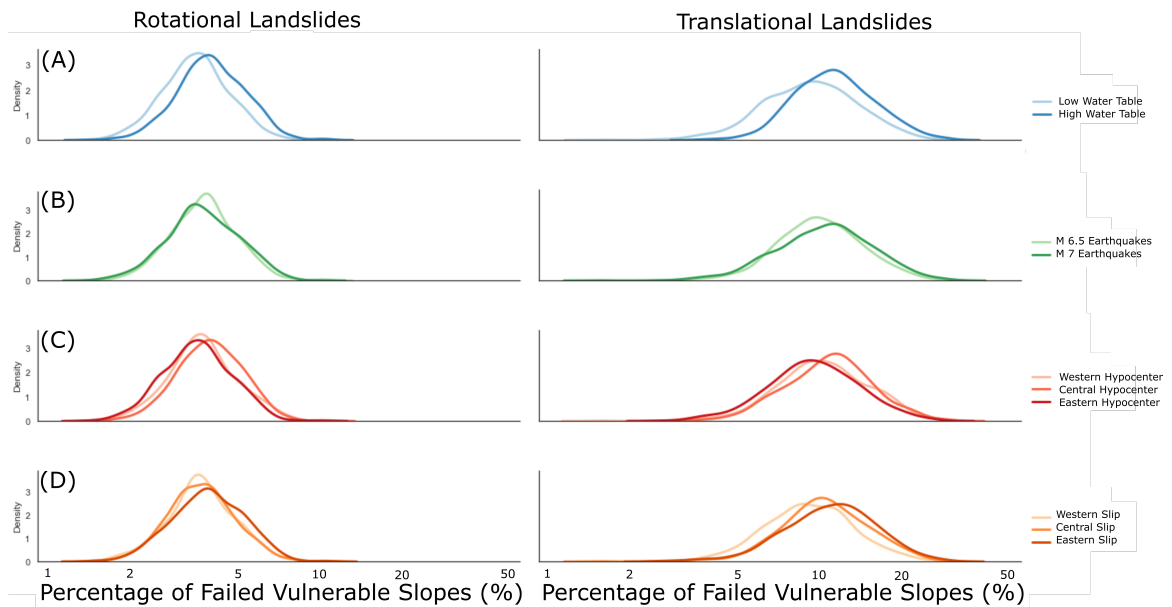


Figure 3.3: Kernel density plots of percentage of steep slopes that have modeled rotational (left) and translational (right) landslides. (A) compares between different water table levels, light blue lines are dry, summer, low water tables and the dark blue lines lines are wet, winter, high water tables. (B) compares between different magnitude earthquake scenarios, light green lines are M 6.5 earthquake scenarios and the dark green lines are M 7 earthquake scenarios. (C) compares between earthquake scenarios with different hypocenters, light red lines are western hypocenter scenarios, the mid red lines are central hypocenter scenarios and dark red lines are eastern hypocenter scenarios. (D) compares between earthquake scenarios with different slip distributions, light orange lines are western slip distribution scenarios, the mid orange lines are central slip distribution scenarios and dark orange lines are eastern slip distribution scenarios.

1540 with landslides for a western slip distribution. Figure 3.3D shows the difference between
1541 landslides after scenario earthquakes with hypocenters in the west, center and east of the
1542 fault. These scenarios result in similar percentages of slopes with landsliding, though there
1543 are slightly lower values for eastern hypocenters.

1544 **3.4 Discussion**

1545 Results from the 18 analyzed earthquake scenarios and the multimodal landslide modeling
1546 show average percentages of modeled translational landslides 10% falling between the values
1547 6% and 44%, covering large parts of the study area and on par with results from a single
1548 Seattle Fault earthquake scenario (Allstadt et al.; 2013). For both failure styles, increased
1549 saturation during winter conditions leads to an increase in landslides across the study area,
1550 which also agrees with Allstadt et al. (2013). As the last major Seattle Fault earthquake
1551 recorded by Black et al. (2023) occurred in the winter, we assume that it also induced a high
1552 number of landslides, similar to modeled winter scenarios. Favorable seasonal conditions
1553 for landslides might be one reason that we see interpreted earthquake-induced landslide
1554 deposits still remaining in the landscape, even 1000 years later (Herzig et al.; 2023).

1555 In all of the earthquake scenarios we considered, there are roughly double the amount
1556 of translational landslides as rotational landslides, with 10% slopes failing in translational
1557 slides and 4% of slopes failing in rotational slides (Figure 3.2). This suggests that any
1558 future Seattle Fault earthquake would produce widespread landsliding. Both styles of land-
1559 slide occur mainly on the steep slopes of the study area, though translational slides are
1560 more concentrated on the western side of the study area (Figure 3.2A), and rotational
1561 slides (Figure 3.2B) are more evenly distributed. For comparison, the 1994 Northridge CA
1562 earthquake induced landslides on approximately 3.4% of slopes in the Santa Susana Moun-
1563 tains (the area most heavily impacted by earthquake induced landslides) (Harp and Jibson;
1564 1996). The landslides induced by the Northridge CA earthquake were unevenly distributed,
1565 with more landslides occurring in areas with weak rocks and steep slopes, such as the Santa
1566 Susana Mountains (Harp and Jibson; 1996).

1567 Our study was designed to consider how hillslope response to shaking differed among
1568 variable earthquake magnitudes and slip distributions. With the caveat that our analysis

1569 of landslides generated under different magnitude earthquakes was limited to a comparison
1570 of just M6.5 and M7.0, our findings suggest that the hillslope response to both earthquake
1571 magnitudes is similar, but with some interesting differences. For translational slides, M6.5
1572 scenarios have less landslides than M7 scenarios (Figure 3.3A). However, for rotational
1573 slides, M6.5 scenarios have slightly more landslides than M7 scenarios. This difference
1574 can likely be explained by spatial differences between locations of cells where translational
1575 versus rotational landslides occur in our models (Figure 3.3A). Rotational slides are isolated
1576 to mostly shoreline slopes, while translational slides occur across a larger area. While the
1577 M7 scenarios generally have stronger ground motions, it is possible that due to directivity
1578 effects (Stone et al.; 2022a), some areas may experience stronger shaking in the M6.5 scenario
1579 earthquakes. As an example, in the area around Discovery Park (Figure S1), more modeled
1580 translational and rotational slides occurred for M6.5 scenarios. This is due to stronger
1581 ground motion in that area in the M6.5 scenarios, likely due to directivity. While directivity
1582 affects both styles of landsliding, the affected area represents a larger portion of the area
1583 prone to rotational slides than the area prone to translational slides and slightly more
1584 rotational than translational landslides for M6.5 scenarios are modeled as a result (Figure
1585 S1).

1586 While the energy released by M7 and M6.5 earthquakes differs by a factor of 3, the
1587 modeled amount of landsliding is similar in both cases, with some locations even experienc-
1588 ing more landslides in the M6.5 earthquakes than the M7 ones. This finding presents an
1589 important reminder that in a single location, it is possible that a smaller magnitude local
1590 earthquake may produce stronger shaking than a larger one. Additionally, if that location is
1591 landslide prone compared to the rest of a region, a smaller earthquake can even induce more
1592 landslides than a larger earthquake. If we just focus on the largest earthquakes in a landslide
1593 hazard analysis, we may under-predict the possibilities, as these smaller earthquakes can
1594 be just as dangerous with respect to the hillslopes and are more frequent than the largest
1595 earthquakes. However, we must also be clear that our study area focuses on the area closest
1596 to the fault, and while there might be elevated numbers of landslides in M6.5 scenarios in
1597 our study area, it is also likely that an M7 earthquake would cause strong shaking over a
1598 larger area in comparison, and would thus induce more landslides overall when considering

1599 a larger spatial footprint. Moreover, it is important to note that the 18 earthquake scenarios
1600 that we used represent a small selection of possible earthquake ruptures, and thus we must
1601 be careful when generalizing whether M6.5 earthquakes or M7 earthquakes would induce
1602 more or fewer landslides.

1603 In terms of our analysis of earthquakes with different spatial patterns in slip distribution,
1604 we find that slip in the west leads to less modeled landslides, while slip in the center or
1605 east produce similar amounts of landslides (Figure 3.3C). We suggest this relates less to
1606 earthquake or hillslope properties and more to the chosen study area, which sits over the
1607 eastern end of the Seattle Fault. Slip concentrated in the west should affect hillslopes, but
1608 the shaking would be concentrated out of the study area, to the west. The position of the
1609 fault with respect to the study area can also explain why we see less landslides in an eastern
1610 hypocenter scenario (Figure 3.3D). This is because directivity effects can amplify shaking
1611 away from the hypocenter and along the fault. An eastern hypocenter is thus amplifying
1612 shaking in the west, which is out of the study area.

1613 The Monte Carlo modelling of rock strength causes a large proportion of the variance
1614 in the number of modelled landslides. This variation in modelled landslides due to rock
1615 strength is greater than the difference between wet and dry conditions. This represents small
1616 scale variations in rock strength that we cannot directly map or model. This high variance
1617 then suggests that local site conditions are equally if not more important for determining
1618 if a landslide will occur than saturation level or earthquake properties, such as magnitude
1619 (at least between magnitude 6.5 and 7), hypocenter, and slip. This finding also agrees with
1620 other studies finding geologic strength strongly controls where earthquake induced landslides
1621 occur (Dreyfus et al.; 2013; Massey et al.; 2018). Together, our study shows local geologic
1622 strength and water saturation level as the main factors controlling how many landslides an
1623 earthquake generates. Our results also demonstrate the utility of coupled earthquake and
1624 landslide simulations for estimating landslide hazards, as well as for assessing the relative
1625 importance of different input parameters on the resulting hazard model.

1626 **3.5 Conclusions**

1627 We modeled multimodal landslide probability under 36 different Seattle Fault earthquake
1628 scenarios. We considered both summer and winter water saturation levels, and magnitude
1629 6.5 and 7 earthquakes with 3 different slip distributions and 3 different hypocenters. The
1630 multi-modal landslide model considered both shallow translational landslides and deep-
1631 seated rotational landslides. Averaging over all these scenarios, we find that modeled earth-
1632 quake induced landslides tend to occur in similar places as modern precipitation induced
1633 landslides (Davis et al.; 2022b) and in zones marked by the city of Seattle as landslide prone.
1634 This agrees with previous work by Allstadt et al. (2013) that only considered a single sce-
1635 nario of a Seattle Fault earthquake and did not include topographic effects. Additionally, we
1636 find that in these locations, a large number of slopes (10% slopes in translational slides and
1637 4% of slopes in rotational slides) slide from an earthquake. The largest difference between
1638 scenarios is due to soil saturation level, with more saturated conditions leading to more
1639 modeled landslides. This also agrees with previous work by Allstadt et al. (2013). However,
1640 within a single scenario, rock strength parameters, representing local variations in strength,
1641 account for a large amount of variation in the number of modeled landslides. Earthquake
1642 magnitude (at least between M6.5 and M7 earthquakes) does not have as large an effect as
1643 variations in geologic strength or water table level. This is partly due to limitations of this
1644 study in both study area and the number of scenarios considered. However, it is a reminder
1645 that for a small area such as the City of Seattle, a smaller earthquake might not be safer
1646 than 'the big one'. 'The smaller one' could be just as damaging, and is worth preparing for.

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1752 **3.6 Appendix**

1753 *3.6.1 Multimodal Methods*

1754 Landscape response was determined using a modified version of the multimodal landslide
 1755 model developed by Pollock et al. (2019) and Grant and Wartman (2017). This is a physical
 1756 landslide model that considers two styles of landslide failure, translational and rotational
 1757 slides. These failure styles are also two of the dominant failure styles in the study area
 1758 (Laprade and Tubbs; 2008).

1759 For processing efficiency, landslide probability is only calculated for areas prone to land-
 1760 sliding. For translational slides these are slopes steeper than 15°, while rotational landslides
 1761 were modelled on slopes between 10° and 35°.

1762 This model estimates factor of safety of slopes in the study area. This calculation is
 1763 different for each style of failure. For the transitional landslides, a traditional infinite slope
 1764 model is used, with factor of safety given by:

$$1765 \quad FS = \frac{(c + c_r) + (\gamma z * \cos^2 \beta - h * \gamma_w) * \tan \phi}{\gamma z \cos \beta * \sin \beta} \quad (3.1)$$

1766 where c is the cohesion, c_r is the root cohesion, γ is the unit weight of the soil, z is the
 1767 depth to the failure plane, β is the slope angle, h is the height of the saturated soil, γ_w is the
 1768 unit weight of water, and ϕ is the friction angle of the soil. For the rotational failures, the
 1769 model developed by Pollock and Wartman (2020) is used to calculate the factor of safety.
 1770 The functional form of this model is:

$$1771 \quad FS = \frac{(2\pi Rbc) + (W \cos \alpha - F_w) * \tan \phi}{W \sin \alpha} \quad (3.2)$$

1772 where c is the soil cohesion and ϕ is the soil friction angle. The basal angle α is:

$$1773 \quad \alpha = \sin^{-1} \frac{4 \sin^3 \delta \sin \theta}{6\delta - 3 \sin 2\delta} \quad (3.3)$$

1774 the weight of the sliding block W is:

$$1775 \quad W = \pi \gamma b^2 (R - b/3) \quad (3.4)$$

1776 and the force of pore water pressure F_w is:

$$1777 \quad F_w = \pi\gamma_w q^2 (R - q/3) \quad (3.5)$$

1778 where $b = R(1 - \cos\delta)$ and $q = R(1 - \cos\theta)$. The radius of failure R is given as:

$$1779 \quad R = \frac{H}{4} \left(\frac{\cos\beta}{p \sin^2\beta} + \frac{p}{\cos\beta} \right) \quad (3.6)$$

1780 where H is local hillslope relief and p is the depth of failure as a percentage of relief. The
1781 internal angle δ is:

$$1782 \quad \delta = \sin^{-1} \frac{H}{2R \sin\beta} \quad (3.7)$$

1783 and the internal angle to the water table θ is:

$$1784 \quad \theta = \cos^{-1} \left(1 - \left(1 - \frac{2z_w \cos^2 R}{Hp} \right) * (1 + \cos\delta) \right) \quad (3.8)$$

1785 where z_w is the depth of the water table. Factor of safety is based on a 0.9m 2016 King
1786 County LIDAR based digital elevation model, down-scaled to 10m resolution. Troost and
1787 Booth (2008) was used as a map of geologic units in the area.

1788 These factors of safety were then used to calculate a critical acceleration k_y as in Chien
1789 and Tsai (2017) as:

$$1790 \quad k_y = \frac{FS - 1}{\tan^{-1}\phi + \tan\alpha} \quad (3.9)$$

1791 where FS is the factor of safety, ϕ is the material internal friction angle and α is the
1792 angle of the failure plane. This critical acceleration was then used to calculate a Newmark
1793 displacement (Newmark; 1965; Wilson and Keefer; 1983; Jibson; 1993). For each considered
1794 cell, a critical acceleration was compared to the nearest synthetic seismogram from the
1795 earthquake scenarios described in the main text. Accelerations higher than the critical
1796 acceleration were integrated to get a velocity-time history, this velocity-time history was
1797 then integrated again to get a displacement. These displacements do not represent an
1798 actual expected displacement, but can be used as a predictor for failure. As in Grant and

1799 Wartman (2017) we set these limits to a displacement of 5cm for translational slides and
1800 15cm for rotational slides.

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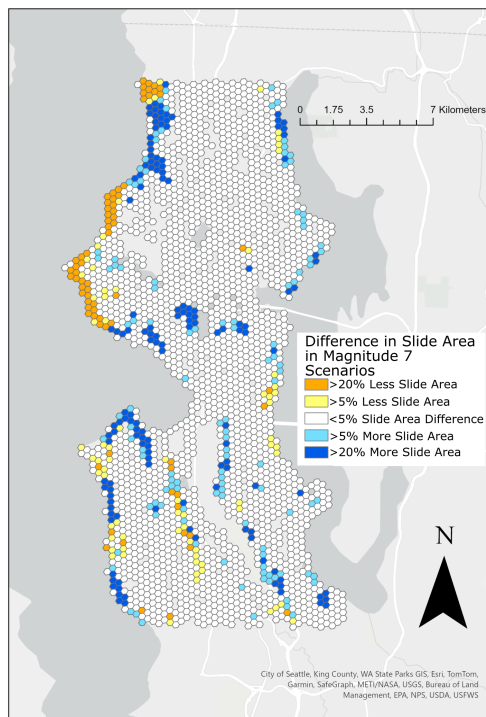
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Rotational Landslides



Translational Landslides

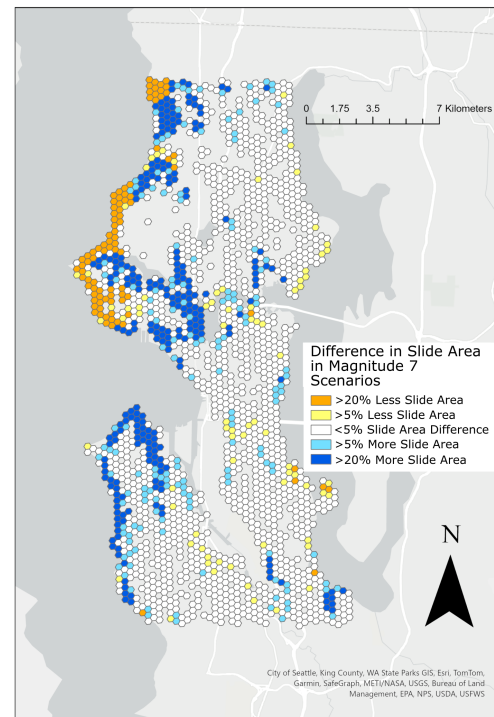


Figure 3.4: Map of spatial difference in rotational (left) and translational (right) landslides between wet and dry conditions, orange and yellow indicate more landslides in M 6.5 scenarios and blue and light blue indicate more landslides M 7 scenarios.

Chapter 4

INVESTIGATING LANDSLIDE ROUGHNESS

The roughness of a landslide can be used as a proxy for its age, assuming that the landslide has a typical initial roughness and this roughness smooths regularly over time (Booth et al.; 2009; LaHusen et al.; 2016; Booth et al.; 2017; LaHusen et al.; 2020). We test these assumptions respectively by measuring the initial roughness of thousands of landslides of the same age and by measuring the change in roughness over time of a single large landslide deposit. The initial landslide roughness data is based on landslides induced by the 2016 Kaikoura, New Zealand earthquake and the 1994 Northridge, USA earthquake. These landslides have a variability in log roughness of $0.83 m^{-1}$ and $0.75 m^{-1}$ respectfully. By filtering these landslides by size and geologic unit we can reduce that variability to $0.56 m^{-1}$ and $0.46 m^{-1}$. Using the roughness age relationship of LaHusen et al. (2020), this would result in an error on the age estimates of 500 and 200 years. We also consider the 2014 Oso Landslide to measure how landslide deposits smooth over time. We find that the rate of smoothing is also different in broad categories of geologic units, such as glacio-fluvial deposits, but similar when subgroupings of more specific units (i.e., glacial outwash sand) are considered. Together this work demonstrates that the roughness of landslide deposits can be used as a proxy for deep seated landslide age globally, but needs to be calibrated for different geologic units in each setting.

4.1 Introduction

Modern high resolution lidar has permitted data high-resolution mapping and analysis of landslides. For example, landslide deposit roughness from lidar can be used to estimate landslide age (Booth et al.; 2009; LaHusen et al.; 2016; Booth et al.; 2017; LaHusen et al.; 2020). This is based on two assumptions: landslides are roughest when they occur and that they smooth or diffuse over time exponentially in a quantifiable way. When both

1845 assumptions hold, landslide deposit roughness can be used as a proxy for landslide age and
1846 can yield quantitative estimates of timing (though with large uncertainty). Thus far, the
1847 roughness-dating approach has been used to successfully date large landslide inventories
1848 with hundreds to thousands of landslides in the Pacific Northwest (LaHusen et al.; 2016;
1849 Booth et al.; 2017; LaHusen et al.; 2020; Herzig et al.; 2023). However, there are assumptions
1850 in this technique that lead to high uncertainties in the calculated ages. In this study we
1851 test these assumptions to assess the error in using roughness as a proxy for landslide age.

1852 First, we test the assumption that directly after failure, the roughness of all landslides is
1853 the same. We do this by examining thousands of landslides with the same known age and
1854 compare their roughness. We consider two earthquake induced landslide inventories, for the
1855 2016 Kaikoura earthquake (Tanyaş et al.; 2022) and the 1994 Northridge Earthquake (Harp
1856 and Jibson; 1996).

1857 Next, we test that landslides smooth out over time in a predictable manner. This was
1858 done by monitoring a single landslide of known initial roughness with repeat lidar surveys.
1859 These repeat surveys provide multiple measurements of the landslide roughness over time.
1860 We consider the 2014 Oso Landslide (Wartman et al.; 2016) as a case study to measure this
1861 smoothing.

1862 **4.2 Study Areas**

1863 *4.2.1 Kaikoura, New Zealand*

1864 The geology of the Kaikoura area is relatively uniform as the region is underlain primarily
1865 by the Torlesse greywacke (Figure 4.1 A, Heron (2020)). The faults of the area are driven
1866 by strike-slip motion along the Alpine Fault between the Pacific and the Australian Plate.

1867 The 2016 Kaikoura, New Zealand earthquake occurred on November 14 2016, and was
1868 the most complex earthquake rupture on record (Cesca et al.; 2017; Hamling et al.; 2017).
1869 The earthquake registered as a magnitude 7.8 earthquake with a hypo-central depth of 15km
1870 and it induced over 29,000 landslides (Massey et al.; 2020). The size distribution of these
1871 landslides is not significantly different from other earthquake induced inventories (Tanyaş
1872 et al.; 2022). Airborne lidar was collected months after the earthquake, which allows us to

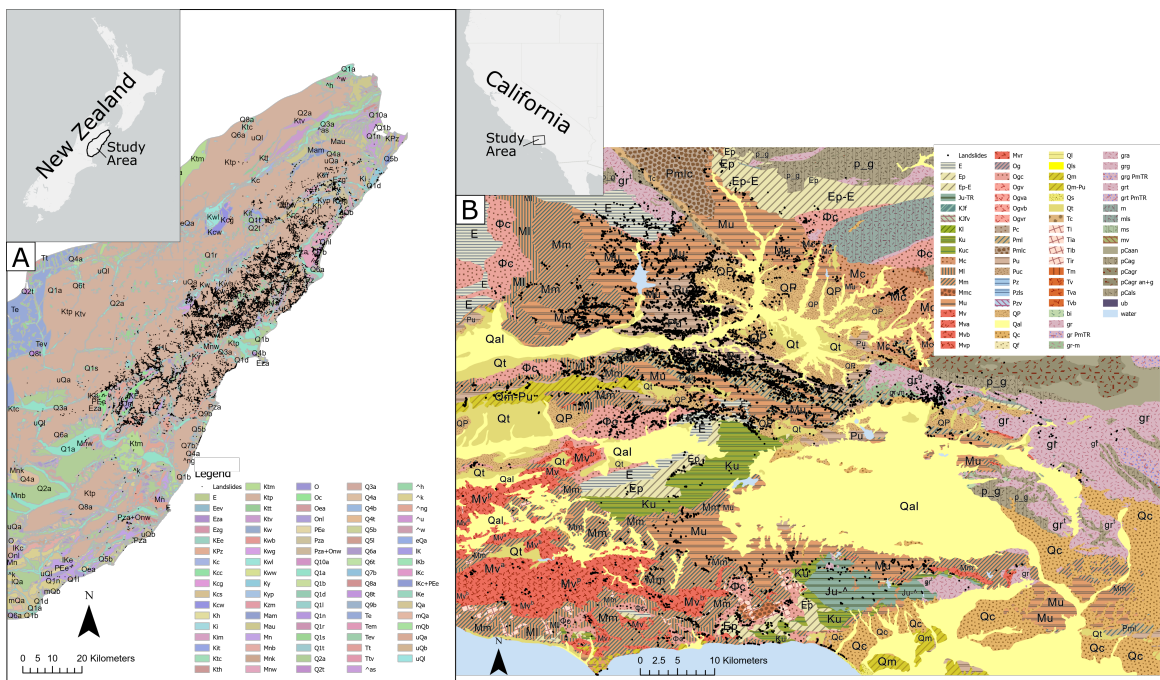


Figure 4.1: Maps of landslides and geologic units in Kaikoura, NZ (A) (Heron; 2020; Tanyaş et al.; 2022) and Northridge, CA, USA (B) (Jennings and Strand; 1969; Harp and Jibson; 1996). Landslides are points, geologic units are colored areas. Inset maps show location of corresponding study area.

1873 essentially measure the initial induced landslide roughness of these landslides.

1874 4.2.2 Northridge, CA, USA

1875 The geology of the Northridge area is composed of marine sedimentary rocks and both
1876 intrusive and extrusive igneous rock, as well as their metamorphosed counterparts (Figure
1877 4.1 B, Jennings and Strand (1969)). Faulting in the area is driven by strike-slip motion on
1878 the San Andreas Fault, which separates the Pacific and North American Plates.

1879 The magnitude 6.7, Northridge, California USA earthquake occurred on January 17,
1880 1994. The rupture had a hypocenter that was 18km deep (Wald et al.; 1996) and the
1881 earthquake triggered over 11,000 landslides (Harp and Jibson; 1996). High quality lidar of
1882 this area was collected in 2015, giving a record of the roughness of these induced landslides
1883 11 years after failure. This is enough time for the landslides to start smoothing out, and
1884 means that these are not true initial roughness measurements. However, they still represent
1885 a set of landslides of the same age and how much variability is present in those landslides.

1886 4.2.3 Oso, WA, USA

1887 The 2014 Oso, Washington, USA landslide was one of the most deadly landslides in US
1888 history, killing 43 people and destroying an entire community (Iverson et al.; 2015; Wartman
1889 et al.; 2016). The slide was a deep seated complex failure, which failed in multiple parts
1890 (Wartman et al.; 2016). After the landslide, the area has been extensively monitored and
1891 studied (Iverson et al.; 2015; Wartman et al.; 2016; Anderson et al.; 2017; Collins and Reid;
1892 2020). These repeat studies and new lidar collected for this work, allow for measuring how
1893 the landslide has changed over time.

1894 The Oso landslide was a failure on the side of a glacial terrace known as the Whitman
1895 Bench. This terrace is composed of mostly horizontal layers of glacial stratigraphy, which
1896 are identified from top to bottom as recessional outwash (Q_{goe}), till (Q_{gtv}), advance outwash
1897 (Q_{gov}), and advance glaciolacustrine deposits (Q_{glv}) (Tabor et al.; 2002; Dragovich et al.;
1898 2003; Badger; 2015). In addition to the glacial stratigraphy, Collins and Reid (2020) also
1899 mapped and differentiated parts of the landslide as: landslide headscarp (Q_c), undifferen-

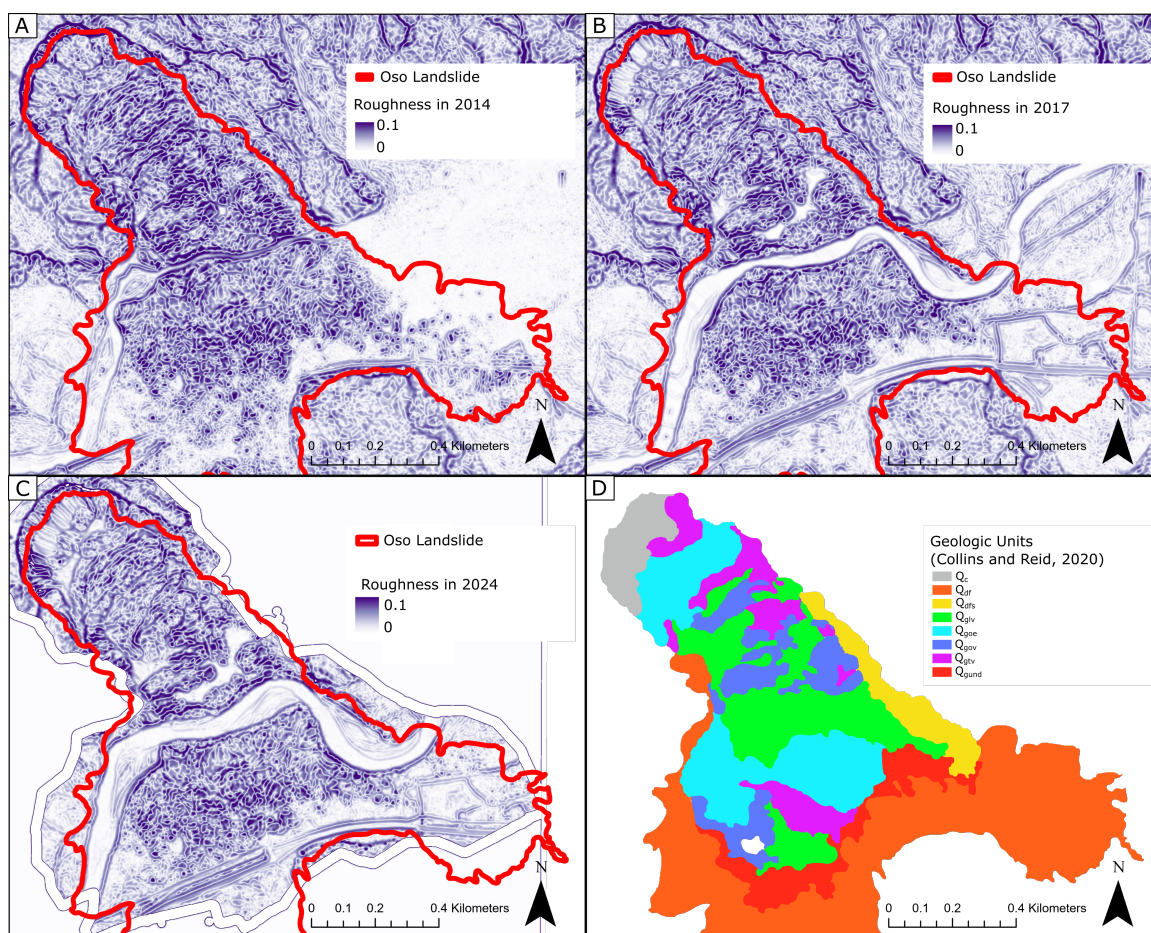


Figure 4.2: Maps of the roughness of the Oso Landslide over time (A-C) and of mapped geologic units of the landslide (D). Maps of 20m roughness, with higher roughness represented with purple, for roughness of the Oso landslide in 2014 (A), 2017 (B), and 2024 (C). The extent of the landslide is outlined in red. Geologic map (D) is adapted from Collins and Reid (2020). Mapped units are recessional outwash (Q_{goe}), till (Q_{gtv}), advance outwash (Q_{gov}), advance glaciolacustrine deposits (Q_{glv}), landslide headscarp (Q_c), undifferentiated sediments (Q_{gund}), primary (Q_{dfs}) and secondary (Q_{dfs}) debris-flow deposits.

1900 tiated sediments (Q_{gund}), primary (Q_{df}) and secondary (Q_{dfs}) debris-flow deposits (Figure
1901 4.2).

1902 **4.3 Methods**

1903 *4.3.1 Initial Roughness Measurements*

1904 As in LaHusen et al. (2020), we measured landslide roughness using a 20 m Ricker wavelet
1905 (Ricker; 1943) transform, alternatively known as a “Mexican hat” wavelet, on the lidar ele-
1906 vation data of landslide deposits. This wavelet was scaled so that it measures topographic
1907 curvature as a function of length scale (Kumar and Foufloula-Georgiou; 1997; Lashermes
1908 et al.; 2007; Booth et al.; 2017). We excluded areas of the deposit within 15 m of gullies
1909 which do not follow a diffusive hillslope transport law. Gullies were identified as areas with
1910 a concave-up profile curvature of $\geq 0.09m^{-1}$ and with a drainage area of $\geq 2,670m^2$ calcu-
1911 lated using a D-infinity flow accumulation algorithm as done in Booth et al. (2017). Lidar
1912 was not available for all landslides in both inventories. By restricting to landslides com-
1913 pletely covered by lidar, we analyse 5,066 and 1,455 landslides in Kaikoura and Northridge
1914 respectfully.

1915 We generalize the roughness of the landslides by measuring the mean and standard
1916 deviation of the log of roughness. This is because the landslide roughness measurements
1917 tend to form a log normal distribution (Figure 4.3). We call these log mean values the mean
1918 initial roughness. These values were further refined by filtering by geology. Geologic units
1919 on a 1:250,000 scale were used for both Kaikoura (Heron; 2020) and Northridge (Jennings
1920 and Strand; 1969) (Figure 4.1). Additionally we repeat this analysis considering only large
1921 (Area $\geq 10,000 m^2$) landslides and measure new log normal distributions for both the overall
1922 distributions and the geology specific distributions.

1923 *4.3.2 Roughness over Time*

1924 Roughness of the Oso Landslide was also measured using a Ricker wavelet (Ricker; 1943),
1925 though for this area we used a 15m wavelet as this was found to be most informative for
1926 weak glacial sediments of the North Fork Stillaguamish River area (Booth et al.; 2017).

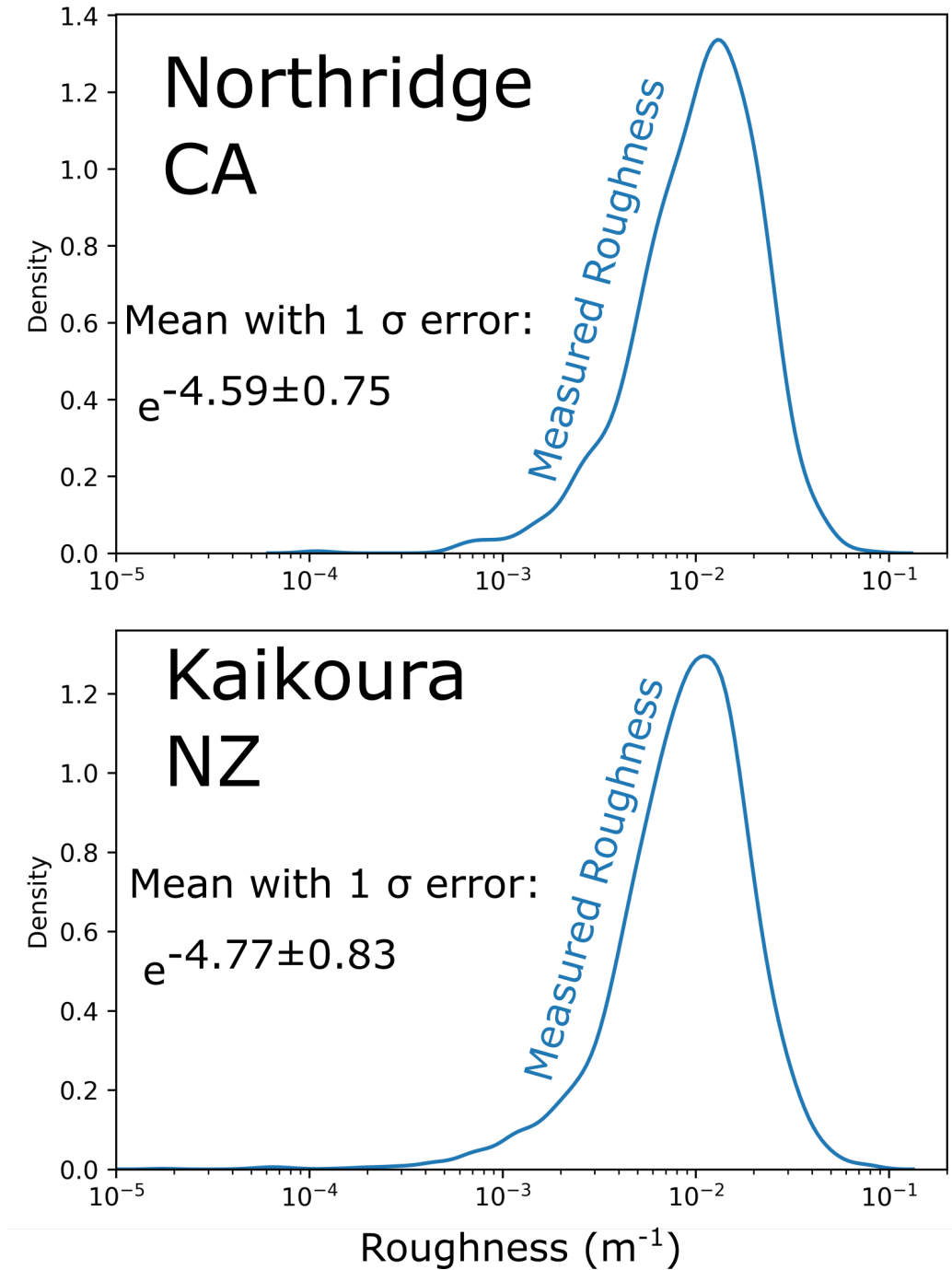


Figure 4.3: Kernel density estimate plots of landslide roughness for both Northridge (top) and Kaikoura (bottom). The x-axis is roughness (m^{-1}) on a log scale.

1927 The different geologic units present in the Oso landslide might smooth out at different
 1928 rates. To test this, we split the Oso landslide into zones of similar geologic units as mapped
 1929 by Collins and Reid (2020) and measure the change in roughness separately for each zone.

1930 We measure the roughness from three lidar surveys, one in 2014 that was conducted
 1931 soon after the landslide, a 2017 lidar dataset and finally a new dataset that we collected via
 1932 UAV in 2024. These data were all collected at 1m resolution or better. Higher resolution
 1933 data was down-sampled to 1m to properly compare between the studies. Roughness was
 1934 only calculated for areas covered by all three lidar surveys.

1935 Figure 4.2 shows the lidar used for the roughness analysis and geologic units in the Oso
 1936 landslide mapped by Collins and Reid (2020). The roughness was calculated for each of
 1937 these individual geologic units.

1938 **4.4 Results**

1939 *4.4.1 Initial Landslide Roughness*

1940 We find that the landslides in Kaikoura have a mean initial log roughness of $-4.77 m^{-1}$ with
 1941 a standard deviation of $0.83 m^{-1}$ (Figure 4.3). The Northridge inventory has a mean initial
 1942 roughness of $-4.59 m^{-1}$ with a standard deviation of $0.75 m^{-1}$ (Figure 4.3).

1943 *Geology*

1944 While most geologic units have similar roughness distributions (Tables 4.1 and 4.2, Figure
 1945 4.4), some units have different mean initial roughness. For example, in Kaikoura, Motunau
 1946 Group sandstone (MN) ($-6.50 \pm 2.50 m^{-1}$), Cookson Volcanics Group (Oc) (-5.16 ± 0.73
 1947 m^{-1}), Late Pleistocene ocean beach deposits (Q5b) ($-5.30 \pm 0.99 m^{-1}$), and Holocene
 1948 dune deposits (Q1d) ($-5.22 \pm 0.42 m^{-1}$) have a smoother initial roughness ($< -4.77 \pm 0.83$
 1949 m^{-1}). For Northridge, units such as Sespe Formation (Ogc) ($-5.32 \pm 0.78 m^{-1}$), Marble
 1950 Lenses in Gneiss (pCals) ($-5.32 \pm 0.94 m^{-1}$), and Fiss Fanglomerate (Mc) (-5.14 ± 0.75
 1951 m^{-1}) have a smoother initial roughness ($< -4.59 \pm 0.75 m^{-1}$). Filtering by geologic unit
 1952 leads to an average variation in initial log roughness of $0.91 m^{-1}$ in Kaikoura and $0.72 m^{-1}$
 1953 in Northridge.

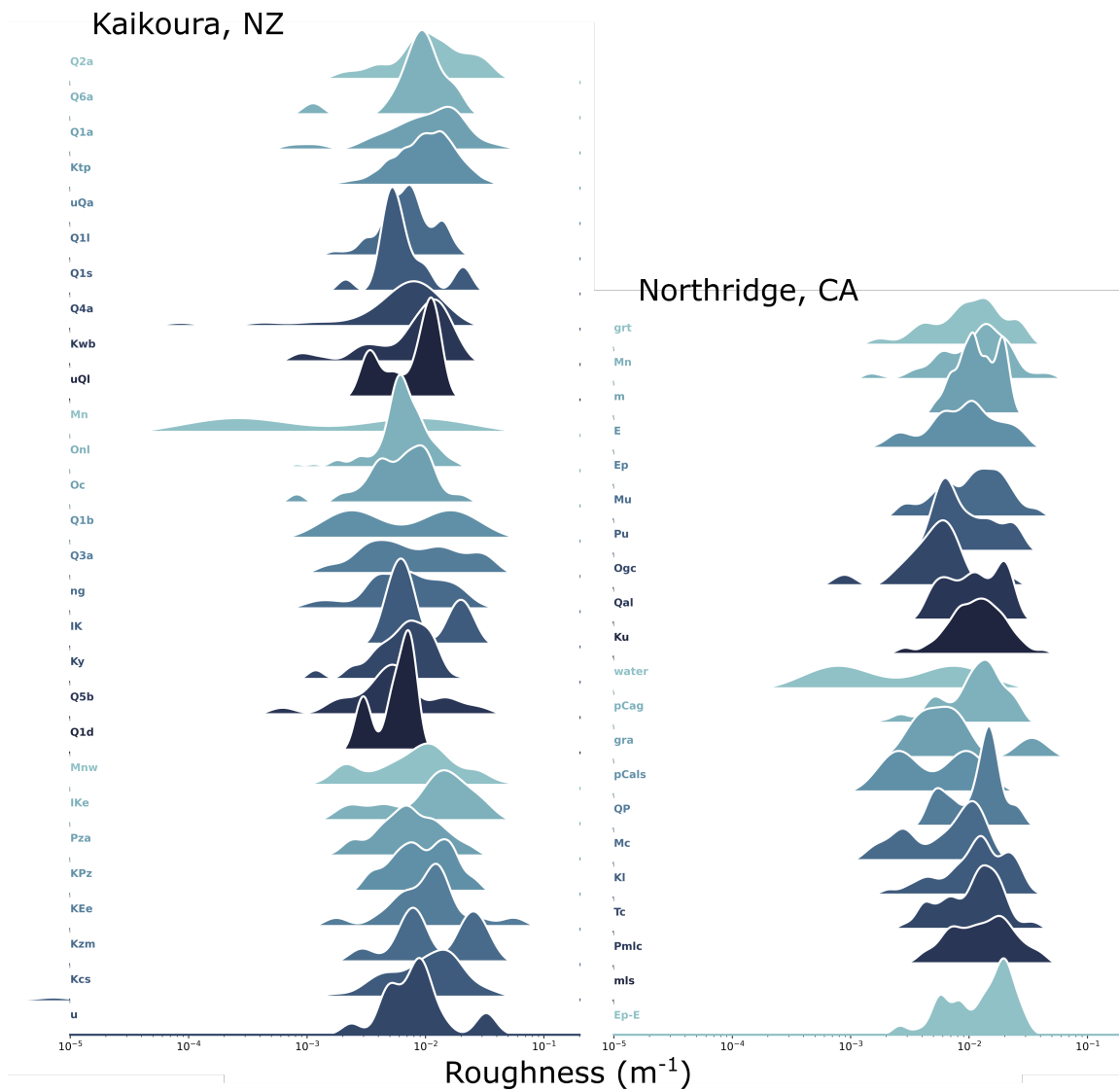


Figure 4.4: Kernel density estimate plots of landslide roughness as a function of geologic unit for both Northridge (right) and Kaikoura (left). The x-axis is roughness (m^{-1}) on a log scale.

Geologic Unit	Code	Mean Log Roughness (m^{-1})	Mean Log Roughness Area $\geq 10,000 m^2$ (m^{-1})
Late Pleistocene river deposits	Q2a	-4.69 ± 0.94	-4.50 ± 0.35
Middle Pleistocene river deposits	Q6a	-4.80 ± 0.71	NA
Holocene river deposits	Q1a	-4.87 ± 1.16	-5.18 ± 1.28
Pahau sandstone and mudstone	Ktp	-4.70 ± 0.79	-4.62 ± 0.55
Holocene landslide deposits	Q1l	-5.07 ± 0.67	-5.03 ± 0.40
Holocene scree deposits	Q1s	-5.03 ± 0.59	-5.25 ± 0.36
Late Pleistocene river deposits	Q4a	-5.56 ± 1.46	NA
Bluff Sandstone Formation	Kwb	-4.95 ± 0.86	-5.31 ± 1.23
Quaternary landslide deposits	uQl	-4.91 ± 0.55	-5.05 ± 0.85
Motunau Group sandstone	Mn	-6.50 ± 2.50	NA
Weka Pass Limestone	Onl	-5.14 ± 0.62	-5.00 ± 0.23
Cookson Volcanics Group	Oc	-5.16 ± 0.73	-5.20 ± 0.47
Holocene ocean beach deposits	Q1b	-5.08 ± 1.37	NA
Late Pleistocene river deposits	Q3a	-4.87 ± 0.94	NA
Greta Formation	ng	-5.17 ± 1.08	-5.04 ± 0.40
Undifferentiated sandstone	IK	-4.7 ± 0.63	NA
Seymour Group sandstone	Ky	-5.14 ± 0.76	-4.93 ± 0.45
Late Pleistocene ocean beach deposits	Q5b	-5.30 ± 0.99	-5.30 ± 0.31
Holocene dune deposits	Q1d	-5.22 ± 0.42	NA
Waima Formation	Mnw	-4.88 ± 0.98	4.99 ± 0.66
Conway Formation	IKe	-4.58 ± 0.88	NA
Amuri Formation	Pza	-4.96 ± 0.84	-4.92 ± 0.61
Muzzle Group limestone	KPz	-4.70 ± 0.71	-4.65 ± 0.67
Eyre Group sandstone and conglomerate	KEe	-4.59 ± 0.85	NA
Mead Hill Formation	Kzm	-4.44 ± 0.80	-4.04 ± 0.63
Split Rock Formation	Kcs	-4.78 ± 1.16	-4.66 ± 0.53
Undifferentiated Pliocene Siltstone	u	-4.78 ± 0.66	-5.20 ± 0.14

Table 4.1: Mean Initial Landslide Roughness in Kaikoura

Geologic Unit	Code	Mean Log Roughness (m^{-1})	Mean Log Roughness Area $\geq 10,000 m^2$ (m^{-1})
Vermont Quartz Diorite	grt	-4.63 ± 0.80	-4.62 ± 0.40
Monterey Shale	Mn	-4.54 ± 0.78	-4.32 ± 0.67
Pelona Schist	m	-4.43 ± 0.40	-4.53 ± 0.67
Coldwater Sandstone	E	-4.79 ± 0.83	-4.48 ± 0.83
Pattiway Formation	Ep	-3.91 ± 2.00	NA
Temblor Sandstone	MI	-4.89 ± 0.01	NA
Tequepis Sandstone	Mu	-4.58 ± 0.71	-4.48 ± 0.64
Pico Formation	Pu	-4.64 ± 0.58	NA
Sespe Formation	Ogc	-5.32 ± 0.78	NA
Alluvium	Qal	-4.51 ± 0.56	NA
Jalama Formation	grt	-4.56 ± 0.77	-4.23 ± 0.32
Granite	gr	-4.66 ± 0.62	NA
Mendenhall Gneiss	pCag	-4.63 ± 0.72	-4.42 ± 0.52
Liebre Quartz Monzonite	gra	-4.84 ± 0.88	-5.25 ± 0.53
Marble Lenses in Gneiss	pCals	-5.32 ± 0.94	NA
Paso Robles Formation	QP	-4.46 ± 0.52	NA
Fiss Fanglomerate	Mc	-5.14 ± 0.75	NA
Espada Formation	Kl	-4.54 ± 0.71	-4.23 ± 0.38
Caliente Formation	Tc	-4.56 ± 0.61	-4.18 ± 0.24
Anaverde Formation	Pmlc	-4.48 ± 0.82	-4.05 ± 0.47
San Francisquito Formation	Ep-E	-4.41 ± 0.63	-4.04 ± 0.54

Table 4.2: Mean Initial Landslide Roughness in Northridge

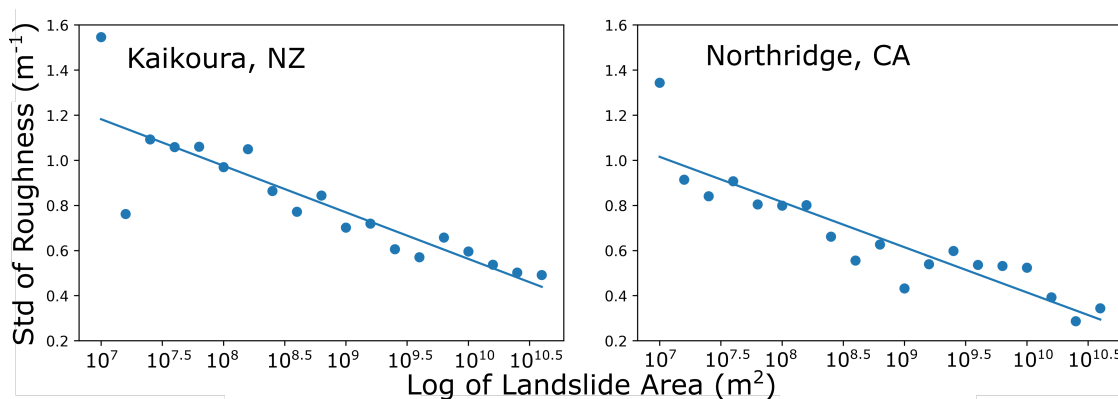


Figure 4.5: Scatter plots of variation of landslide roughness (y-axis) as a function of landslide area (log scale on the x-axis) for both Northridge (right) and Kaikoura (left).

1954 *Landslide Area*

1955 Landslide area is correlated with variation in roughness (Figure 4.5), with larger landslides
 1956 reducing the variation in roughness. By limiting our analysis to landslides larger than
 1957 $10,000 \text{ m}^2$ we can improve our log roughness in Kaikoura to $-4.69 \pm 0.58 \text{ m}^{-1}$ and in
 1958 Northridge to $-4.32 \pm 0.53 \text{ m}^{-1}$. We also restrict the landslides considered in the geologic
 1959 unit analysis (Figure 4.6). This similarly reduces the variation of the roughness and leads
 1960 to more separation in roughness values for the geologic units with different mean initial
 1961 roughness. The average variation in initial log roughness is reduced from 0.91 m^{-1} to 0.56
 1962 m^{-1} and 0.72 m^{-1} to 0.46 m^{-1} for Kaikoura and Northridge respectively.

1963 Comparison based on geologic unit also changes some of the observed patterns in mean
 1964 initial roughness. In Kaikoura, this further separates the roughnesses, with units such as
 1965 Holocene scree deposits (Q1s) ($-5.25 \pm 0.36 \text{ m}^{-1}$) and Undifferentiated Pliocene Siltstone
 1966 (u) ($-5.20 \pm 0.14 \text{ m}^{-1}$) having smoother than average roughness, and units such as the Mead
 1967 Hill Formation (Kzm) ($-4.04 \pm 0.63 \text{ m}^{-1}$) with rougher than average initial roughness. In
 1968 Northridge, we see similar patterns, for example, with the Liebre Quartz Monzonite (gra) (
 1969 $-5.25 \pm 0.53 \text{ m}^{-1}$). In both Kaikoura and Northridge, other units, such as the previously
 1970 smoother Northridge units: Sespe Formation (Ogc), Marble Lenses in Gneiss (pCals), and

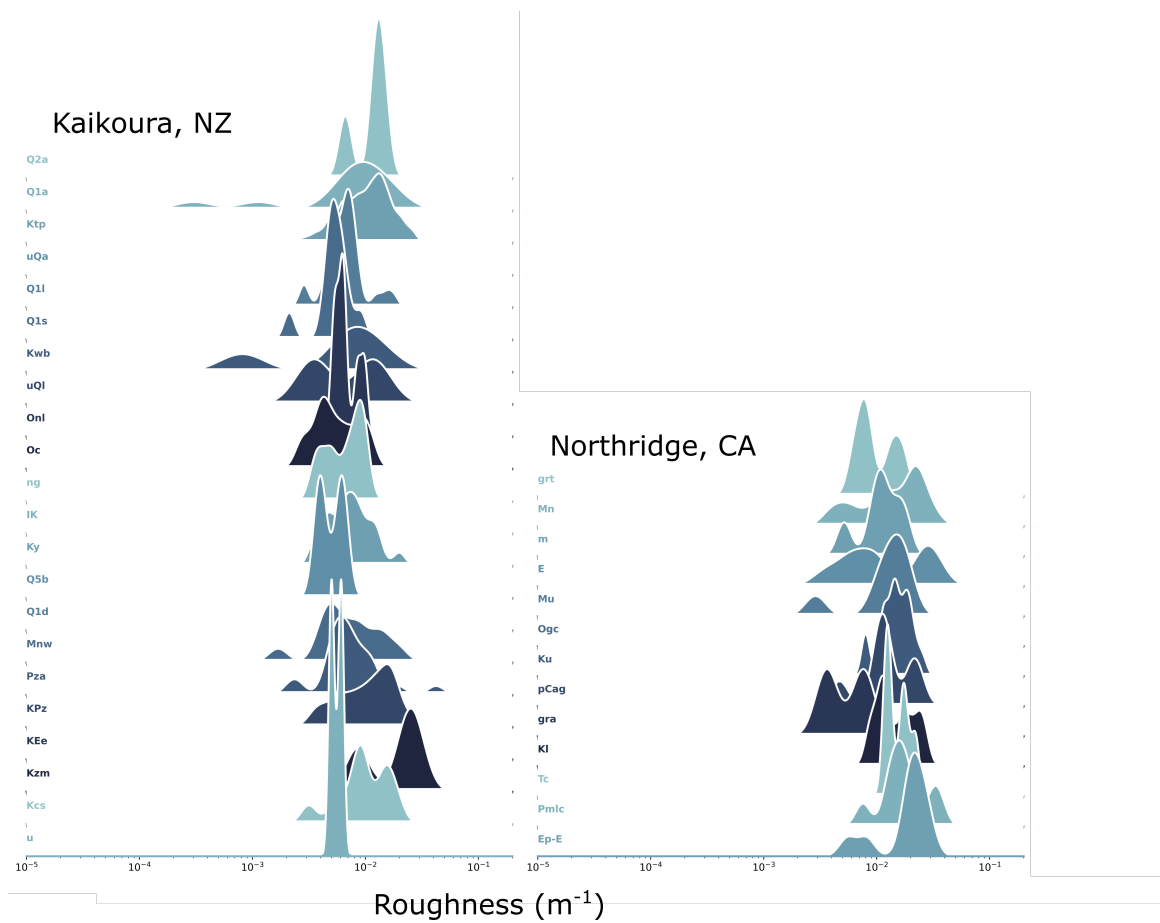


Figure 4.6: Kernel density estimate plots of landslide roughness as a function of geologic unit for both Northridge (right) and Kaikoura (left). This figure only considers landslides over $10,000m^2$. The x-axis is roughness (m^{-1}) on a log scale.

Geologic Unit	Code	a	b
Landslide Headscarp	Q_c	11.88	-368.2
Debris-flow Deposit	Q_{df}	8.029	-472.2
Secondary Debris-flow Deposit	Q_{dfs}	-12.78	501.0
Till	Q_{glv}	24.65	-729.7
Recessional Outwash	Q_{goe}	137.6	-4547
Advance Outwash	Q_{gov}	30.42	-880.4
Advance Glaciolacustrine Deposit	Q_{gtv}	26.83	-782.7
Undifferentiated Deposit	Q_{gund}	24.11	-680.7

Table 4.3: Oso Age-Roughness fitting parameters

1971 Fiss Fanglomerate (Mc), now do not have enough data to measure the variation in roughness
 1972 data (NA).

1973 4.4.2 Roughness over Time

1974 Roughness a decade after the landslide for the different geologic units in the Oso Landslide
 1975 are plotted in Figure 4.7 along with the best fit regression between roughness and log
 1976 age. Table 4.3 shows the coefficients for the best fit regression for exponential function
 1977 $age = a * e^{b*R}$, where R is the measured roughness. These show that some geologic units
 1978 smooth differently, while others smooth similarly. For example the till (Q_{glv}), advance
 1979 outwash (Q_{gov}), and advance glaciolacustrine deposits (Q_{glv}) all smooth at similar rates
 1980 and have similar initial roughnesses. The recessional outwash (Q_{goe}) on the other hand,
 1981 smooths much slower and has a smoother initial roughness. The debris-flow deposits (Q_{df})
 1982 and undifferentiated deposits (Q_{gund}) of the 2014 landslide also have slower diffusion rates.
 1983 The secondary debris-flow deposits (Q_{dfs}) even have a negative diffusion rate, indicating
 1984 roughening over time. Finally, the landslide (Q_c) headscarp has a much faster rate of
 1985 diffusion than every other unit.

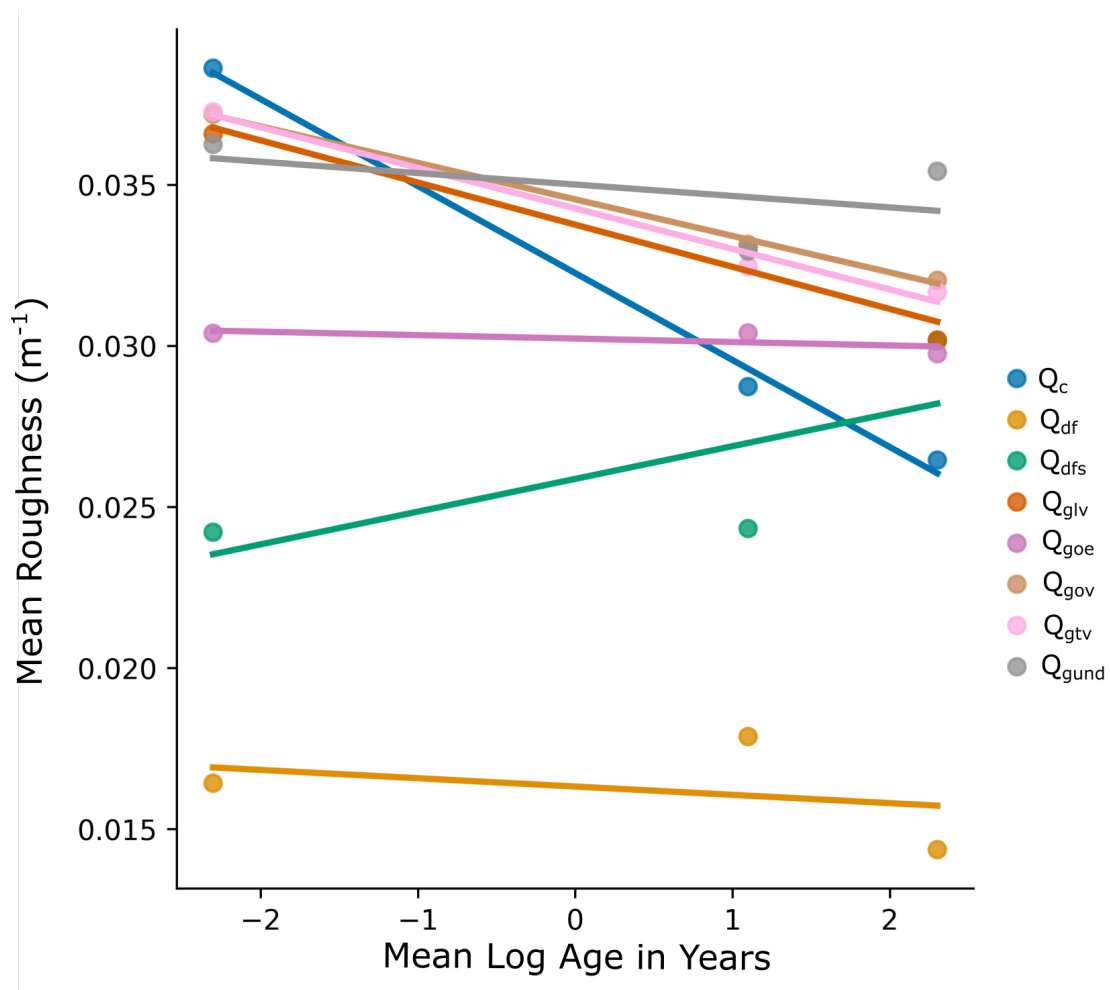


Figure 4.7: Plot of roughness vs log age for different geologic units in the Oso Landslide. Lines show best fit regression for each geologic unit (Table 4.3). Geologic units are landslide headscarp (Q_c), debris-flow deposits (Q_{df}), recessional outwash (Q_{goe}), till (Q_{gtv}), advance outwash (Q_{gov}), and advance glaciolacustrine deposits (Q_{glv}) as mapped in Collins and Reid (2020).

1986 4.5 Discussion

1987 Initial landslide roughness likely varies with factors such as climate and rock type. The
 1988 initial mean roughness values for Northridge landslide deposits is similar to initial work
 1989 in the Pacific Northwest (LaHusen et al.; 2016; Booth et al.; 2017), while Kaikoura has a
 1990 smoother mean initial roughness. Within each study area, some geologic units have different
 1991 initial roughness. This is also supported by the different initial roughness measurements for
 1992 the different geologic units in the Oso Landslide. This is likely due to how different geologic
 1993 strengths can lead to differences in failure process and deposit features like block size.

1994 Specifically, we find that strong units such as the chert of the Mead Hill formation (Kzm)
 1995 tends to form smoother landslide deposits. While weaker units, such as ocean beach (Q5b)
 1996 and dune deposits (Q1d) in Kaikoura and the heavily fractured Liebre Quartz Monzonite
 1997 (gra) in Northridge, tend to form rougher deposits. These differences likely relate to differing
 1998 failure styles, with stronger rocks failing in rockfall style failures with less hummocks of the
 1999 measured size (20m) and weaker rocks and Quaternary deposits failing in flow-slide style
 2000 failures with more hummocks with the same size as the wavelet used (20m).

2001 Correlation between variability in roughness and lithology suggests that for future landslide-
 2002 age based dating studies, a new roughness-age relationship needs to be calibrated for each
 2003 newly considered region and geologic unit. While it is outside the scope of this study to
 2004 re-calibrate the age roughness relationship for Northridge and Kaikoura, we can use the
 2005 relationship developed for the Oregon Coast Range, $age = 1.428 * 10^6 * e^{-412.8 * R}$ (LaHusen
 2006 et al.; 2020), to estimate the error (in years) this variation would cause in an age estimate.
 2007 For the $0.56 m^{-1}$ variation in log roughness measured in the Kaikoura landslides, this re-
 2008 sults in a 500 year variation in estimated age of a 1 year old landslide. For the $0.46 m^{-1}$
 2009 variation measured from the Northridge landslides, this results in a 200 year variation in
 2010 estimated age. This error is within the expected error for the roughness-age analysis used
 2011 in Chapter 2.

2012 The landslide inventories we consider do not distinguish between shallow landslides and
 2013 deep-seated landslides. The roughness-age relationship was developed for deep seated land-
 2014 slides (Booth et al.; 2009, 2017; LaHusen et al.; 2020), as shallow landslides tend to not

2015 create a lasting disturbance in lidar measurements of the ground surface. By selecting for
2016 large landslides, we may have inadvertently selected for deeper seated slides as they types
2017 tend to be larger than shallow failures. This may explain why the variation in roughness
2018 is reduced by selecting for larger landslides. Whether this is the cause or not, this pattern
2019 suggests that for work using the landslide-age roughness method as a proxy for landslide
2020 age, only landslides over 10,000 m^2 should be considered to reduce error in dating.

2021 The rate at which a deposit smooths over time is also dependent on geologic unit. For
2022 example, Figure 4.7 shows that for the Oso landslide the recessional outwash (Q_{goe}) smooths
2023 out slower than the till (Q_{glv}), advance outwash (Q_{gov}), and advance glaciolacustrine de-
2024 posits (Q_{glv}), all of which smooth at a similar rate. This shows that for diffusion rates
2025 broad geologic type is important, the deposits from glacial recession are different than all
2026 the deposits from glacial advance. But, within a similar geologic type, such as within the
2027 different glacial advance deposits, it appears that diffusion rate is effectively the same.

2028 The other considered units are all not true geologic units, but instead different failure
2029 styles. Since the roughness dating method is designed for the deposits of deep-seated ro-
2030 tational landslides, we show here that it does not function for different failure styles. The
2031 debris flow deposits all have low or even negative diffusion rates, indicating that diffusion
2032 is not operating in the same manner as on the rotational landslide deposits. The undif-
2033 ferentiated deposits can also be included in this analysis, as they are mostly composed of
2034 flow-slide or debris-flow like features. The landslide head-scarp on the other hand has a
2035 much faster diffusion rate, again suggesting a different diffusion regime than the rest of the
2036 landslide, and supported the decision to exclude head scarps from landslide roughness age
2037 estimates. This corroborates our previous hypothesis that variance in the landslide rough-
2038 ness in Northridge and Kaikoura is partially driven by a lack of records of landslide style,
2039 and of landslide polygons that include landslide head scarps.

2040 **4.6 Conclusions**

2041 Landslide age can be estimated from roughness, assuming that landslides have a common
2042 initial roughness and smooth out over time at similar rates. To test these assumptions, we
2043 investigated 5,066 landslides induced by the Kaikoura earthquake in 2016 in New Zealand

2044 (Tanyaş et al.; 2022), and 1,455 landslides induced by the 1994 Northridge earthquake
 2045 (Harp and Jibson; 1996). We find that initial landslide deposit roughness for these two
 2046 cases is highly variable, with almost an order of magnitude of variation (standard deviation
 2047 of log roughness of $0.83 m^{-1}$ for Kaikoura, and $0.75 m^{-1}$ for Northridge). This roughness
 2048 is controlled by geologic unit and landslide size. By restricting to landslides over 10,000
 2049 m^2 in area and filtering by geologic unit, we can reduce the measured variability in initial
 2050 roughness. This results in average variations in initial log roughness of 0.56 and 0.46 for
 2051 Kaikoura and Northridge that equates to an error of 500 and 200 years, for Kaikoura and
 2052 Northridge respectively, based on the roughness analysis of LaHusen et al. (2020).

2053 We also investigated how the roughness changed over time with repeat lidar surveys
 2054 of the 2014 Oso Landslide. We found that geologic units again play an important role,
 2055 with deposits from glacial advance outwash smoothing faster than deposits of glacial retreat
 2056 outwash. Our findings emphasize the necessity for calibrating an age-roughness relationship
 2057 to estimate the ages of landslides for sites with different geologic materials, landslides in
 2058 different materials should be calibrated and dated separately. Though it also shows that
 2059 detailed geologic mapping is not necessary for this work, general geologic categories initially
 2060 appear to be sufficient for distinguishing between different diffusion rates.

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Chapter 5

CONCLUSIONS

2125
2126
2127 Earthquake induced landslides are an important geologic process. Like all landslides,
2128 they can shape the landscape and represent a dangerous hazard to both people and property.
2129 Because they occur in clusters near the earthquake source, they amplify the hazard posed
2130 by earthquake shaking alone, and can accelerate hillslope erosion. This clustering, however,
2131 serves as a useful property for both identifying past earthquake induced landslides and
2132 understanding properties of landslides themselves. In this dissertation, I use earthquake
2133 induced landslides to investigate past earthquakes and landslide roughness. I also model
2134 earthquake induced landslides in order to test what factors control where these landslides
2135 are likely to occur.

2136 In Chapter 2, I collect a dated landslide inventory of the Puget Lowlands, WA, USA and
2137 use landslide clusters to find evidence of past earthquakes on the Seattle Fault. By finding
2138 that landslide clusters occurred at the same time as past Seattle Fault earthquakes, I show
2139 that it is possible to use landslides as a regional paleo-seismic indicator.

2140 In Chapter 3, I model possible future earthquake induced landslides from a Seattle Fault
2141 earthquake. I find that a Seattle Fault earthquake would likely cause 10% of slopes to fail in
2142 translational landslides, and 4% of slopes to fail in rotational landslides. This distribution
2143 is mostly controlled by water saturation levels and local geology, though results are similar
2144 in most cases.

2145 In Chapter 4, I use the clustering of earthquake induced landslides to test the variability
2146 of initial roughness of landslides that failed under similar conditions. I also investigate how
2147 landslide roughness evolves over time with repeat Lidar surveys of a single landslide. I
2148 find that the variability in initial roughness is high, but it can be reduced by considering
2149 only large landslides ($\geq 10,000 \text{ m}^2$) and by considering different geologic units separately.
2150 Geologic units also control how the landslide deposits smooth out over time. Based on this,

2151 it is necessary for future use of landslide roughness for age estimation to only consider large
2152 landslides and to analyze landslides in different geologic units separately.

2153 Together, this work advances the field by improving our ability to estimate the age of
2154 landslides. These ages finally allow regional investigation of landslides induced by earth-
2155 quakes that occurred before modern instrumentation. This knowledge leads to a better
2156 understanding of the future hazards and erosional force of earthquake induced landslides.
2157 We also demonstrate that earthquake induced landslides can be useful as a tool for under-
2158 standing earthquakes and landslides more generally.

VITA

Erich Herzig grew up in Ojai, California. He graduated from the Thacher School in 2014 and graduated from Caltech with a B.S. in Geophysics in 2018.